

Neurostimulation & Neuromodulation

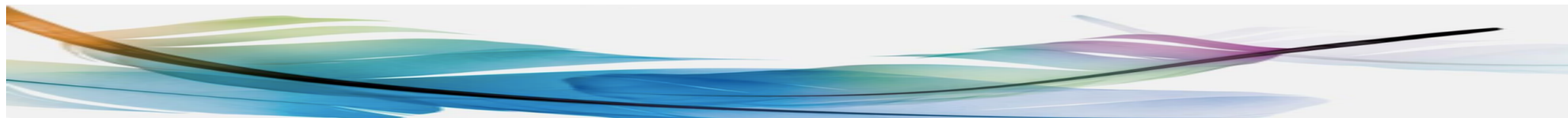


Introduction to Transcranial Magnetic Stimulation

Ying-hui Chou

Director of Brain Imaging and TMS Laboratory
Psychology, BIO5 Institute, Center on Aging
University of Arizona, McKnight Brain Institute

1. How does TMS work?
2. What is single-pulse TMS? When do we use this paradigm?
3. What is repetitive TMS? How does frequency play a role in its effects?
4. How long do TMS effects last?
5. What are the clinical applications of TMS?
6. What is the potential mechanism of repetitive TMS effects?
7. Who can not be a subject of TMS studies?



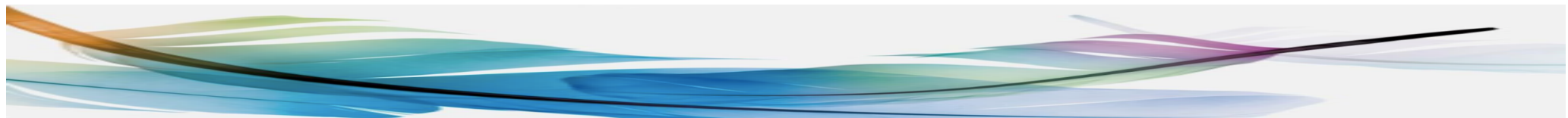
What is Transcranial Magnetic Stimulation (TMS)?

A non-invasive brain stimulation technique

Does not require surgery, anesthesia, or sedation.



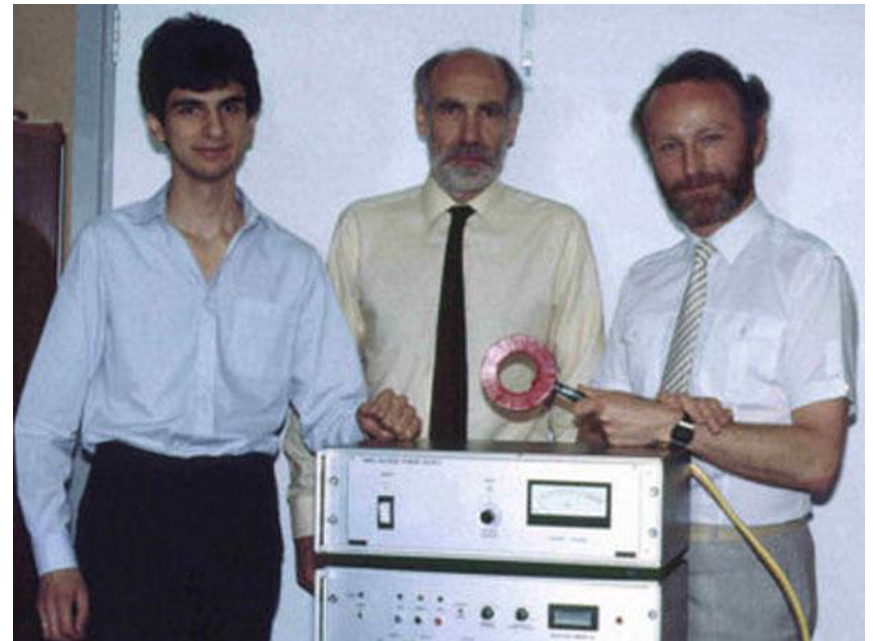
Photographer: J-M Mizell



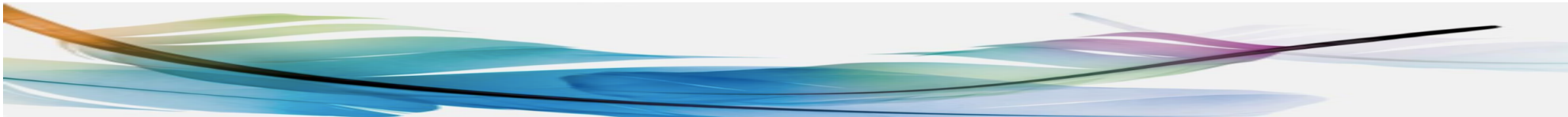
First TMS Machine (Barker et al., 1985)

NON-INVASIVE MAGNETIC STIMULATION OF HUMAN MOTOR CORTEX

SIR,—This note describes a novel method of directly stimulating the human motor cortex by a contactless and non-invasive technique using a pulsed magnetic field. Merton et al¹ have drawn attention to the electrical stimulation of human brain and spinal cord using external electrodes on the skin. Interesting results have been reported on the cortical threshold in Parkinson's disease,² on pyramidal conduction velocity in multiple sclerosis,³ and on pelvic neuropathy related to faecal incontinence.⁴

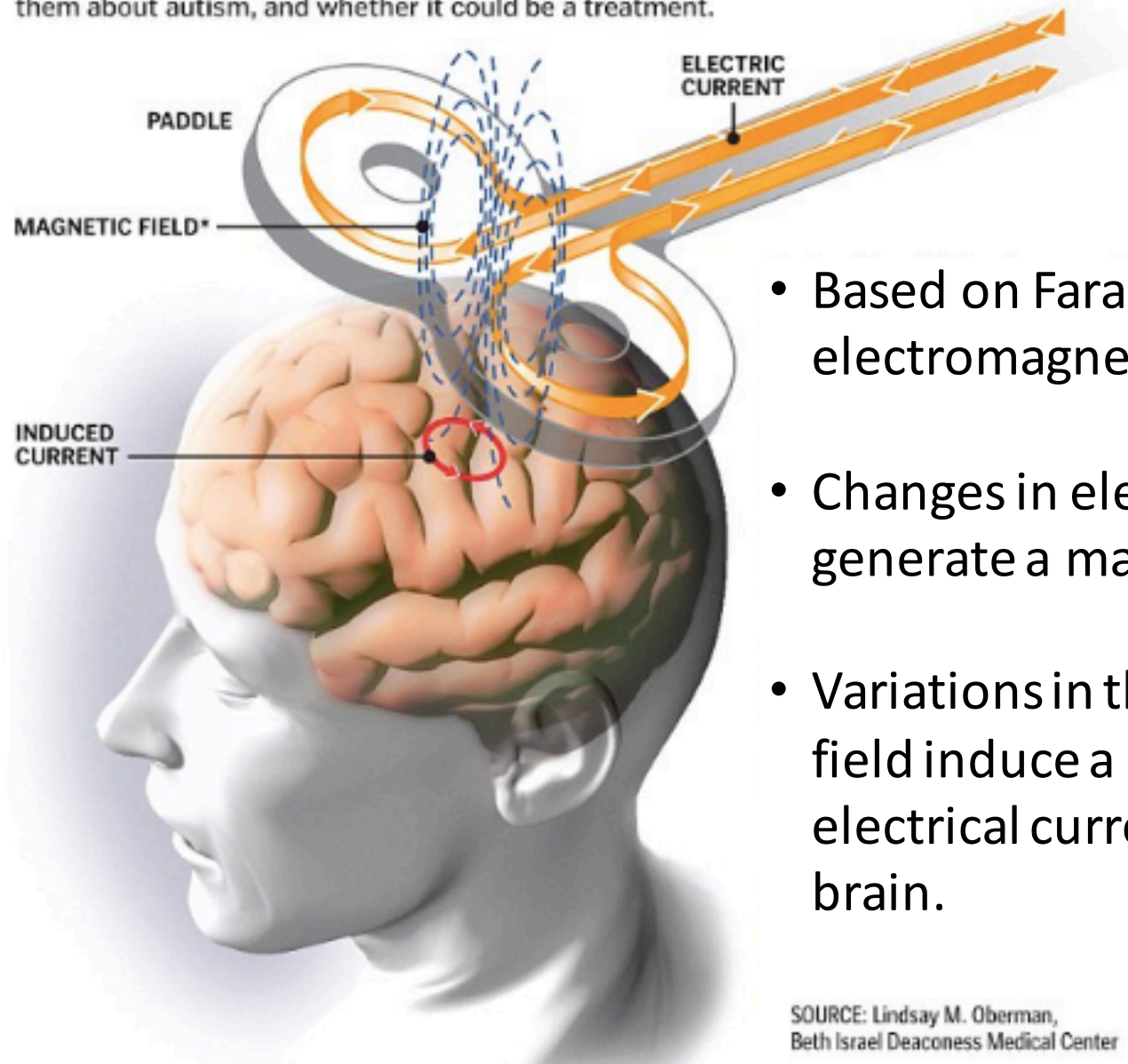


First Brain Stimulation Award (2017)



How transcranial magnetic stimulation works

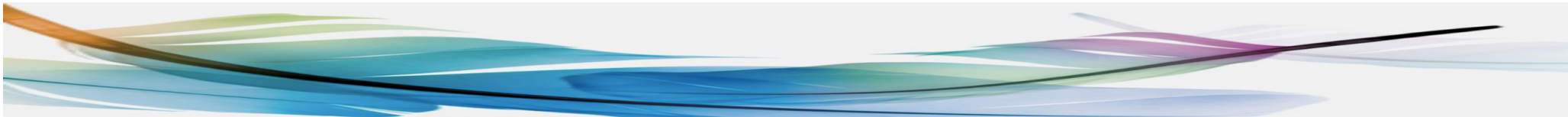
Researchers are exploring what the noninvasive technique can teach them about autism, and whether it could be a treatment.



- Based on Faraday's electromagnetic induction
- Changes in electric current generate a magnetic field.
- Variations in the magnetic field induce a secondary electrical current in the brain.

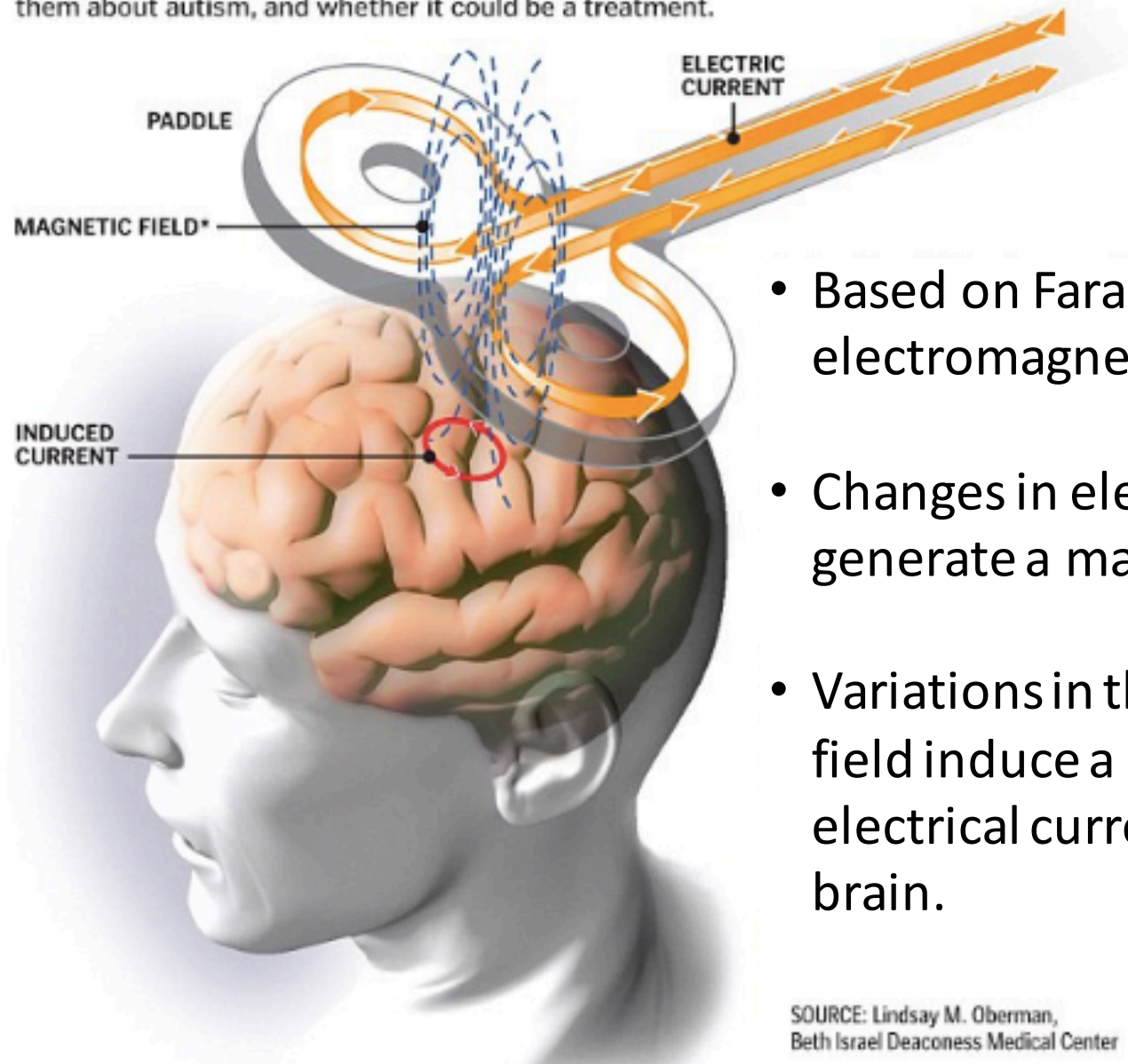
SOURCE: Lindsay M. Oberman,
Beth Israel Deaconess Medical Center

