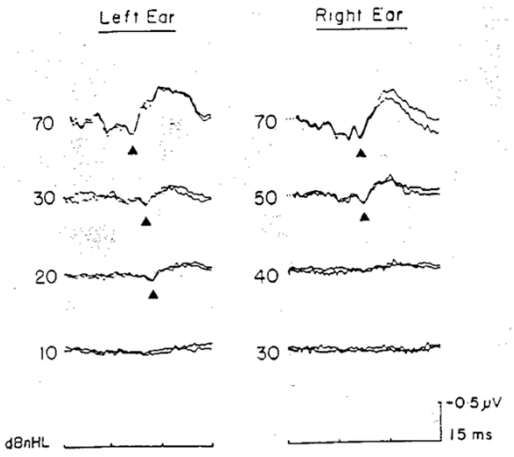
The Event-Related Potential (*aka* the ERP)

Announcements

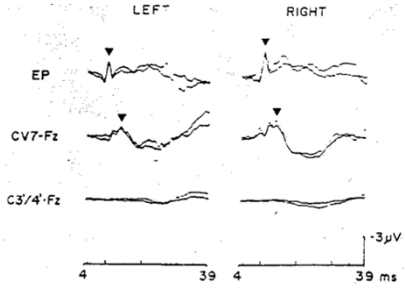
- The Home Stretch…
  - Papers due April 29
  - Take home final available April 29, due May 7
- 3x5s times three!
  (that's 9x15…)

Applications of Early Components

- Neurological evaluation of sensory function; e.g. evaluation of hearing in infants
  - Tones of various dB intensities presented and V wave in auditory brainstem ERP examined
  - **Figure 10**; 4000 individual trials per average



Prediction of recovery from coma



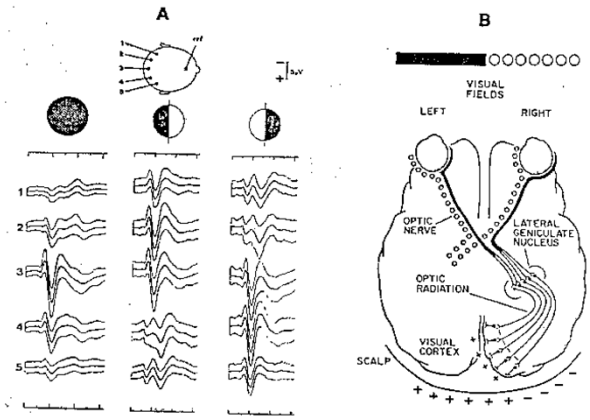
- Somatorsensory evoked potentials were recorded from a patient who was still comatose 1 week after severe closed head injury.
- Responses evoked by electrical stimulation of left and right median nerves
- Normal tracing seen at Erb's point, and from the next over vertebra prominens, but not over C3' of C4'.
- Absense of any cortical response a bad prognostic sign. Patient continued in a chronic vegetative state 1 year after accident

Inter-Hemispheric Transfer Time (IHTT)

- Hypothesized that interhemispheric transfer of information may be abnormal in various disorders (e.g., dyslexia)
- Reaction Time measures contain too much variability not related to Transfer Time
- ERP early components appear promising as a measure of time required to transfer information between hemispheres

IHTT Study (Saron)

- Checkerboards subtending < 1 degree of visual angle presented 2.9 degrees from center
- ERP's recorded at O1 and O2
- Problem of lateralization and Paradoxical results possible; parafoveal regions on banks of calcarine fissure
- P100 wave latency examined; earlier latency in occiput contralateral to presentation
  - Measured by peak picking procedure
  - Also by cross-lagged correlation technique
  - Both methods suggest ~15 millisecond IHTT; found to be in expected direction predicted by anatomy for over 90% of subjects
  - Reaction time data from same task showed no reliable differences



P1, N1, and Attention

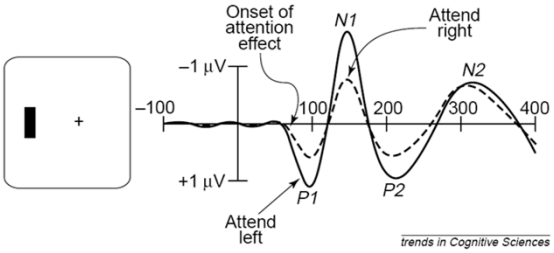


Fig. 1. Paradigm for using ERPs to study attention. Stimulus display (left) and idealized results (right). Subjects fixate a central cross and attend either to the left or right visual field. Stimuli are then presented to the left and right visual fields in a rapid sequence. In this example, the ERP elicited by a left visual field stimulus contains larger P1 and N1 components when the stimulus is attended ('Attend left') than when it is ignored ('Attend right').

From Luck et al, *TICS*, 2000

More than Spatial Directed Attention

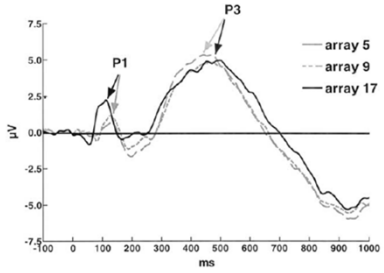


Fig. 2. Grand averaged visual ERPs at Pz electrode for the 3 array sizes, showing the shorter latencies, larger P1s for array size 17, but longer latency P3 (dark arrows) than for array sizes 5 and 9 (grey arrows). These are averaged across colour, orientation and conjunction conditions, as this ERP effect was seen regardless of whether it was a single feature or conjunction trial.