Faraday's Electromagnetic Induction (1831)



How transcranial magnetic stimulation works

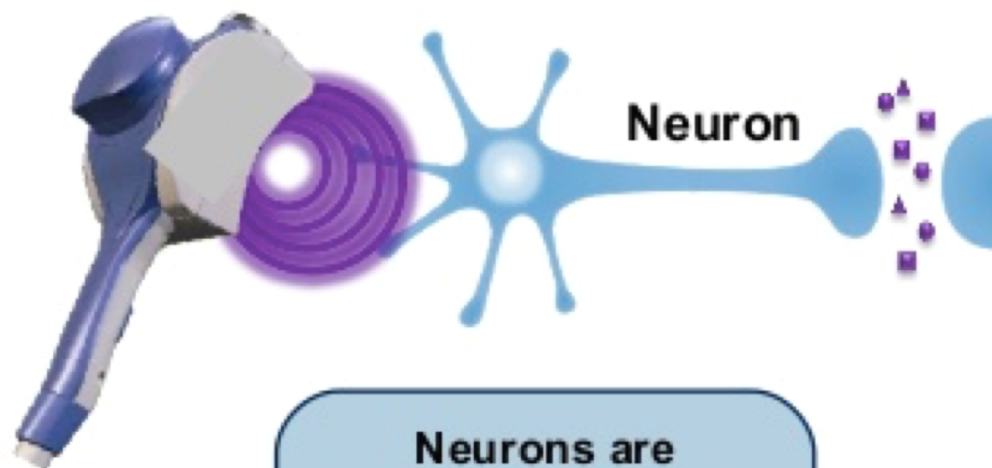
Researchers are exploring what the noninvasive technique can teach them about autism, and whether it could be a treatment.



- Based on Faraday's electromagnetic induction
- Changes in electric current generate a magnetic field.
- Variations in the magnetic field induce a secondary electrical current in the brain.

SOURCE: Lindsay M. Oberman,
Beth Israel Deaconess Medical Center

TMS Directly Depolarizes Cortical Neurons



Neurons are
“electrochemical
cells” and respond to
either electrical or
chemical stimulation

**Pulsed magnetic fields
from TMS:**

- induce a local electric current in the cortex which depolarizes neurons
- eliciting action potentials
- causing the release of chemical neurotransmitters

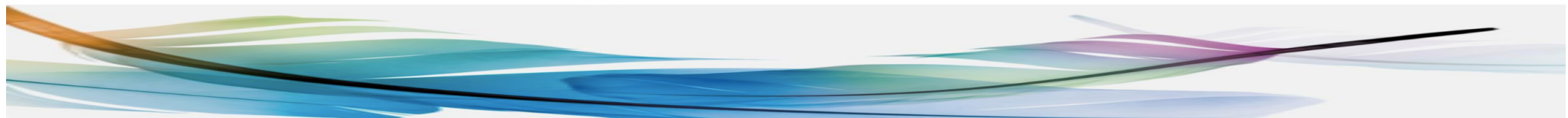
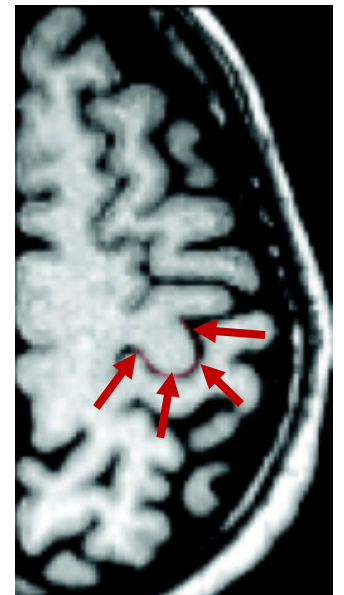
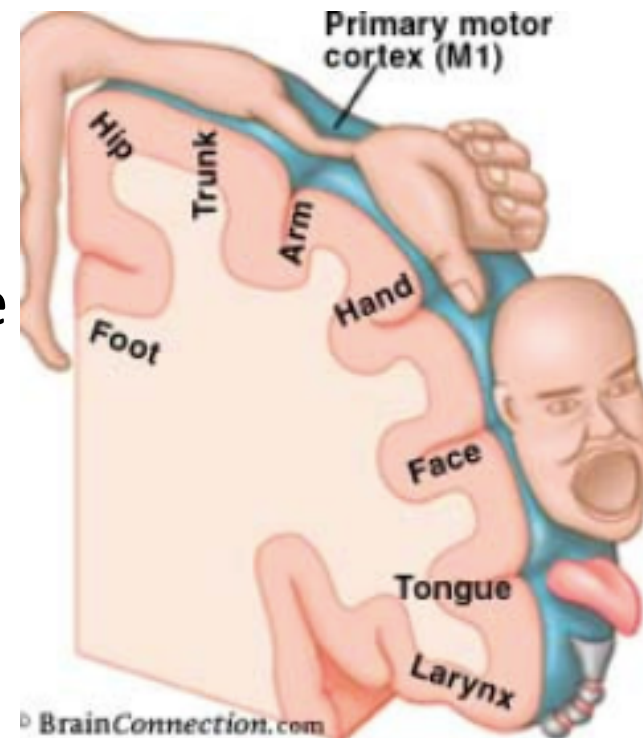
TMS Basics

Time: 100-300 ms

Depth: within 1 inch below surface

Temporal resolution: 100 Hz

Spatial resolution: $< 0.5 \times 0.5 \text{ inch}^2$



TMS

```
graph TD; TMS[TMS] --> SinglePulseTMS[Single-Pulse TMS]; TMS --> RepetitiveTMS[Repetitive TMS];
```

The diagram is a simple tree structure. At the top is a green rounded rectangle containing the text 'TMS'. A dark blue line descends from the bottom center of this rectangle and splits into two horizontal branches. Each branch ends in a dark blue rounded rectangle. The left rectangle contains the text 'Single-Pulse TMS' and the right rectangle contains the text 'Repetitive TMS'. The entire diagram is set against a white background.

Single-Pulse TMS

Repetitive TMS

TMS

```
graph TD; TMS[TMS] --> SinglePulseTMS[Single-Pulse TMS]; TMS --> RepetitiveTMS[Repetitive TMS];
```

Single-Pulse TMS

Repetitive TMS

0.1 Hz

1 Hz

5 Hz

10 Hz

20 Hz

50 Hz

100 Hz

Single-Pulse TMS



```
graph LR; A[Single-Pulse TMS] --- B[1. Calibrate TMS intensity]; A --- C[2. Measure cortical excitability]; A --- D[3. Create virtual lesion and probe causal brain-behavior relationship];
```