Increases stimulus complexity results in more rapid early processing

Note:
Amplitude of P1
Latency of P1
Latency of N1

Taylor
Clinical Neurophys
2002

More than Spatial Directed Attention

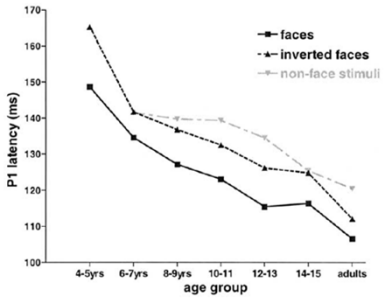
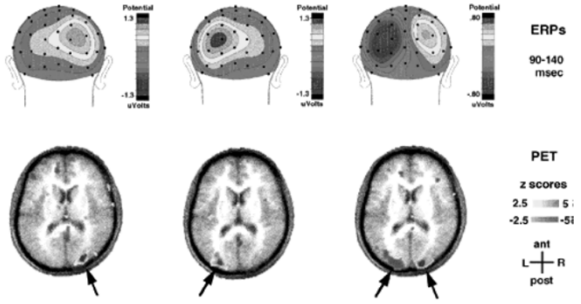


Fig. 3. Mean P1 latencies across 7 age groups, showing the consistently shorter latencies to faces compared to inverted faces and control stimuli (phase-scrambled faces and flowers). There were 15 children in each of the 6 age groups and 38 adults (adapted from Taylor et al., 2001c).

Taylor
Clinical Neurophys
2002



Woldorff et al., *Human Brain Mapping*, 1997

Prelude to Advance Topic: Source Localization

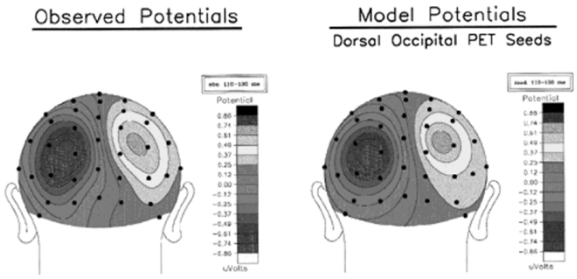
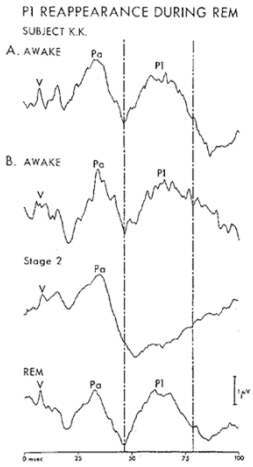


Figure 3.
Left: Observed potential distributions in the attend-left-minus-attend-right difference waves at the peak of the P1 attention effect (110–130 msec). **Right:** Corresponding model potential distributions seeded by the dorsal occipital PET foci, which provided an excellent fit to the P1 effect (residual variance 2%).



P1 and Sleep

Note P1 disappears in Stage 2 sleep, but reemerges in REM sleep

Construct Validity of P300 (P3, P3b)

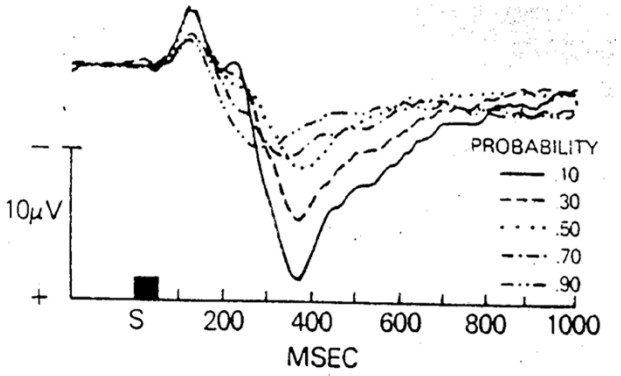
- First observed by Sutton, Braren, Zubin, & John (1965)
- P300 Amplitude; Johnson's model is

  P300 Amplitude = *f*[T x (1/P + M)]

  where
  - P = probability of occurrence,
  - M = Stimulus meaning, &
  - T = amount of information transmitted

Aspects of the Model

- Rarity
  - The P300 is observed in variants of the "oddball paradigm"
  - The rare stimulus almost invariantly elicits a P300: largest at parietal, then central, and then frontal sites
  - **Subjective probability**
- Stimulus meaning
  - Actually composed of three dimensions
    - task complexity
    - stimulus complexity
    - stimulus value
- Information Transmission (proportion 0 to 1; example)



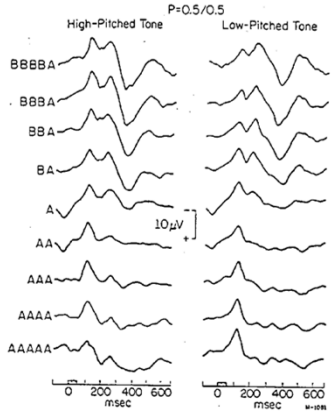


Figure 12-1. The ERPs in each column were elicited by the same physical tone; high-pitched tones were used for the left column and low-pitched tones for the right column. Both were presented in a Bernoulli series in which the probability of the two stimuli were equal. In the middle of each column (labeled "A") is the ERP elicited by all the presentations of the stimulus. The curve labeled "AA" was obtained by averaging together all the tones of one frequency that were preceded on the previous trial by tones of the same frequency. On the other hand, the curves labeled "BA" were elicited by stimuli preceded on the previous trial by the tones of different frequency. Similar sorting operations were applied to all other curves in this figure. It can be seen that the same physical tone elicited quite different ERPs, depending on the events that occurred on the preceding trials. Whenever a tone terminated a series of tones from the other category, a large P300 was elicited, and its magnitude was a function of the length of the stimulus series. (From "Effect of Stimulus Sequence on the Waveform of the Cortical Event-Related Potential," by K. C. Squires, C. D. Wickens, N. K. Squires, and E. Donchin. *Science*, 1976, 193, 1142–1146. Copyright 1976 by the AAAS.

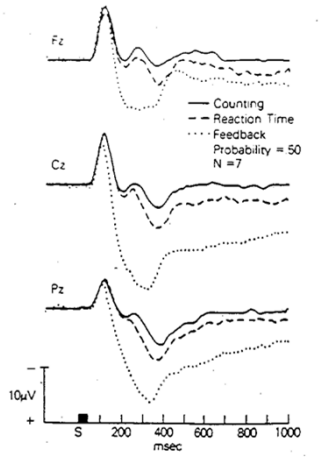


Figure 2. Grand-mean waveforms (N = 7) from F_z, C_z, and P_z from three different tasks. The ERPs elicited in an oddball paradigm run under two different task conditions, Counting (solid line) and Reaction Time (dashed line), are superimposed on the ERP elicited when the same stimulus signified correct performance in a feedback paradigm (dotted line). The waveforms were all elicited by a 1000 Hz, 50dB SL tone ($p = .50$).

Information Transmission

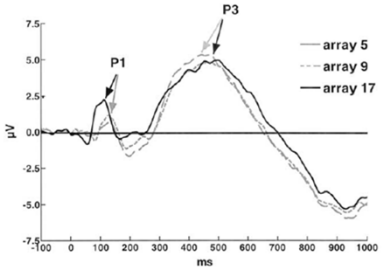
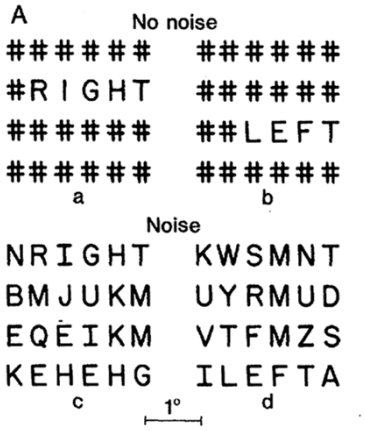


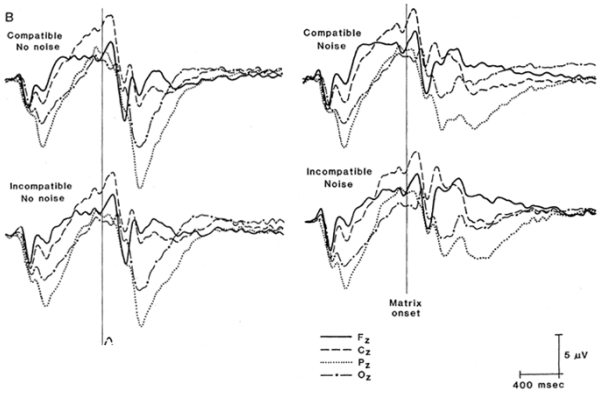
Fig. 2. Grand averaged visual ERPs at Pz electrode for the 3 array sizes, showing the shorter latencies, larger P1s for array size 17, but longer latency P3 (dark arrows) than for array sizes 5 and 9 (grey arrows). These are averaged across colour, orientation and conjunction conditions, as this ERP effect was seen regardless of whether it was a single feature or conjunction trial.

Taylor
Clinical Neurophys
2002

P3 Latency

- An index of processing time, independent of response requirements
  - RT measures confounds the two
  - McCarthy & Donchin (1981) experiment:
    - The words "RIGHT" or "LEFT" embedded in a matrix of letters of X's
    - Compatible condition: respond with hand indicated in matrix; Incompatible condition: respond with opposite hand (e.g., LEFT signals right hand response);
    - Results:
      - P300 latency delayed when discriminability more difficult
      - Response compatibility had no effect on P300 latency
      - **Note amplitude reduction as function of noise--information transmission)**





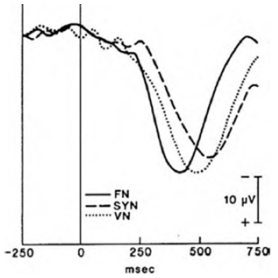


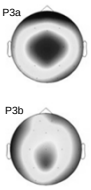
Figure 4.10. ERP waveforms at Pz averaged across subjects for three different semantic categorization tasks. The solid line indicates ERPs obtained during a task in which the subjects had to distinguish between the word DAVID and the word NANCY (the FN condition). The dotted line indicates ERPs obtained during a task in which the subjects had to decide whether a word presented was a male or a female name (the VN condition). The dashed line indicates ERPs obtained during a task in which the subjects had to decide whether a word was or was not a synonym of the word PROD (SYN condition). These three tasks were considered to involve progressively more difficult discriminations. Note the latency of P300 peak is progressively longer as the discrimination is made more difficult. (Copyright 1977, AAAS. Adapted with permission of the author and publisher from Kutas, McCarthy, & Donchin, 1977.)

Not only difficulty in physical discrimination, but difficulty in cognitive categorization

Construct Validity?

- What, then, does the P300 mean in very general terms?
  - A stimulus (or class of stimuli) is "important"; denotes information that is necessary or useful to the task
  - Stimulus is meaningful, important, noticeable
  - Evaluated within context of working memory? (cf. Donchin & Coles, 1988; Verlager 1988; Polich, 2007; Verlager, 2008)
- The P3a (Squires, Squires, and Hillyard, 1975): P3-like component with a frontal maximum and occurs to improbable stimuli in the "to-be-ignored" class of stimuli; a novelty response.

How Many P3s?



- The Classic P3/P300
  - Parietal Central Maximum
  - Largest when stimuli rare and task-relevant
- The P3a (Squires et al., 1975) or Novelty P3 (Courchesne et al., 1975)
  - More anterior scalp distribution
  - Slightly earlier latency
  - Responsive to rare, unexpected, unattended stimuli

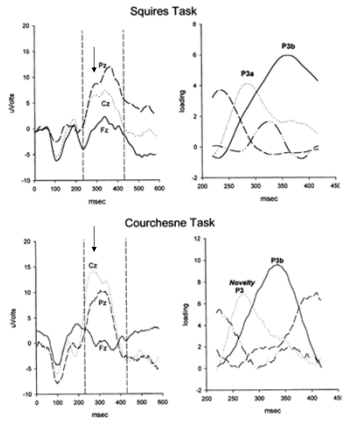


Fig. 1. ERP waveforms (left) and PCA basis waves (right) obtained from infrequent targets during the Squires (top) task and infrequent nontargets/novels during the Courchesne (bottom) task. PCA was conducted during the 220–420 ms epoch following stimulus onset and four factors were extracted from each data set.