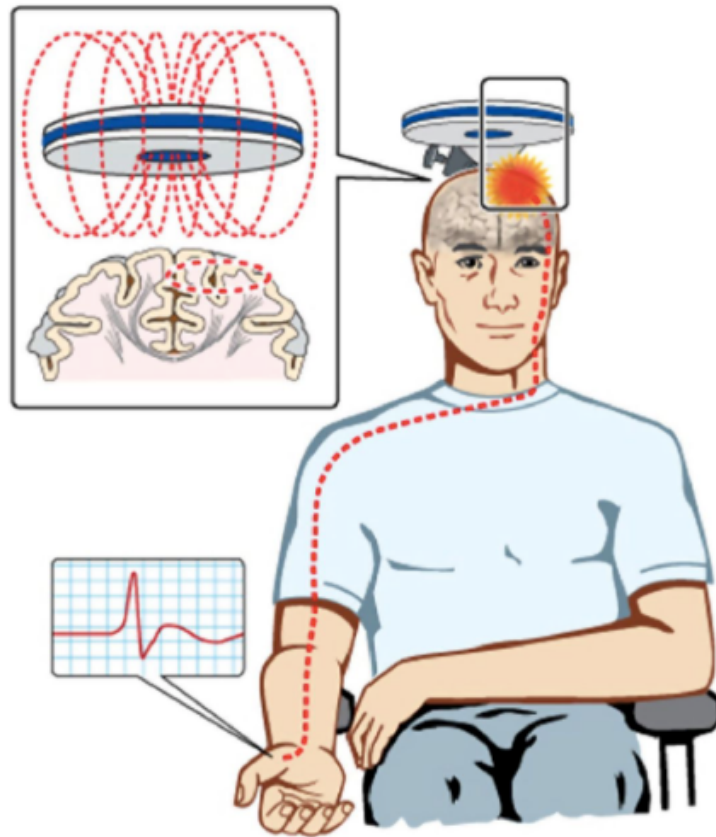
1. Calibrate TMS intensity

2. Measure cortical excitability

3. Create virtual lesion and
probe causal brain-behavior
relationship

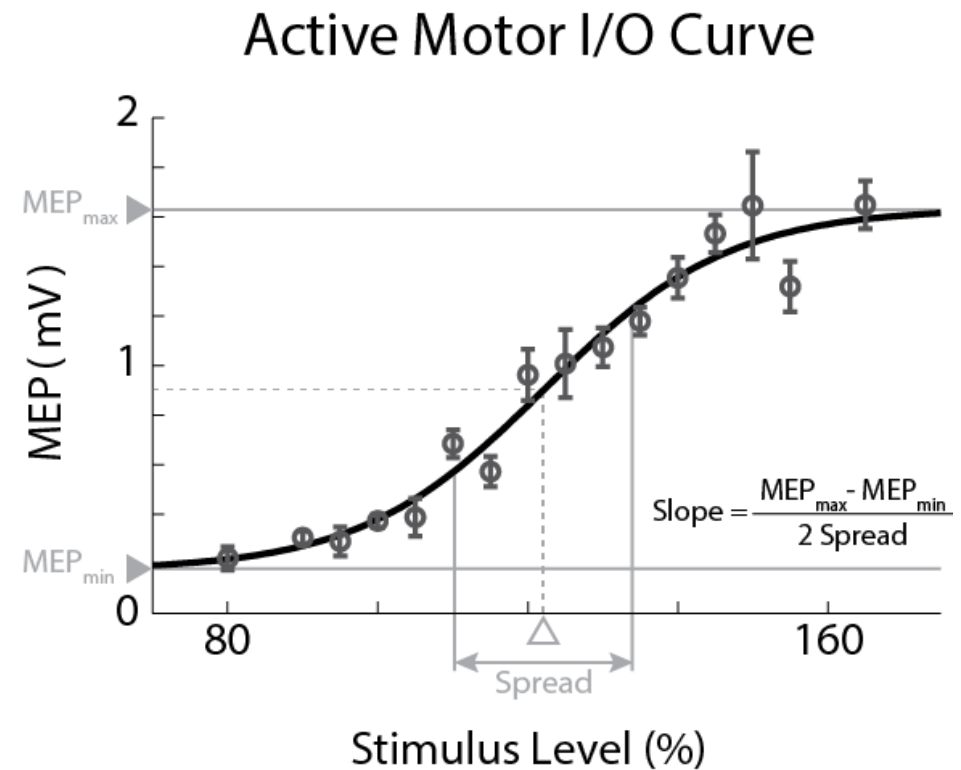
Single-Pulse TMS

1. Calibrate TMS intensity



Single-Pulse TMS

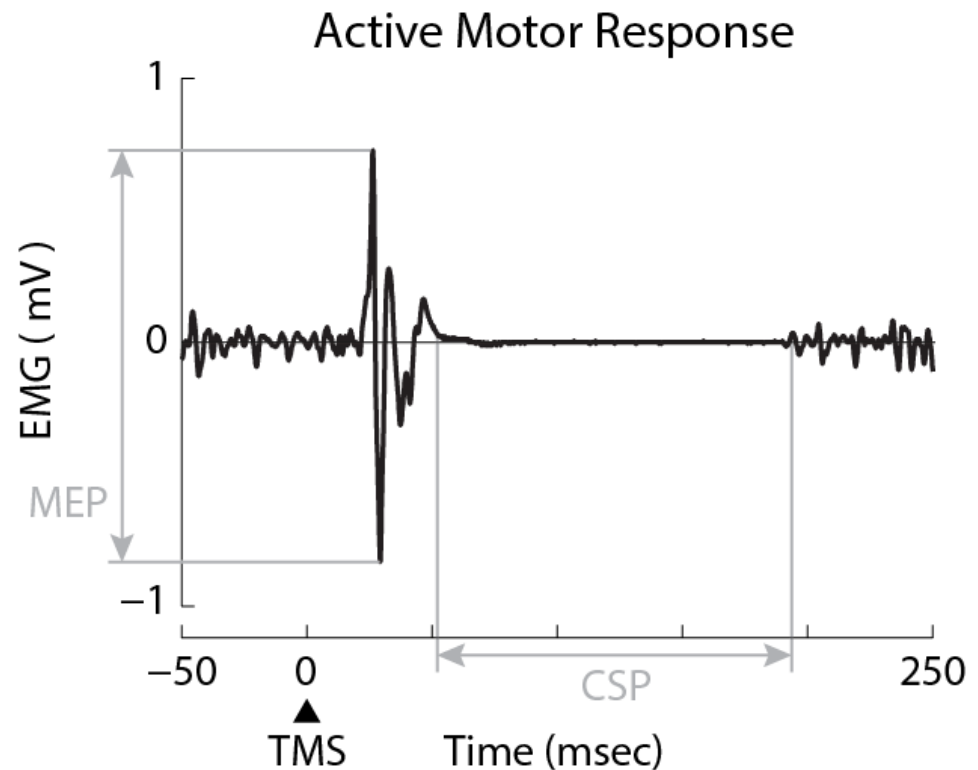
2. Measure cortical excitability



Lim, Sundman & Chou, manuscript under revision

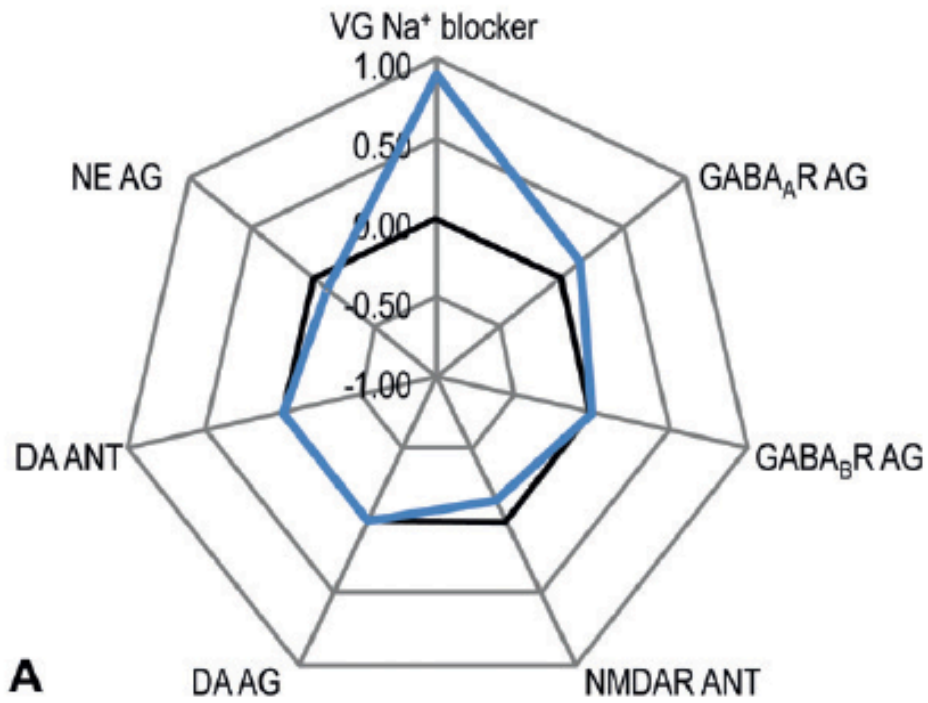
Single-Pulse TMS

2. Measure cortical excitability

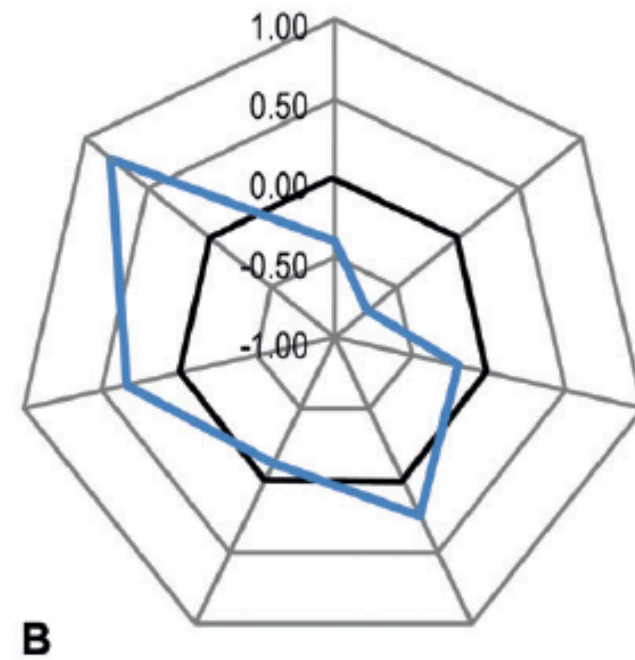


Lim, Sundman & Chou, manuscript under revision

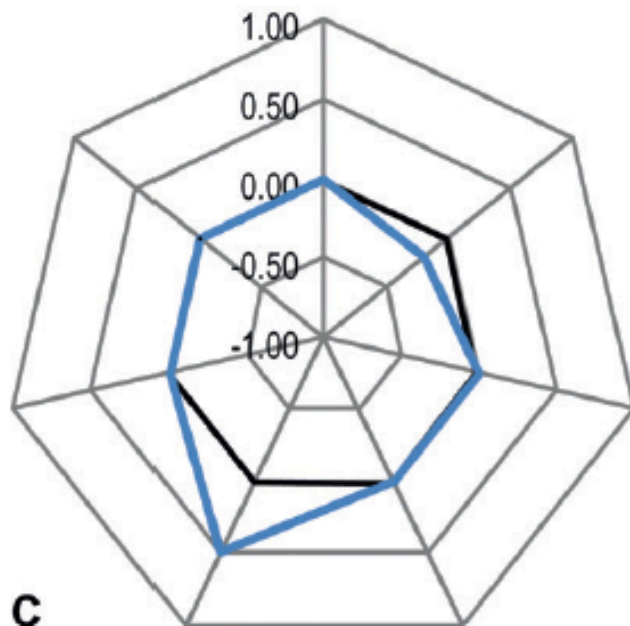
Motor threshold



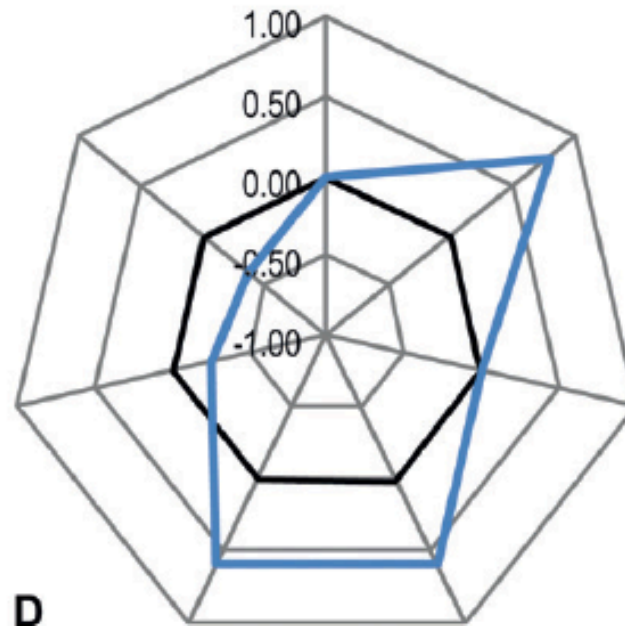
MEP



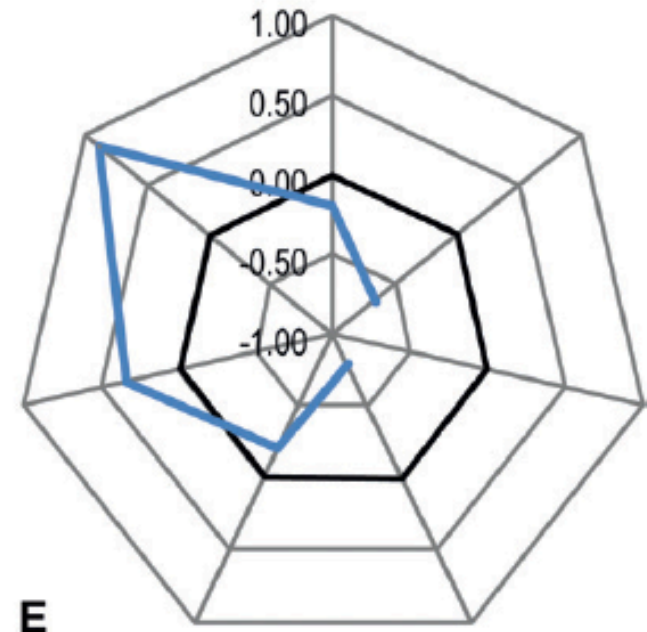
CSP



SICI



ICF

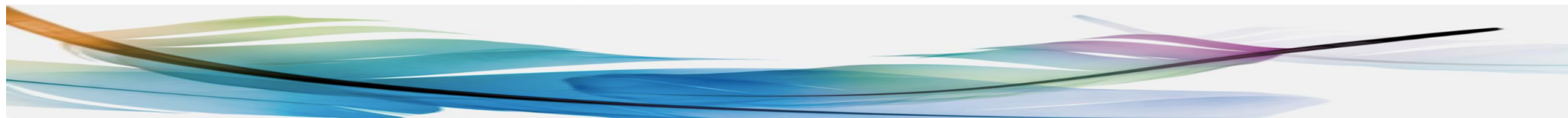


Single-Pulse TMS

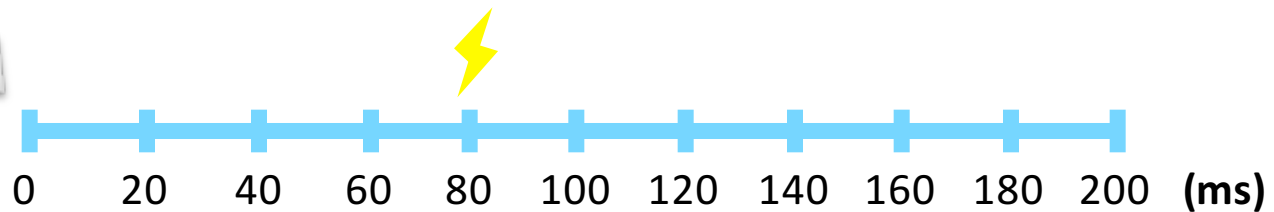


3. Create virtual lesion and probe causal brain-behavior relationship

Virtual lesion – a transient disruption of the functioning of a given cortical region



Onset of
3 letters

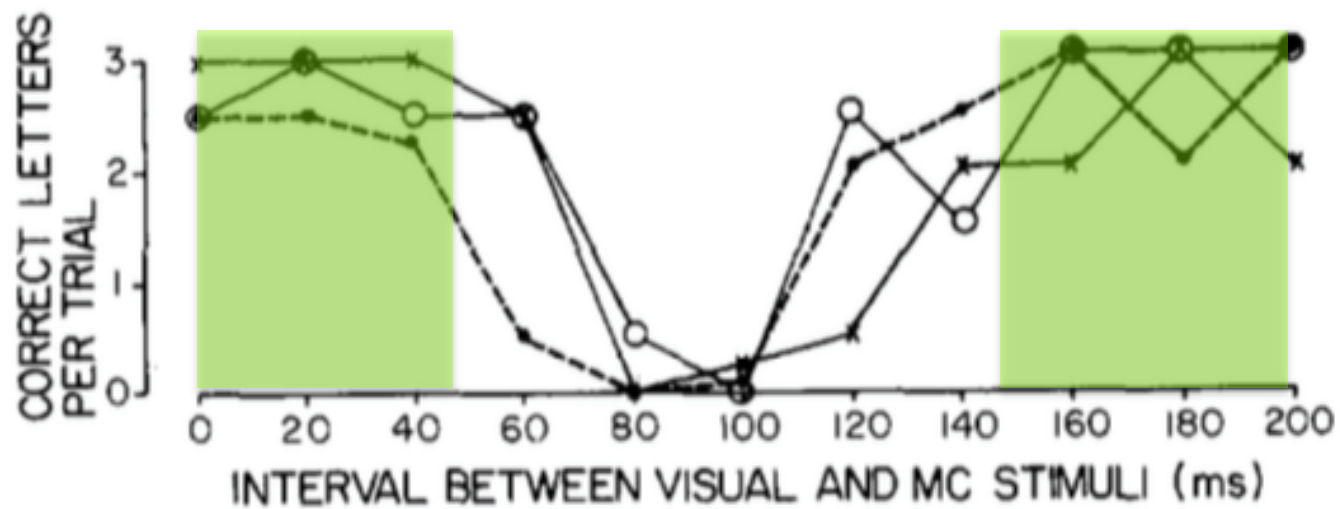
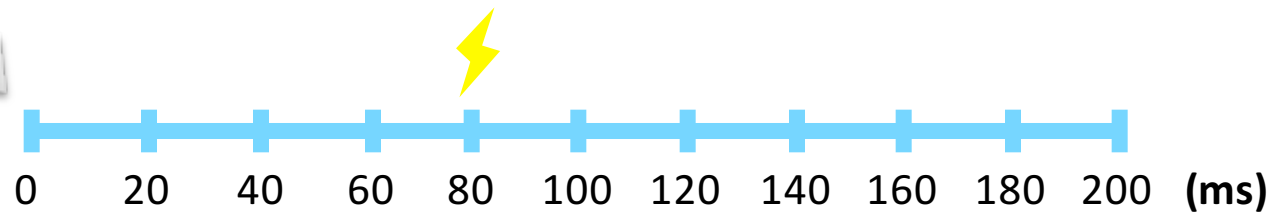


Participants were instructed to report 3 briefly presented, randomly generated letters (e.g., APD).

Amassian et al. 1989

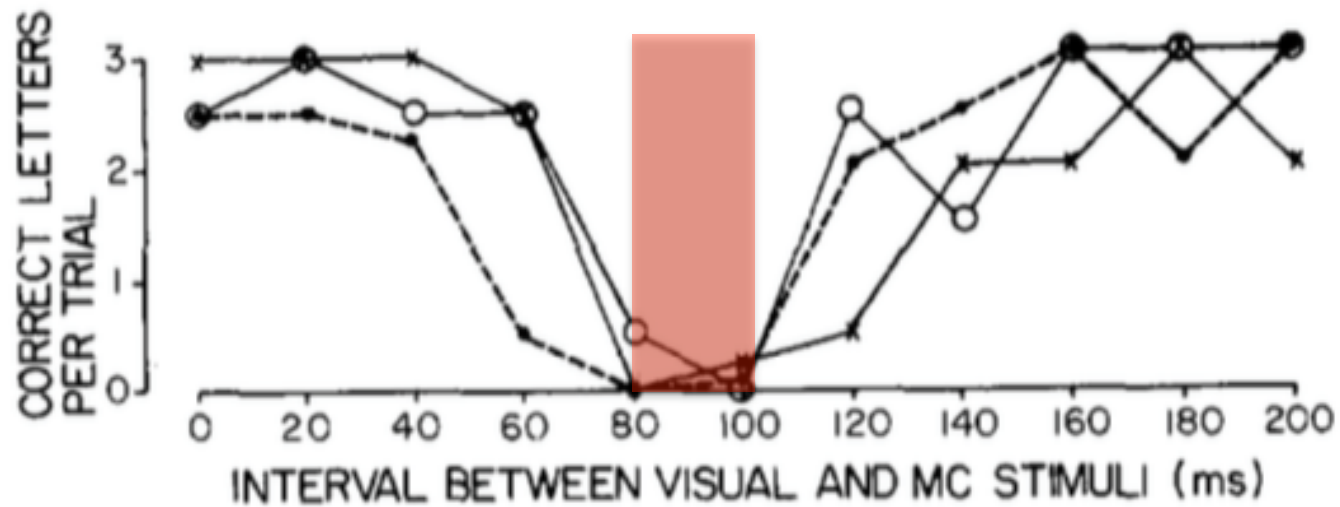
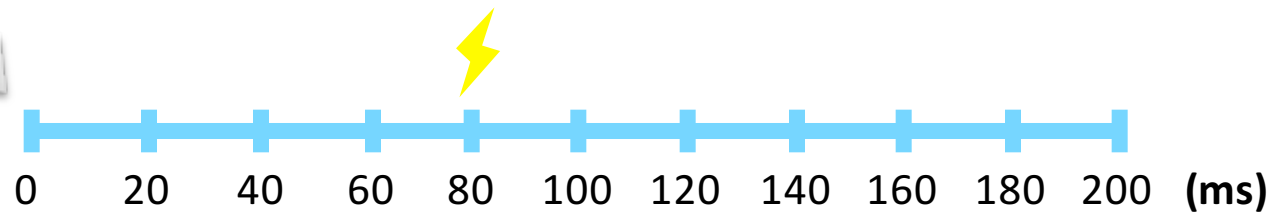


Onset of
3 letters



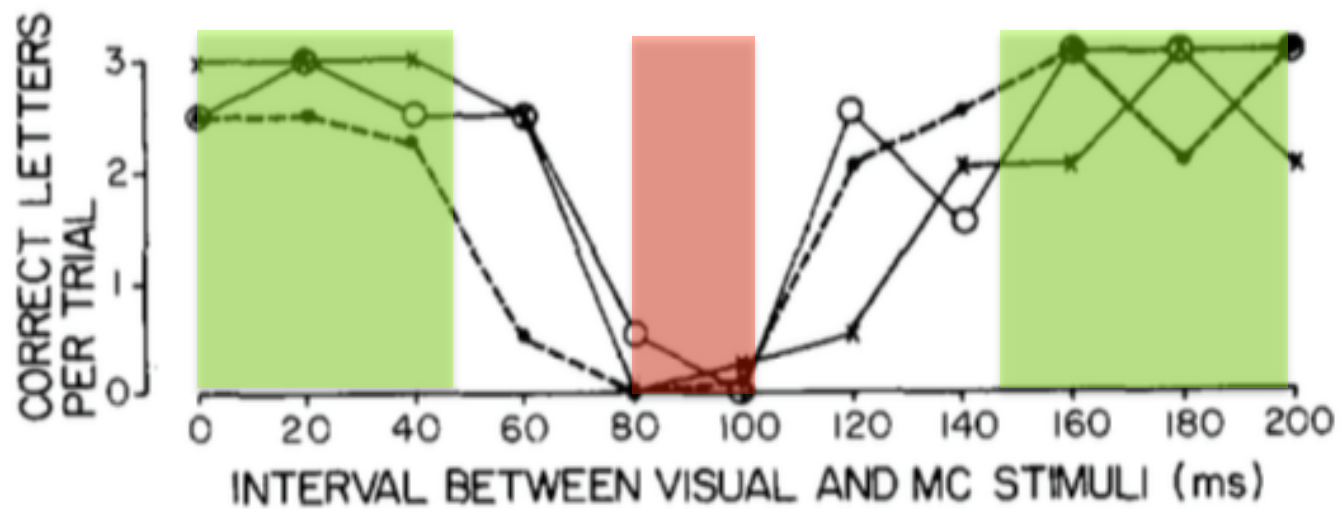
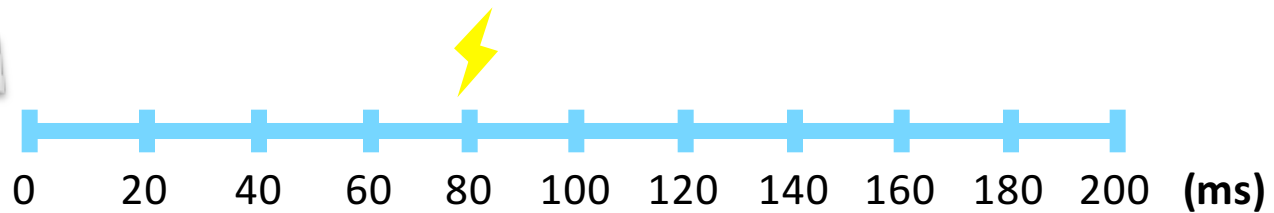
Amassian et al. 1989

Onset of
3 letters



Amassian et al. 1989

Onset of
3 letters



Amassian et al. 1989

Single-Pulse TMS



```
graph LR; A[Single-Pulse TMS] --- B[1. Calibrate TMS intensity]; A --- C[2. Measure cortical excitability]; A --- D[3. Create virtual lesion and probe causal brain-behavior relationship];
```

1. Calibrate TMS intensity

2. Measure cortical excitability

3. Create virtual lesion and
probe causal brain-behavior
relationship

TMS

Single-Pulse TMS

Repetitive TMS

Low-Frequency rTMS

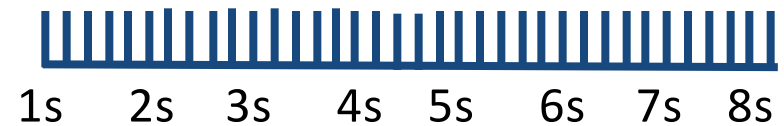
≤ 1 Hz



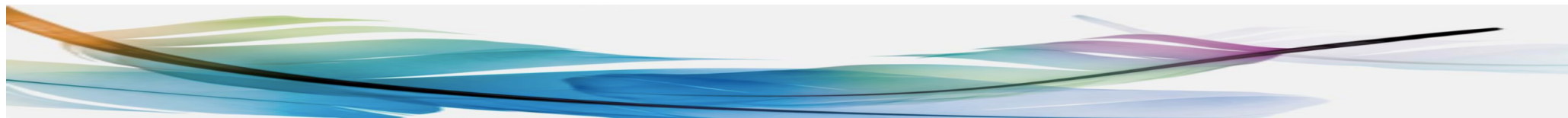
Decreasing Brain Activity

High-Frequency rTMS

≥ 5 Hz



Increasing Brain Activity



TMS

```
graph TD; TMS[TMS] --> SinglePulse[Single-Pulse TMS]; TMS --> RepetitiveTMS[Repetitive TMS]; RepetitiveTMS --> LowFrequency[Low-Frequency rTMS]; RepetitiveTMS --> HighFrequency[High-Frequency rTMS];
```

Single-Pulse TMS

TMS effect does not last beyond the duration of stimulation (100-300 ms)

Repetitive TMS

Low-Frequency rTMS

High-Frequency rTMS

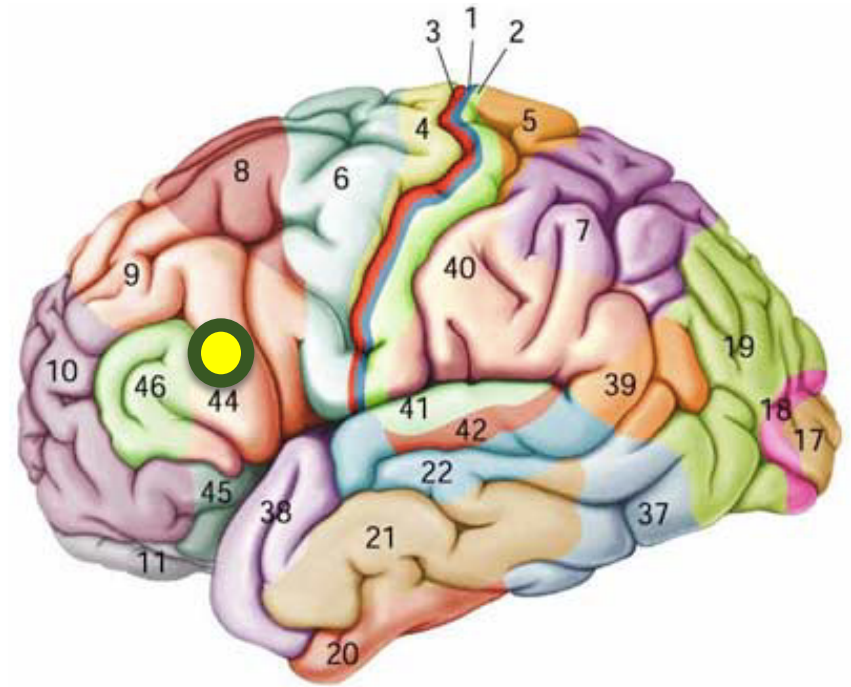
Effect of 1 session of repetitive TMS lasts up to 60 minutes

Effect of multiple sessions of repetitive TMS lasts up to 3 months



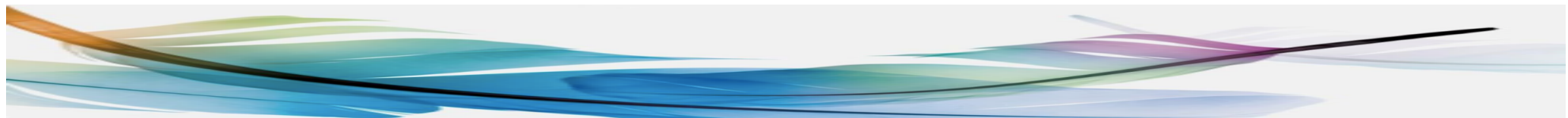
Repetitive TMS for Depression

In 2008, FDA approved the first device using rTMS as a treatment for major depression for patients who do not respond to at least one antidepressant medication in the current episode.



Single-Pulse TMS for Migraine

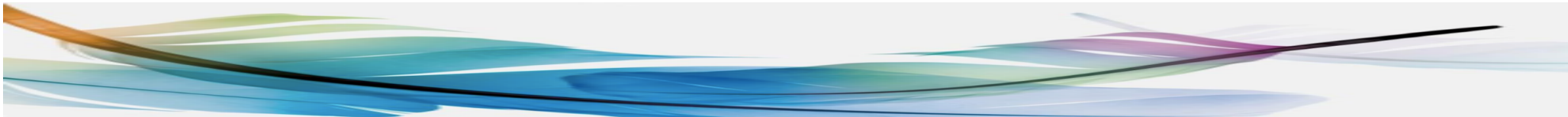
In 2013, FDA approved the first device using single-pulse TMS as a treatment for migraine with aura.



Repetitive TMS for Obsessive-Compulsive Disorder



In 2018, FDA permitted marketing of the first device using Deep TMS as a treatment for obsessive-compulsive disorder.