Simons et. al, 2001

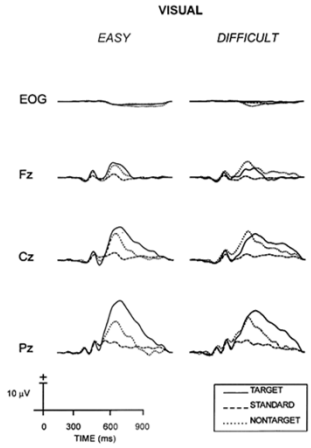
•Squires Task was tones (two tones)
•Courchesne task was digitized speech ("me" "you" and collection of naturally occurring sounds
•In all cases subjects merely counted Tones

P3a – Can you see it?

- Some inconsistencies in finding P3a following the initial Squires, Squires and Hilyard 1975 report
- Comerchero & Polich (1998) may have resolved the enigma
  - P3a highly dependent on foreground discrimination

Table 1
Stimulus type (probability) for each task condition and modality (auditory = frequency and intensity, visual = area and shape-color)

Modality	Auditory		Visual	
Nontarget distinctiveness	Low	High	Low	High
Target (0.10)	2000 Hz 75 dB	2000 Hz 75 dB	12.57 cm² ● Blue	12.57 cm² ● Blue
Standard (0.80)	1940 Hz 75 dB	1940 Hz 75 dB	10.18 cm² ● Blue	10.18 cm² ● Blue
Nontarget (0.10)	500 Hz 75 dB	4000 Hz 90 dB	12.57 cm² ■ Blue	12.57 cm² ■ Fuchsia



Note: Nontarget peak amplitude was earlier and larger at the frontal electrodes than those from the target stimuli, but especially when foreground discrimination is difficult

Comerchero & Polich (1998), *Clinical Neurophysiology*

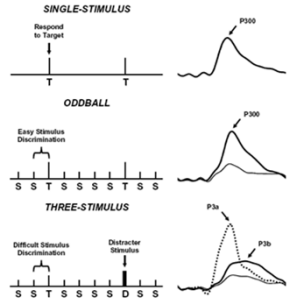


Fig. 1. Schematic illustration of the single-stimulus (top), oddball (middle), and three-stimulus (bottom) paradigms, with the elicited ERPs from the stimuli of each task at the right (Polich and Criado, 2006). The single-stimulus task presents an infrequent target (T) in the absence of any other stimuli. The oddball task presents two different stimuli in a random sequence, with one occurring less frequently than the other (target = T, standard = S). The three-stimulus task is similar to the oddball with a compelling distracter (D) stimulus that occurs infrequently. In each task, the subject is instructed to respond only to the target and otherwise to refrain from responding. The distracter elicits a P3a, and target elicits a P3b (P300). Reprinted with permission of the authors and from Elsevier (Copyright 2006).

Polich, *Clin Neurophys*, 2007

Synopsis

"…the manipulation of target-standard stimulus discriminability produced a stimulus environment in which the infrequently occurring nontarget engaged focal attention in a manner similar to that observed previously for 'novel' stimuli.

However, all stimuli in the present study were employed because of their 'typical' characteristics, so that the results imply that an anterior P3a component can be produced without using 'novel' stimuli per se.

If stimulus context is defined primarily by a difficult targetrstandard discrimination, attentional redirection to the nontarget would occur because of the frontal lobe activation that generates P3a."

Comerchero & Polich 1998, p. 47

ERPs and Memory

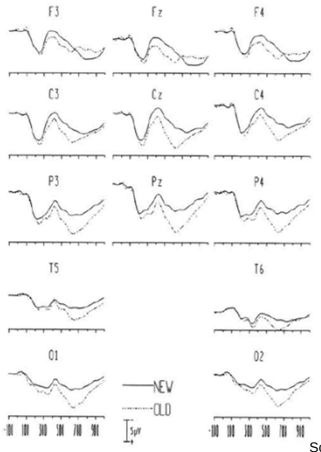
- Sensitive to both Recognition
  - Likely episodic recollection
- Sensitive to Encoding

Repetition Priming Effects

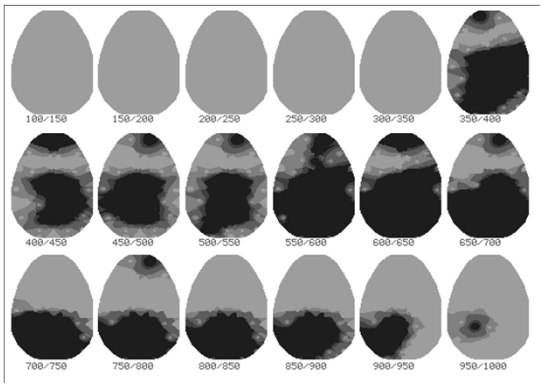
- Robust effect that repeated items produce an enhanced late positivity across a broad latency range
- Magnitude of effect related to strength of memory trace

Repetition Priming

- Are there repetition effects that do not depend on the subjective awareness of the subject?
  - Can use Masked Priming to examine (Schnyer, Allen, Forster, 1997)

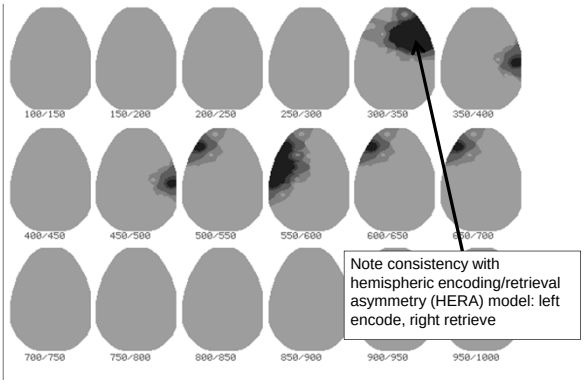


Schnyer, Allen, Forster, 1997



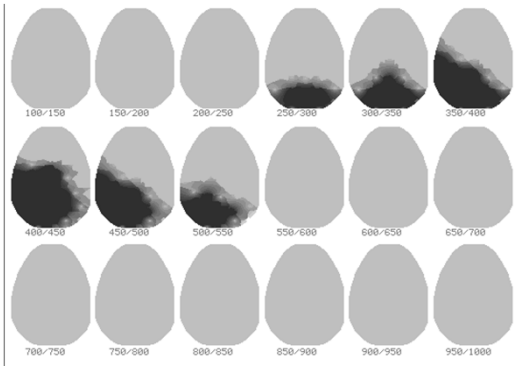
Standard Repetition Effect for Words Seen Unmasked in Previous Blocks
Task is to make OLD-NEW decision