Original Investigation

Effects of Repetitive Transcranial Magnetic Stimulation on Motor Symptoms in Parkinson Disease

A Systematic Review and Meta-analysis


Ying-hui Chou, ScD; Patrick T. Hickey, DO; Mark Sundman, BS; Allen W. Song, PhD; Nan-kuei Chen, PhD

IMPORTANCE Repetitive transcranial magnetic stimulation (rTMS) is a noninvasive neuromodulation technique that has been closely examined as a possible treatment for Parkinson disease (PD). However, results evaluating the effectiveness of rTMS in PD are mixed, mostly owing to low statistical power or variety in individual rTMS protocols.

OBJECTIVES To determine the rTMS effects on motor dysfunction in patients with PD and to examine potential factors that modulate the rTMS effects.

DATA SOURCES Databases searched included PubMed, EMBASE, Web of Knowledge, Scopus, and the Cochrane Library from inception to June 30, 2014.

STUDY SELECTION Eligible studies included sham-controlled, randomized clinical trials of rTMS intervention for motor dysfunction in patients with PD.

 Supplemental content at
jamaneurology.com

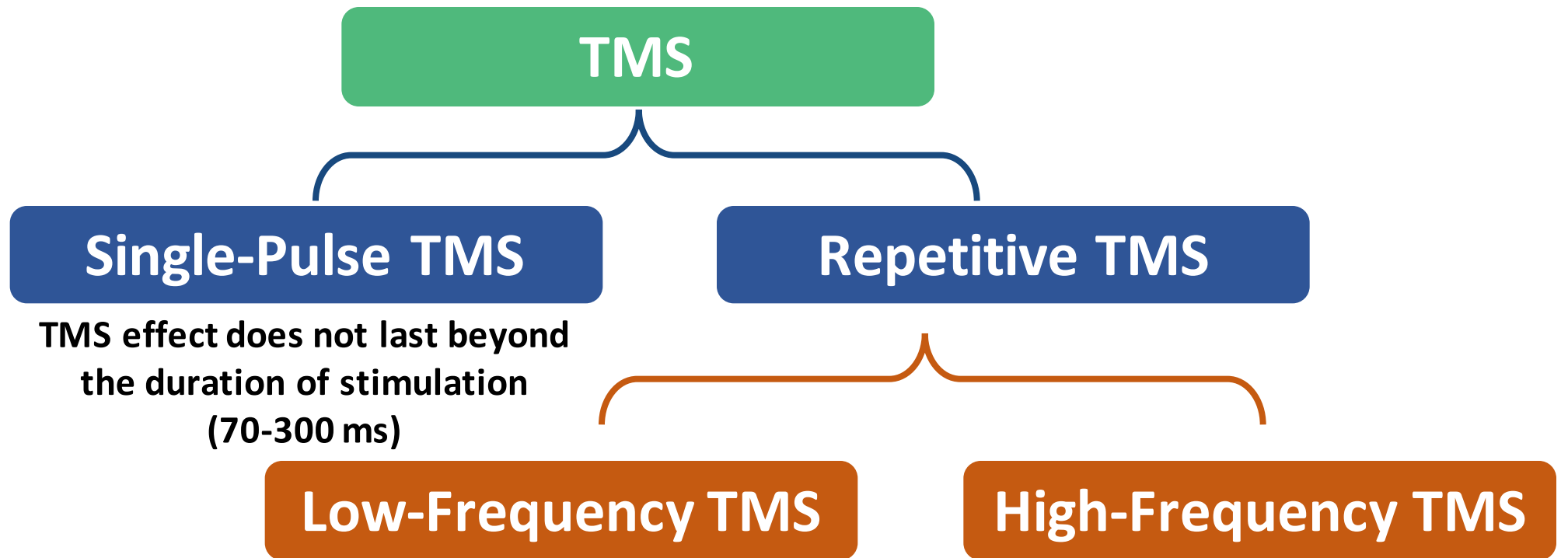


BRAIN CONNECTIVITY
Volume 5, Number 7, 2015
© Mary Ann Liebert, Inc.
DOI: 10.1089/brain.2014.0325

Effect of Repetitive Transcranial Magnetic Stimulation on fMRI Resting-State Connectivity in Multiple System Atrophy

Ying-hui Chou,^{1,2} Hui You,³ Han Wang,⁴ Yan-Ping Zhao,³ Bo Hou,³ Nan-kuei Chen,^{1,5} and Feng Feng³





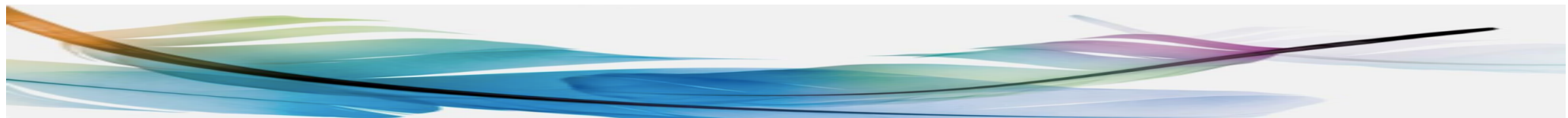
Effect of 1 session of repetitive TMS lasts up to 60 minutes

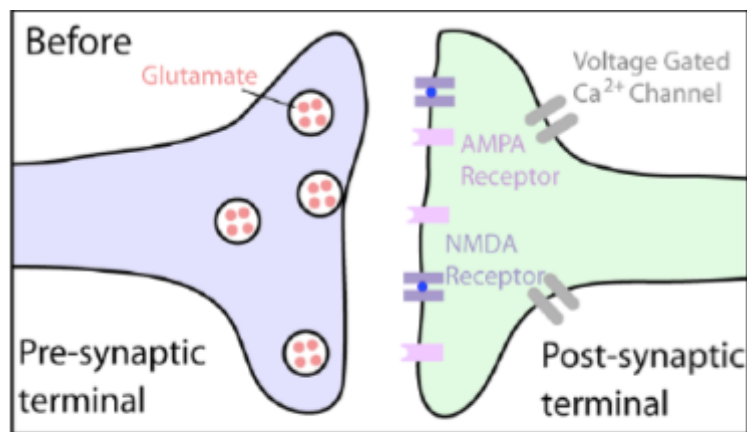
Effect of multiple sessions of repetitive TMS lasts up to 3 months



Mechanism Underlying the Plasticity Effects of rTMS

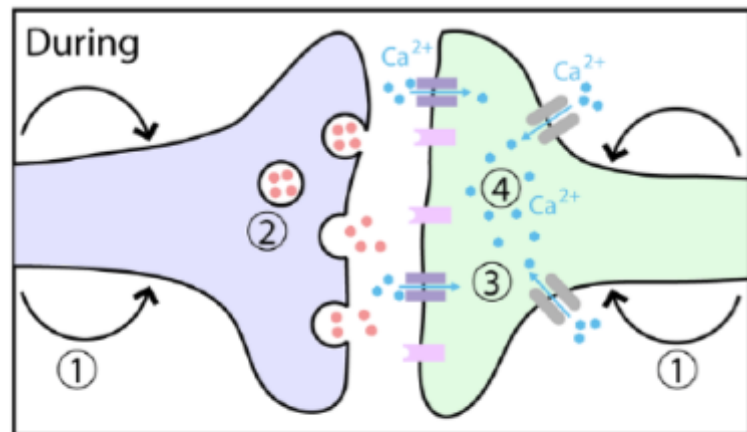
Changing effectiveness of synaptic interaction
(LTP-like and LTD-like plasticity)



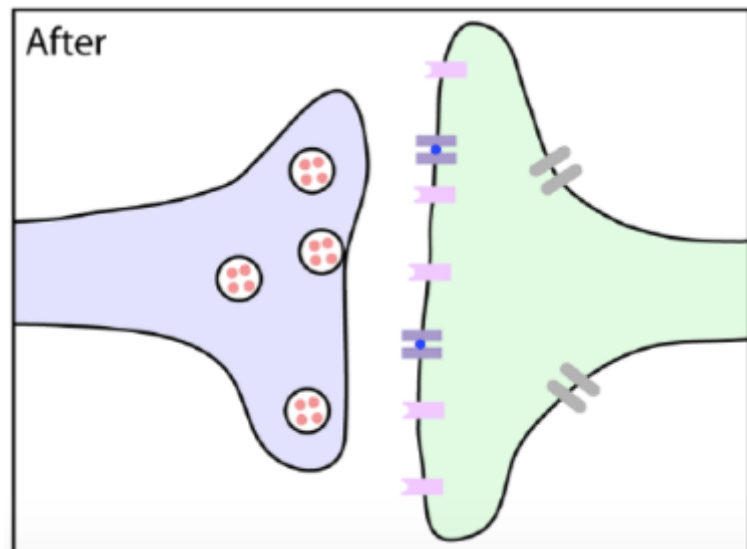


Pre-synaptic terminal: Glutamate neurotransmitter ready for release.

Post-synaptic terminal: Dendritic spine containing L-type voltage gated calcium channels, AMPA and NMDA receptors (glutamate receptors) are closed.



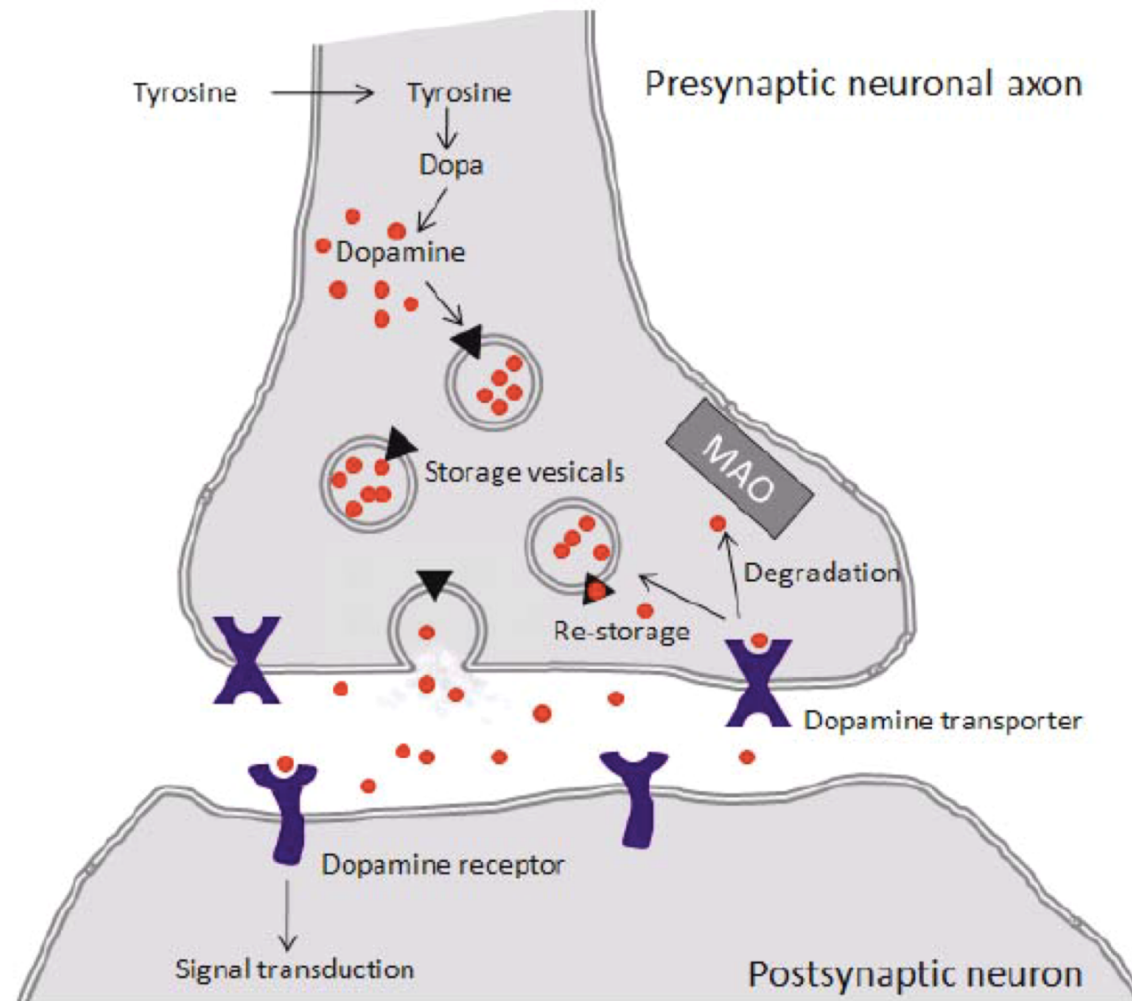
- ① rTMS induced membrane depolarisation induces simultaneous anterograde (pre-synaptic neuron) and backwards propagating action potentials (post-synaptic neuron).
- ② Glutamate neurotransmitter released from presynaptic terminal into synapse.
- ③ Removal of NMDA receptor magnesium block, activation of L-type voltage gated calcium channels.
- ④ Accumulation of post-synaptic calcium through opening of voltage gated calcium channels, AMPA and NMDA receptors.



Transient enlargements of specific post-synaptic terminals ("small" spines) and the accumulation of AMPA receptors lead to LTP.

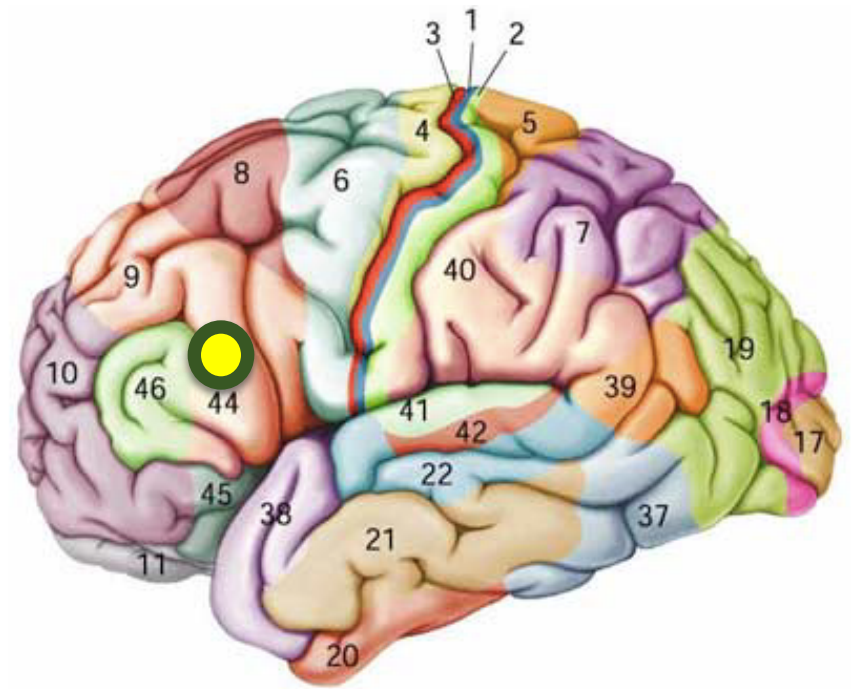
Single Photon Emission Computed Tomography (SPECT)

Striatal Dopamine Transporters (DaT) Scan – FDA Approval in 2011



2-Week Daily Sessions of 15 Hz rTMS at Left Dorsolateral Prefrontal Cortex

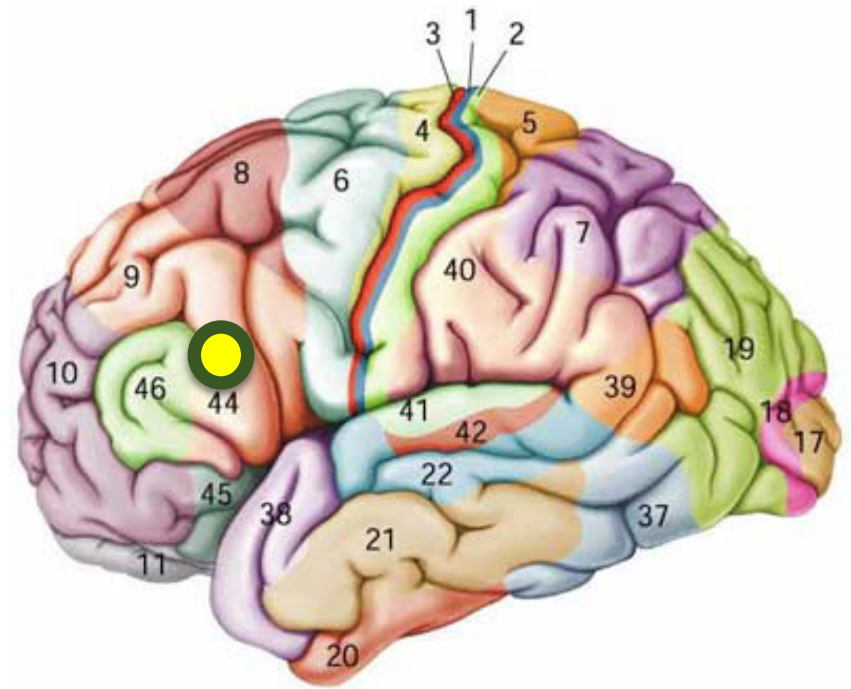
Striatal DaT Decreased in People with Gambling Addiction!



Pettrorosso et al., 2019

4-Week Daily Sessions of 10 Hz rTMS at Dorsolateral Prefrontal Cortex

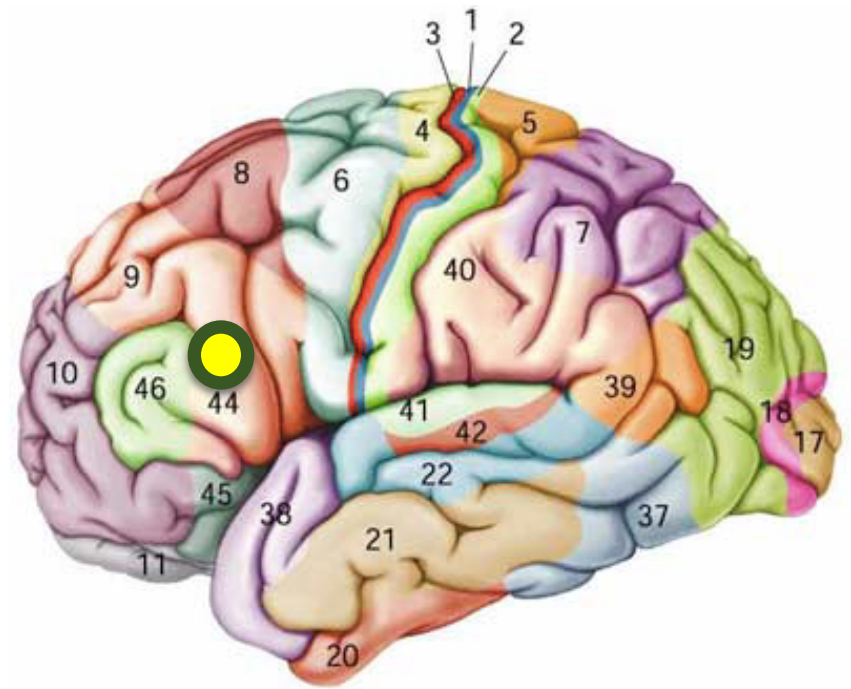
Striatal DaT Decreased in People with Alcohol Use Disorder!



Addolorato et al., 2017

3-Week Daily Sessions of 10 Hz rTMS at Left Dorsolateral Prefrontal Cortex

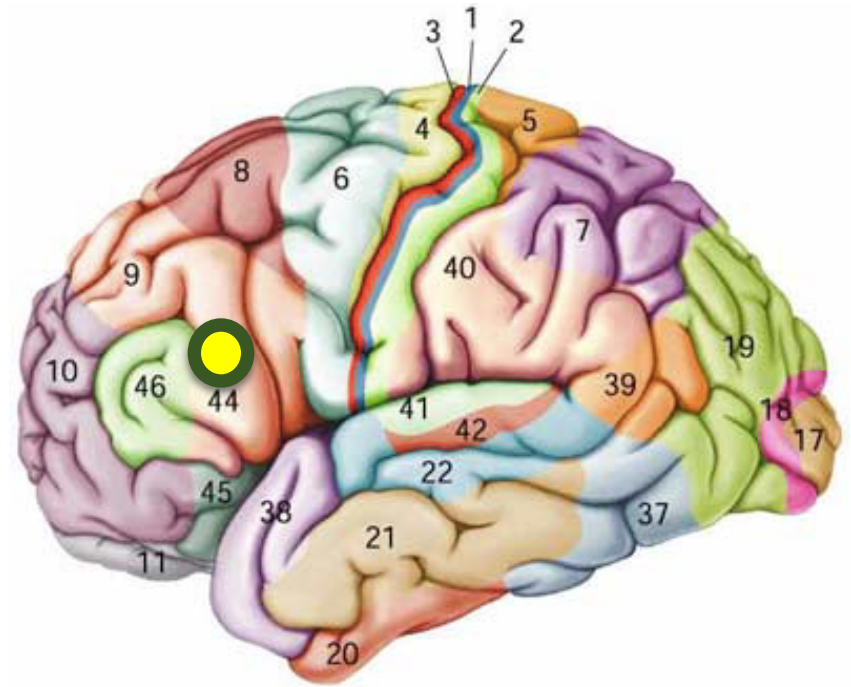
Striatal DaT Decreased in People with Depression!



Pogarell et al., 2006

1 Session of Inhibitory rTMS at Left Dorsolateral Prefrontal Cortex

Striatal Dopamine Increased in Healthy Adults!

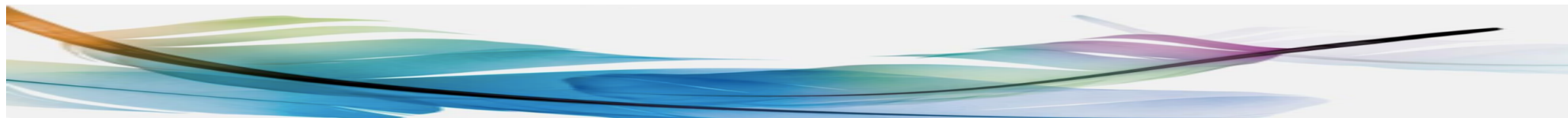


Ko et al., 2008

TMS Safety Considerations

Possible side effects of TMS

- Transient headache or neck pain (<10% healthy people – 1% in our laboratory; 30% people with clinical disorders)
- Seizure (< 0.03%)



Contraindications to TMS

- Personal or family history of seizure
- Implanted cranial electrodes (heating)
- Cochlear implants (heating)
- Cerebral lesions (risk of seizure)
- Drug/Medication interactions
- Recent drug withdrawal
- Pregnancy
- Children
- Sleep deprivation

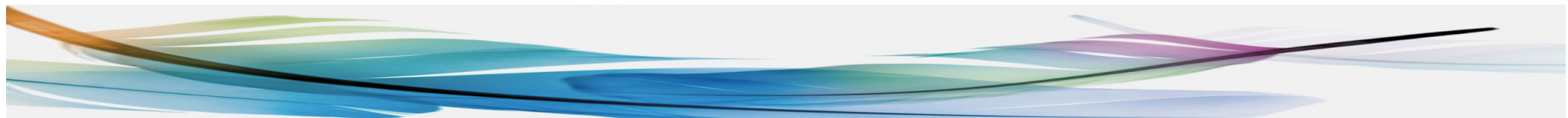


Table 2
Drugs with potential Hazards for rTMS

Strong potential hazard	Relative hazard
Alcohol	Ampicillin
Amitriptyline	Anticholinergics
Amphetamines	Antihistamines
Chlorpromazine	Aripiprazole
Clozapine	BCNU
Cocaine	Bupropion
Doxepine	Cephalosporins
Ecstasy	Chlorambucil
Foscarnet	Chloroquine
Gamma-hydroxybutyrate (GHB)	Citalopram
Ganciclovir	Cyclosporine
Imipramine	Cytosine arabinoside
Ketamine	Duloxetine
Maprotiline	Fluoxetine
MDMA	Fluphenazine
Nortriptyline	Fluvoxamine
Phencyclidine (PCP)	Haloperidol
Ritonavir	Imipenem
Theophylline	Isoniazid
	Levofloxacin
	Lithium
	Mefloquine
	Methotrexate
	Metronidazole
	Mianserin
	Mirtazapine
	Olanzapine
	Paroxetine
	Penicillin
	Pimozide

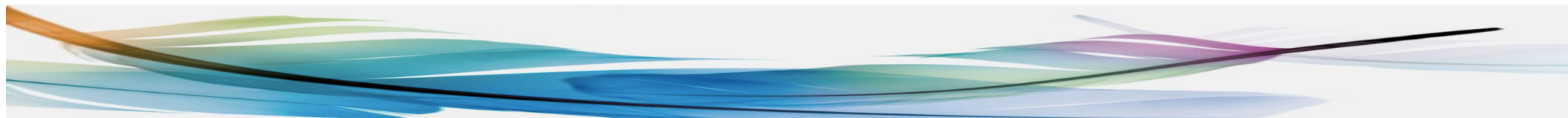
Strong potential hazard	Relative hazard
	Quetiapine
	Reboxetine
	Risperidone
	Sertraline
	Sympathomimetics
	Venlafaxine
	Vincristine
	Ziprasidone

TMS Safety Guidelines

Parameter safety issues: maximum recommended stimulation duration of single TMS trains (in seconds)

Freq (Hz)	90 % MT	100 % MT	110 % MT	120 % MT	130 % MT	140 % MT	150 % MT	160 % MT	170 % MT	180 % MT	190 % MT	200 % MT
1	>1,800	>1,800	>1,800	360	>50	>50	>50	>50	27	11	11	8
5	>10	>10	>10	>10	>10	7.6	5.2	3.6	2.6	2.4	1.6	1.4
10	>5	>5	>5	4.2	2.9	1.3	0.8	0.9	0.8	0.5	0.6	0.4
20	2.05	2.05	1.6	1.0	0.55	0.36	0.25	0.25	0.15	0.2	0.25	0.2
25	1.28	1.28	0.84	0.4	0.24	0.2	0.24	0.2	0.12	0.08	0.12	0.12

Rossi et al., 2009



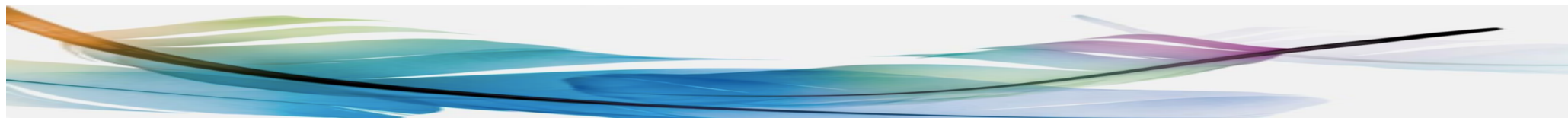
TMS Safety Guidelines

Adapted from Table 4 (Part A) and Table 3 (part B) of [Chen et al., 1997](#), with permission from the authors. Safety recommendations for inter-train intervals for 10 trains at <20 Hz. The maximum duration of pulses for individual rTMS trains at each stimulus intensity should not exceed those listed in the Part B of the table. A consensus has been reached in adopting this table at this point. However, there is a need to extend these investigations and provide more detailed guidelines that may apply also to non-motor areas.