Schnyer, Allen, Forster, 1997



Standard Repetition Effect for Words Seen Unmasked in Previous Blocks
But Task is to make WORD-NONWORD decision

Schnyer, Allen, Forster, 1997

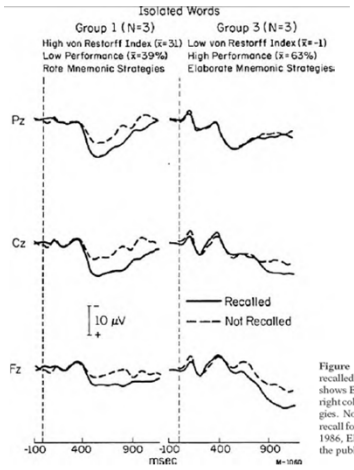


Masked Repetition Priming Effect for Words Presented only a Trial Previously

Schnyer, Allen, Forster, 1997

Memory Encoding

- Words subsequently remembered show enhanced positivity at encoding
- Strategy interacts, however



Note prototypic DM effect on left, but not on right for those that used elaborative strategies. Note enhancement over frontal lead for these latter subjects.

Figure 4.12. ERPs elicited by "isolated" words that were later recalled (solid line) or not-recalled (dashed line). The left column shows ERPs for subjects who used rote mnemonic strategies; the right column shows ERPs for subjects who used elaborative strategies. Note that the amplitude of P300 is related to subsequent recall for the rote memorizers, but not for elaborators. (Copyright 1986, Elsevier Science Publishers. Reprinted with permission of the publisher from Fabiani, Karis, & Donchin, 1986b.)

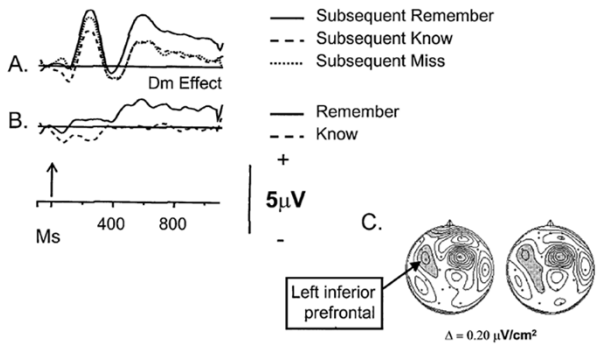


Fig. 3. **A:** Grand mean ERPs elicited by study items that were subsequently associated with remember or know judgments (hits) or were unrecognized (misses) during the subsequent recognition test. **B:** Grand mean difference waveforms computed by subtracting the ERPs to study items subsequently missed from those that were subsequently associated with either a remember or know judgment (Modified from Friedman and Trott, 2000). **C:** CSD maps for 2 intervals (500–800; 810–1,100 ms) measured in the Dm waveform associated with a subsequent Remember judgment. Data in A and B recorded at a left inferior prefrontal scalp site.

Indirect Assessments of Recognition

- Can the ERP detect recognition, independent of subjects' overt responses?
- Two applications
  - Clinical Malingering
  - Forensic Assessment

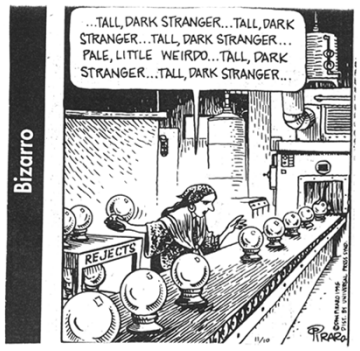
ERP Memory Assessment Procedures

- Learn a list of words
- Learn a second list of words
- Task: Concealed (1st list) and Nonconcealed (2nd list) words appear infrequently

Item Type	Probability	Response	P3 Amplitude
Nonconcealed	1/7	"Yes"	Large
Concealed	1/7	"No"	Large if Recognized Small if not Recognized
Unlearned	5/7	"No"	Small

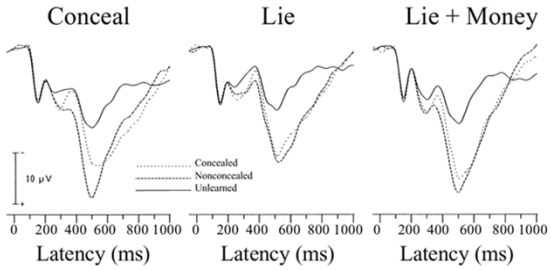
- Similar to procedures by Rosenfeld et al, Farwell & Donchin

The Classic Oddball Experiment



Motivational Variations

Conceal	Lie	Lie + $$
➢"YES" for words *JUST* learned, "NO" for all others	➢"YES" for words learned	➢"YES" for words learned
➢*Try to hide the fact that you learned the first list of words I taught you*	➢*Lie about words from the first list I taught you*	➢*Lie about words from the first list I taught you* ➢*$5.00 incentive*

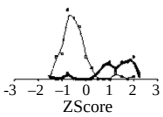


After Allen & Iacono, 1997

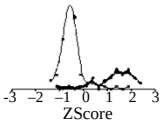
The Challenge

To provide statistically supported decisions for each and every subject, despite considerable individual variability in ERP morphology

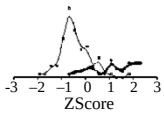
P3 Amplitude
Sensitivity = .925
Specificity = .920



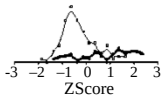
Raw ERP H^2
Sensitivity = .950
Specificity = .920



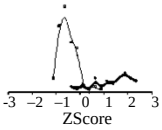
1st Derivative H^2
Sensitivity = .875
Specificity = .810



2nd Derivative H^2
Sensitivity = .750
Specificity = .740



Deviation H^2
Sensitivity = .925
Specificity = .920



Bayesian Combination of ERP Indicators: Probability that an ERP was elicited by Learned Items

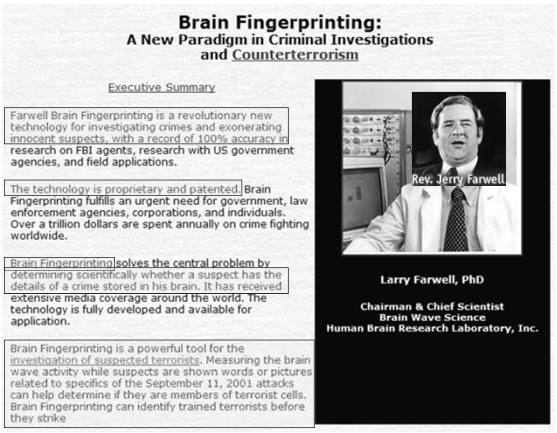
	List						
	Learned		Unlearned				
Subject	NonConceal	Conceal	U1	U2	U3	U4	U5
#01	1.0	0.999	0.000	0.000	0.000	0.000	0.001
#02	1.0	1.0	0.000	0.000	0.000	0.000	0.000
#03	1.0	0.999	0.000	0.000	0.000	0.002	0.000
#04	1.0	1.0	0.000	0.001	0.002	0.000	0.000
#05	1.0	0.971	0.002	0.000	0.000	0.000	0.000
#06	1.0	0.999	0.000	0.000	0.000	0.000	0.000
#07	0.983	1.0	0.000	0.000	0.000	0.000	0.000
...							
#18	0.996	0.983	**0.874**	0.001	0.000	0.000	0.000
#19	**0.009**	**0.214**	**0.971**	0.000	0.002	0.189	**0.983**
#20	1.0	0.999	0.002	0.000	0.009	0.000	0.214

Note: Only trials in which subjects did not acknowledge concealed items included

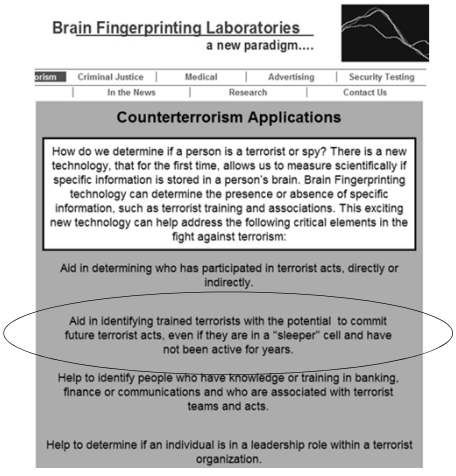
Classification Accuracy based on ERPs

	Learned (true pos)	Unlearned (true neg)
Conceal	0.95	0.96
Lie	0.93	0.94
Lie + $$	0.95	0.98
Combined	0.94	0.96

Allen, Iacono, & Danielson, *Psychophysiology,* 1992



www.brainwavescience.com



The Claim

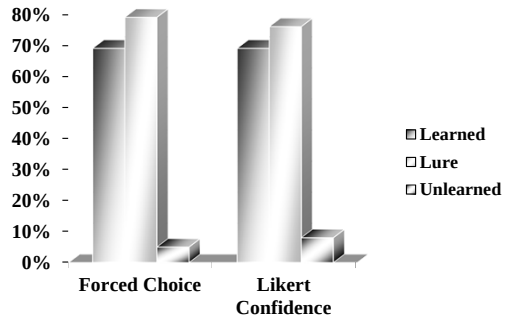
- Brain Fingerprinting can determine "scientifically whether a suspect has details of a crime stored in his brain"
- Thus these ERP-procedures should be able to identify memories in laboratory studies
- Two tests of the robustness of this procedure:
  - False recollections
  - Virtual Reality Mock Crime

A Laboratory Paradigm for False Recollections: DRM

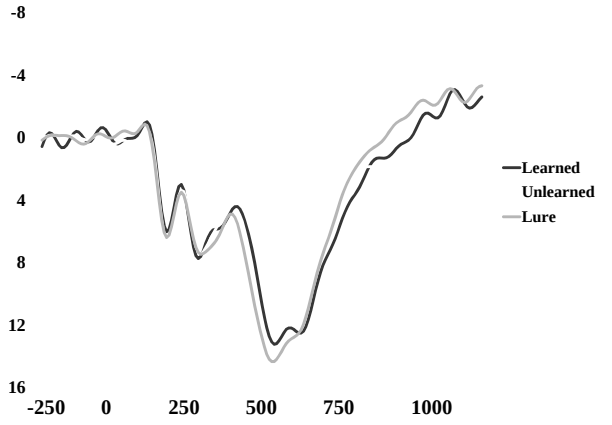
- Subjects presented with 15 words highly associated with an omitted critical item

Bed, rest, awake, tired, dream, wake, snooze, blanket, doze, slumber, snore, nap, peace, yawn, drowsy → Sleep

Reported Rates of Recogntion



Allen and Mertens (in press)



Allen and Mertens (in press)

The Box Score Blues

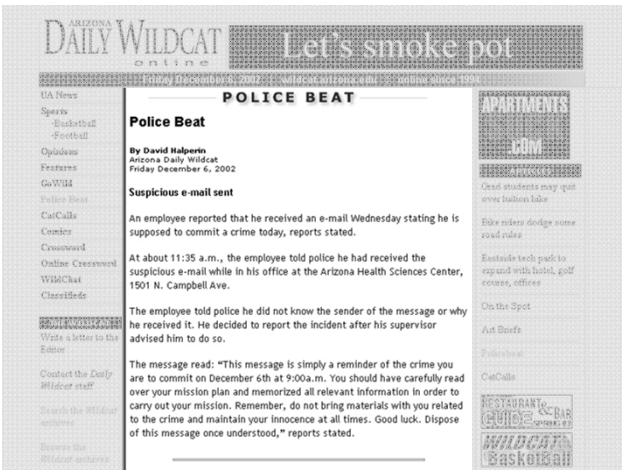
	Test Verdict
Ground Truth	Recognized
Actually Learned	56%
Critical Lure	72%
Unlearned	4%

- Highlights the need to have memorable items in the test
- Suggests limited utility in substantiating disputed memories; e.g., claims regarding recovered memories
- Still has low false positive rate when person denies knowledge

Virtual Reality Mock Crime

- Subjects received email detailing their "Mission"
- Sneak into graduate student office to break in to virtual apartment
- Apprehended and interrogated using ERP-based procedure
- Some subjects given details about utilizing countermeasures
- Innocent subjects tour the same virtual apartment, but with different objects and details.