Inter-train interval (ms)	Stimulus intensity (% of MT)							
	100%		105%		110%		120%	
<i>Part A</i>								
5000	Safe		Safe		Safe		Insufficient data	
1000	Unsafe (EMG spread after 3 trains)		Unsafe ^a		Unsafe (EMG spread after 2 trains)		Unsafe (EMG spread after 2 trains)	
250	Unsafe ^a		Unsafe ^a		Unsafe (EMG spread after 2 trains)		Unsafe (EMG spread after 3 trains)	
Frequency (Hz)	100%		110%		120%		130%	
	Duration (s)/pulses		Duration (s)/pulses		Duration (s)/pulses		Duration (s)/pulses	
<i>Part B</i>								
1	>270	>270	>270	>270	>180	>180	50	50
5	10	50	10	50	10	50	10	50
10	5	50	5	50	3.2	32	2.2	22
20	1.5	30	1.2	24	0.8	16	0.4	8
25	1.0	25	0.7	17	0.3	7	0.2	5

^a These stimulus parameters are considered unsafe because adverse events occurred with stimulation of lower intensity or longer inter-train interval, but no adverse effects were observed with these parameters.

Rossi et al., 2009



Thank you!

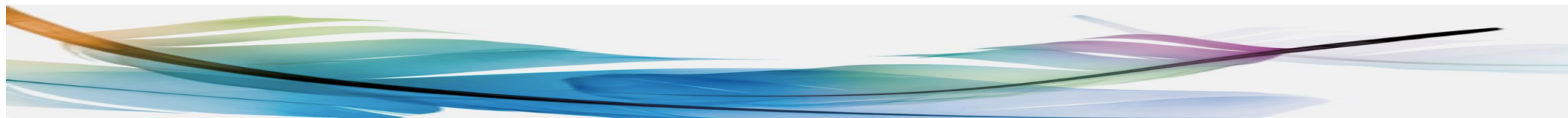
Ying-hui Chou

yinghuichou@email.arizona.edu

Brain Imaging and TMS Laboratory



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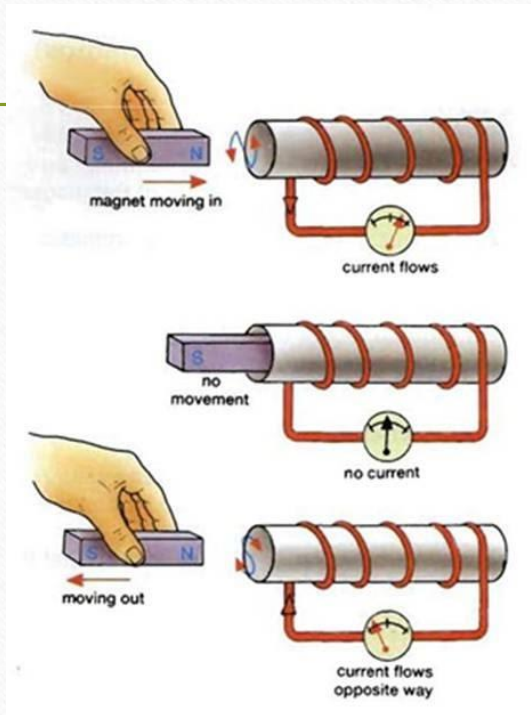
Transcranial Electrical Stimulation

501A

4-15-19

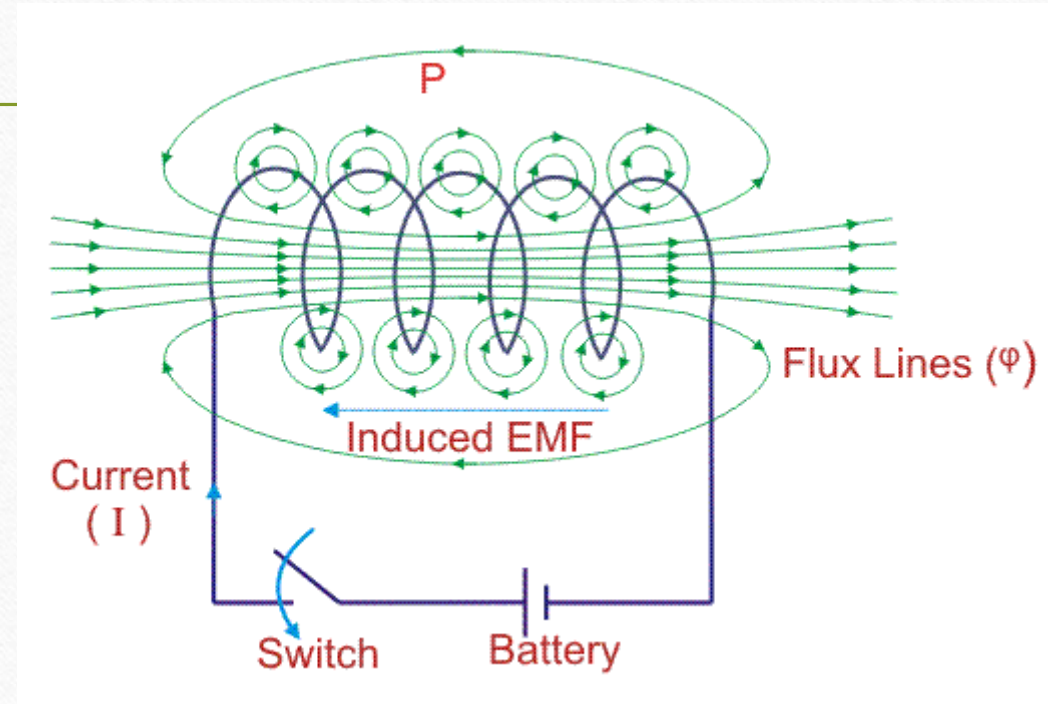
Background: basic electricity

Magnetic induction

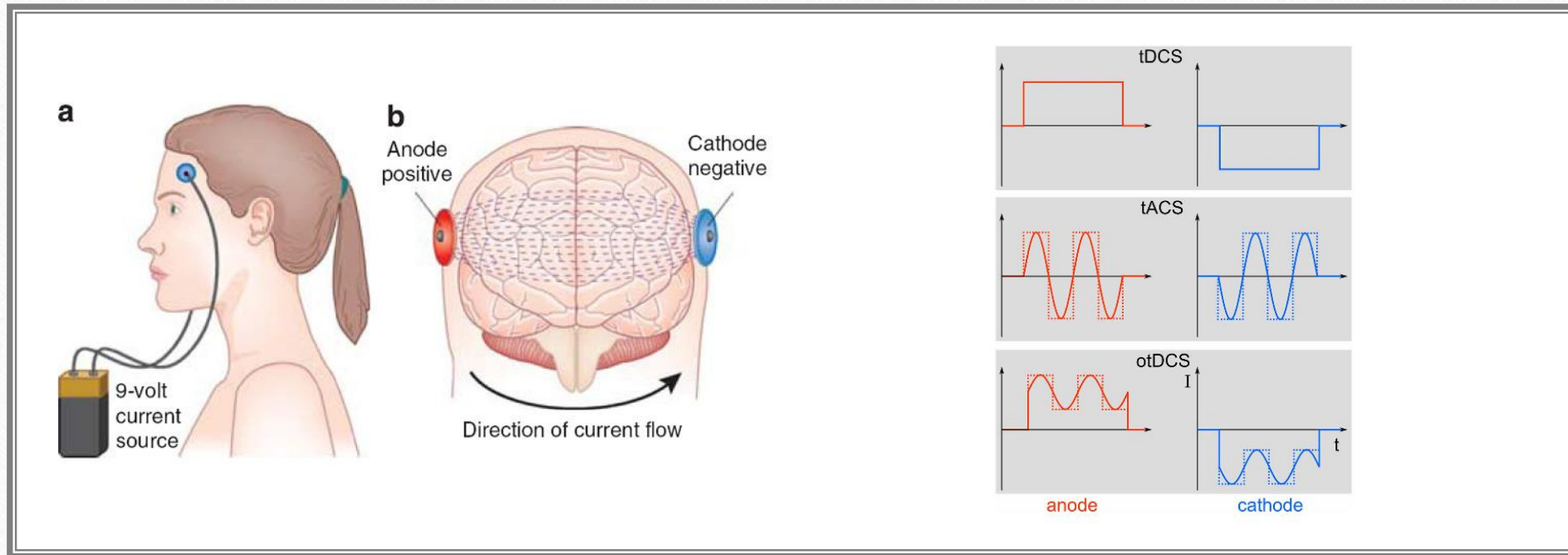


- Magnetic stimulation
 - pulsed magnetic field induces an electric current

Self induction



- Electrical stimulation
 - voltage-controlled current source induces an electric field



Transcranial Electrical Stimulation (TES)