SCALED ENVIRONMENTS



Results of Mock Crime Brainwave Procedure

Group	N	Verdict: Guilty	Verdict: Innocent
Guilty	15	47%	53%
Guilty (countermeasure)	45	17%	83%
Innocent	15	6%	94%

Note: Using Bootstrapping approach, Guilty detection drops to 27%, but innocent subjects classified correctly in 100% of cases. Allows indeterminate outcomes

ERPS and Affective Processing

- IAPS = International Affective Picture System
  - Pleasant, Neutral, Unpleasant
  - Vary in Arousal: Pleasant and Unpleasant tend to be more arousing
- Predict more significant stimuli produce larger P3

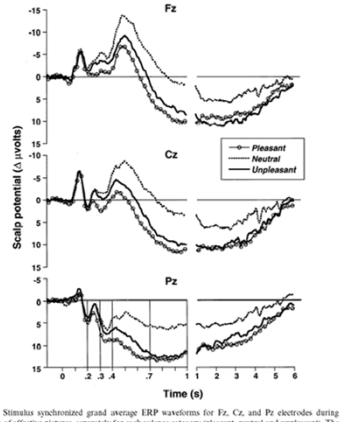


Fig. 1. Stimulus synchronized grand average ERP waveforms for Fz, Cz, and Pz electrodes during viewing of affective pictures, separately for each valence category (pleasant, neutral and unpleasant). The left panel illustrates the picture onset potentials on a finer time scale, and the vertical lines at Pz illustrate the time areas subjected to statistical analysis (i.e. 200–300, 300–400, 400–700, 700–1000 ms). The right panel shows the subsequent 5 s of slow potential change.

Long (6 sec) Presentation Duration

Schupp et al (2000), *Psychophysiology*

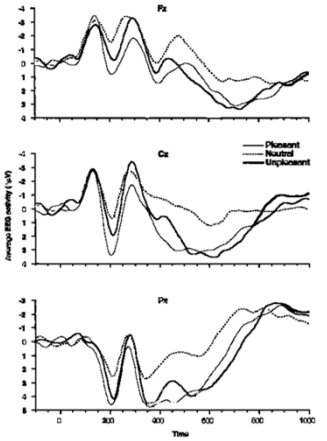


Figure 1. Picture onset synchronized grand-average event-related potential (ERP) waveforms for each valence category (pleasant, neutral, and unpleasant) from midline electrodes Fz, Cz, and Pz.

1.5 sec Presentation Duration

Cuthbert et al (2000), *Biological Psychology*

ERPS and Implicit Affective Processing

- Ito & Cacioppo (2000) *JESP*
  - Evaluative Processing (positive vs negative)
  - Nonevaluative (people vs no-people)

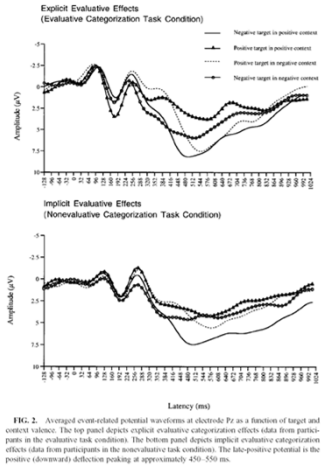


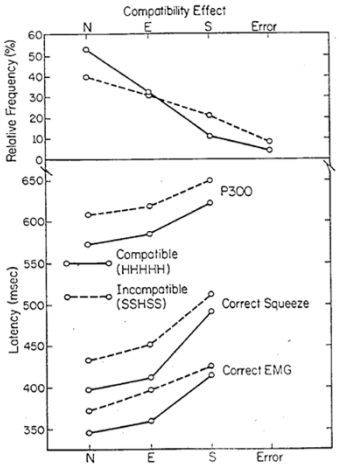
FIG. 2. Averaged event-related potential waveforms at electrode Pz as a function of target and context valence. The top panel depicts explicit evaluative categorization effects (data from participants in the evaluative task condition). The bottom panel depicts implicit evaluative categorization effects (data from participants in the nonevaluative task condition). The late-positive potential is the positive (downward) deflection peaking at approximately 450–550 ms.

Ito & Cacioppo (2000) *JESP*

ERPs and Mental Chronometry

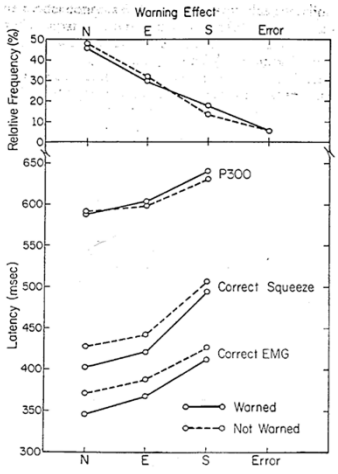
- "Correctness" not dichotomous
- The continuous flow model of human information processing (Coles, Bashore, Eriksen, & Donchin, 1985)
- Measure response using hand dynamometer and EMG activity to compatible and incompatible arrays:

HHHHH Vs HHSHH
SSSSS Vs SSHSS



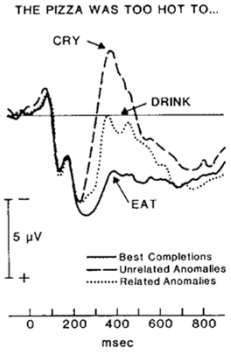
N = No Incorrect Activity
E = Some EMG activity on incorrect response channel
S = EMG and squeeze on both correct and incorrect channels
Error = no correct response, may be some EMG in correct channel

Latency of activity on correct side increased as a function of activity on incorrect side



Effect of Warning seen only in response measures, but not central evaluation

N400 and Language



- Originally reported by Kutas & Hillyard, 1980.
- Semantic Incongruity is separable from other forms of deviations (e.g. large font)
  - N400 Semantic Deviation
  - P300 Physical Deviation
- Also seen in semantic differentiation tasks (Polich, 1985); APPLE, BANANA, ORANGE, MANGO, *TRUCK*
- Subject-Object mismatch (the Florida group)
- NOTE: N400 will appear before P3 (which will be ~P550 in word tasks)

Political Evaluations!

- Morris Squires et al. *Political Psychology* 2003

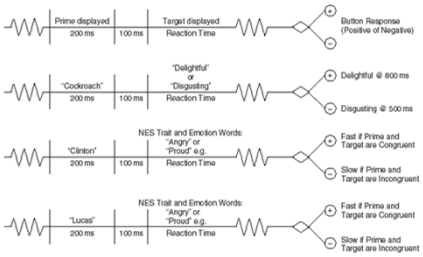


Figure 2. Attitude-priming paradigm and examples of its use.

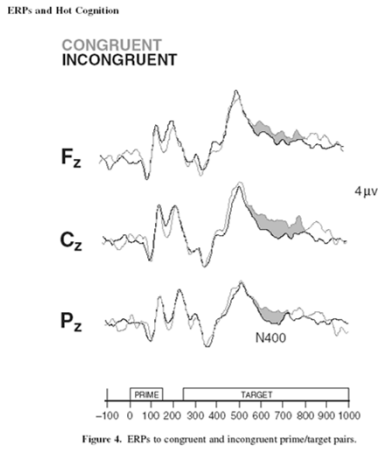
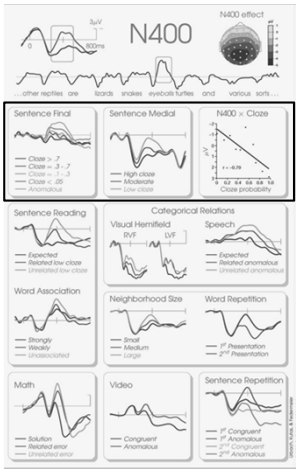


Figure 4. ERPs to congruent and incongruent prime/target pairs.

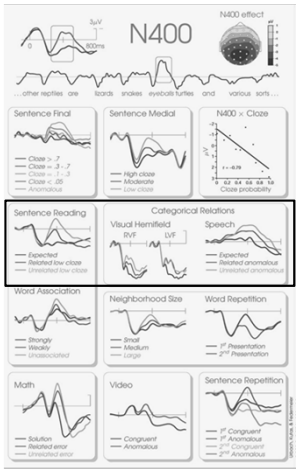
Congruent or incongruent defined based on idiographic data from pretest

Morris Squires et al. *Political Psychology* 2003



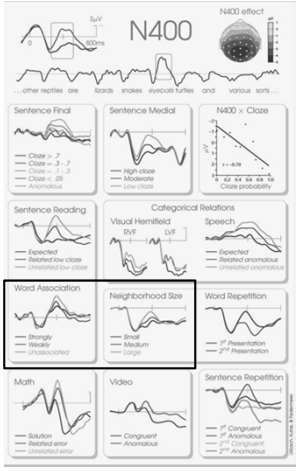
- Cloze probability: proportion of respondents supplying the word as continuation given preceding context
- N400 reflects unexpected word given the preceding context
- This is independent of degree of contextual constraint
- Larger N400
  - Low cloze, Contextual constraint high:
    - *The bill was due at the end of the hour*
  - Low cloze, Contextual constraint low:
    - *He was soothed by the gentle wind*
- *Smaller N400*
  - *The bill was due at the end of the month*

Kutas & Federmeier, 2011



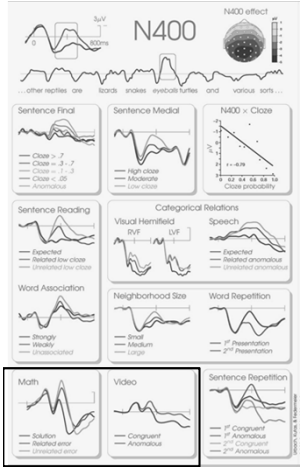
- Sentence completion
  - Best (expected) ending *small*
  - Unexpected but related *larger*
  - Unexpected and unrelated *largest*
- Categorical relations … sentence final word is:
  - an expected category exemplar
  - an unexpected, implausible exemplar from the same category as the expected one (related anomalous)
  - from a different category (unrelated anomalous)
- Note multiple modalities of effect, and graded effect in RVF (LH)

Kutas & Federmeier, 2011



- Word Association, with second word in pair
  - Unrelated to first (*eat door*)
  - Weakly related to first (*eat spoon*)
  - Strongly related to first (*eat drink*)
- Orthographic neighborhood size (among a list of words, pseudowords, and acronyms)
  - Words that share all but one letter in common with particular word
  - Large 'hood (e.g., slop) – *large N400*
  - Small 'hood (e.g. draw) – *small N400*

Kutas & Federmeier, 2011



- Math: (e.g., 5 x 8 = ___)
  - Correct (40) *small*
  - Related (32, 24, 16) *small if close*
  - Unrelated (34, 26, 18) *large*
- Movement and Gestures
  - Typical actions (cutting bread with knife) = *small*
  - Purposeless, inappropriate, or impossible actions = *large*
    - *Cutting jewelry on plate with fork and knife*
    - *Cutting bread with saw*
  - N400 modulated by both:
    - appropriateness of object (e.g., screwdriver instead of key into keyhole)
    - features of motor act per se (e.g., orientation of object to keyhole)

Kutas & Federmeier, 2011