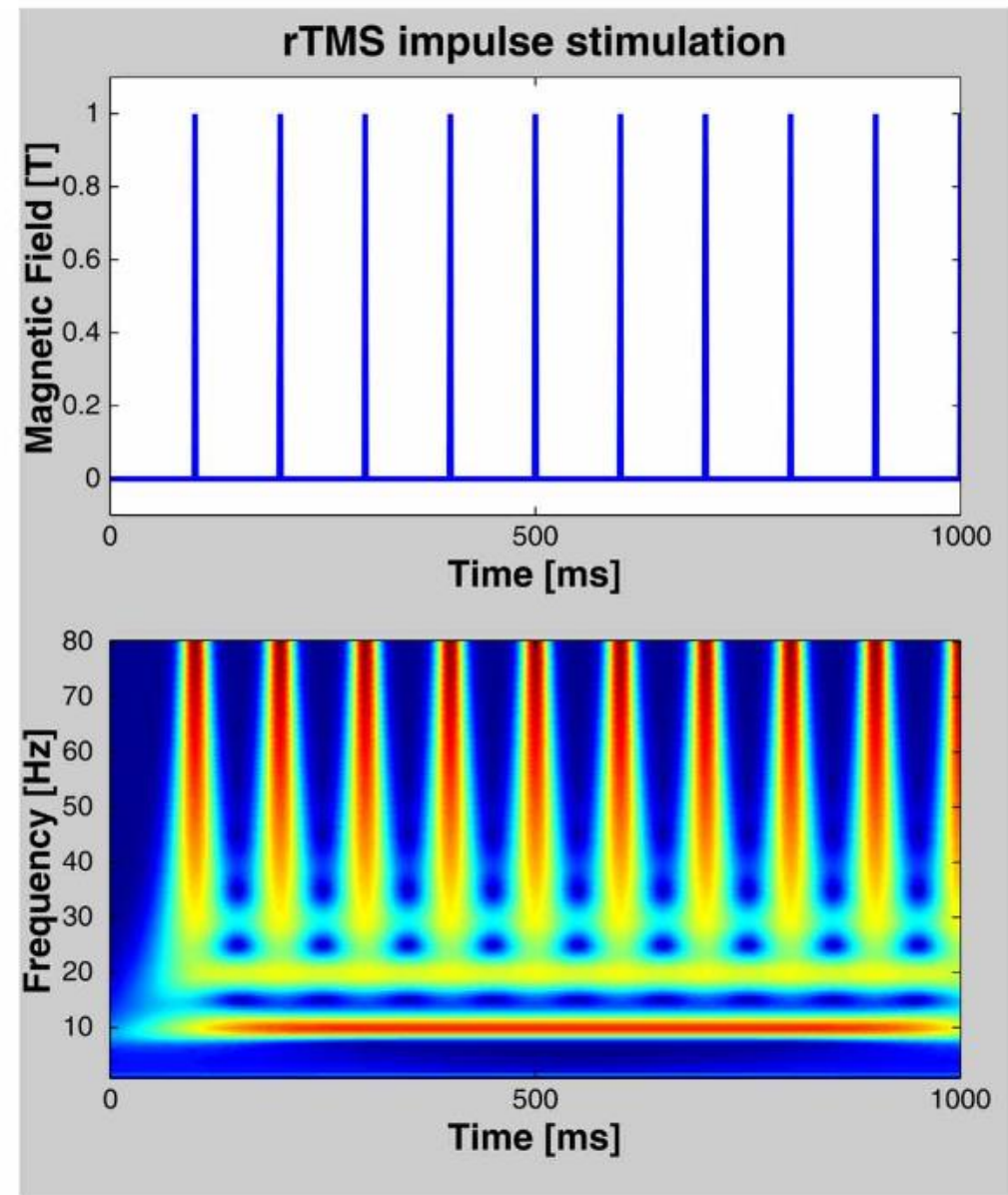
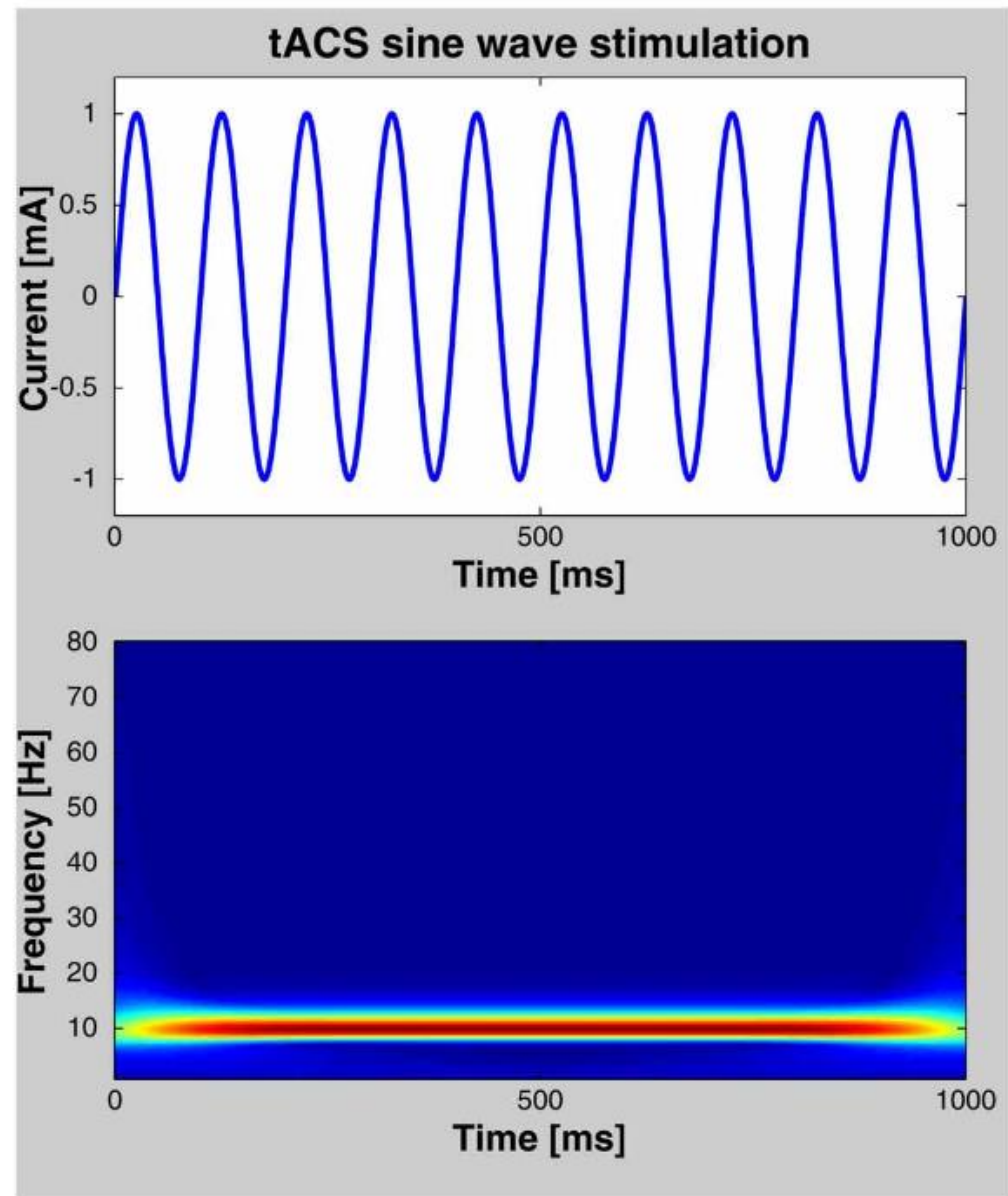
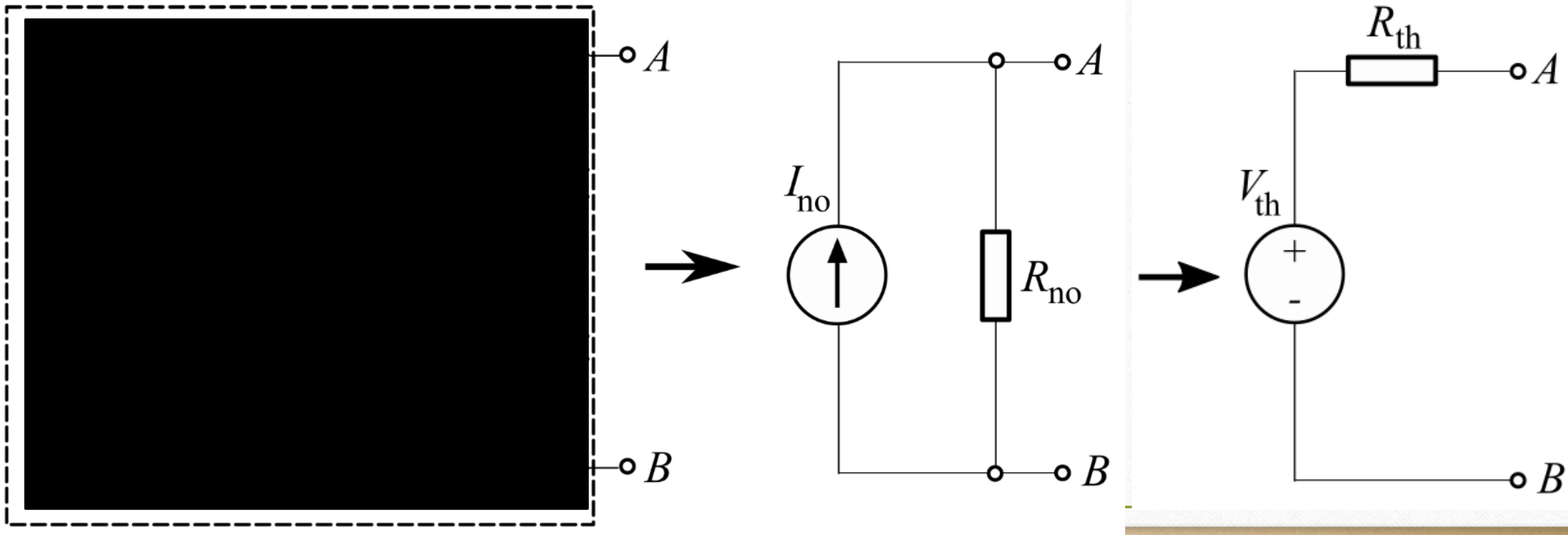
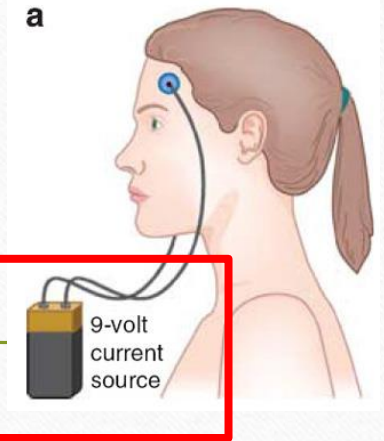


FIGURE 2 | Comparison of rTMS and tACS. **Left:** tACS uses sinusoidal currents which are restricted to one frequency as shown by a time-frequency wavelet transform. **Right:** rTMS, however, spans a wide range of frequencies

in addition to the frequency of repetition. Note, that these diagrams depict only the stimulation currents/fields—not possible artifacts that may be elicited in the human brain.

Equivalent circuit diagram

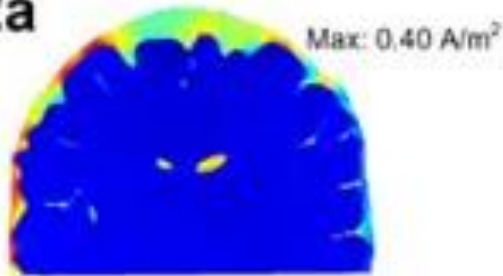
- Voltage-controlled current source
 - Independent of load resistance



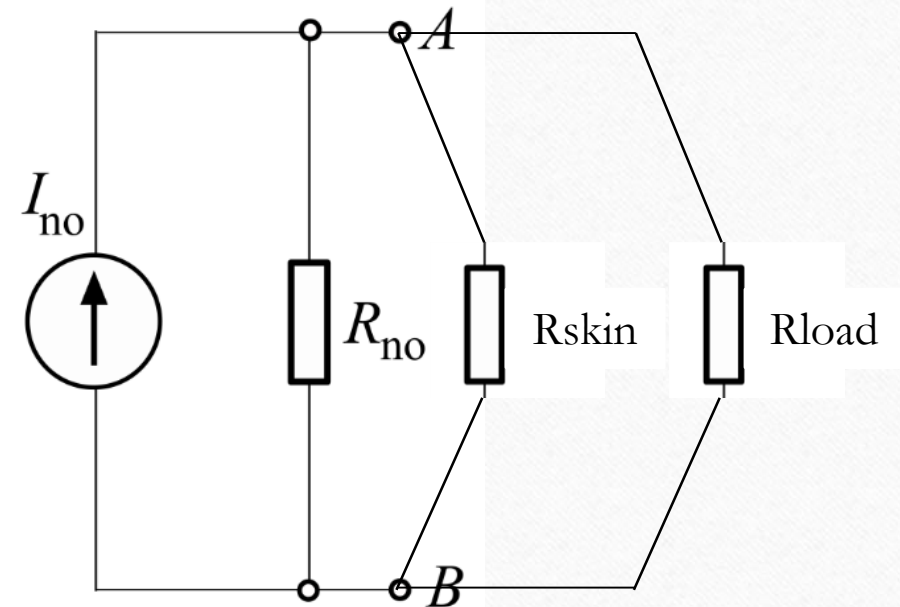
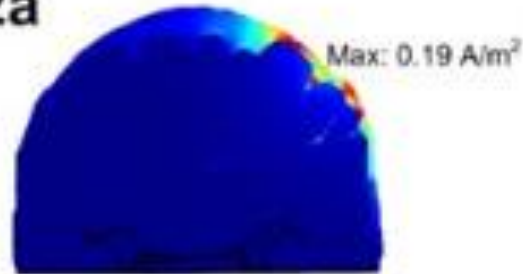
Only a small fraction of the extracranially applied current arrives intracranially

- Short-circuit paths
 - Current division due to finite output impedance of the current source.
 - Current division due to the low impedance scalp, compared to the high impedance skull.

A.2a



B.2a



How large is the induced electric field?

Opitz et al., 2016

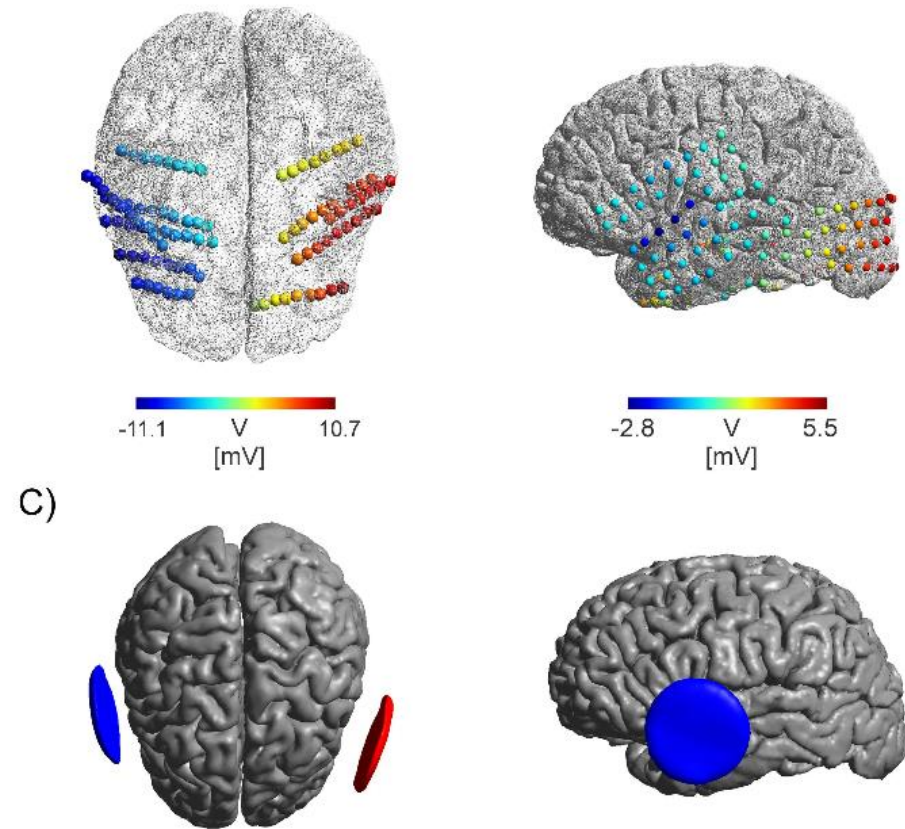


Figure 4. Intracranial potential distribution for Participant 1 (A) and Participant 2 (B). Measured electric potential (in mV scaled for a stimulation intensity of 1 mA) at different bi-hemispheric stereotactic EEG electrode contacts (Participant 1) or surface ECoG grid on the left hemisphere (Participant 2). Stimulation electrodes were attached bilaterally over the left and right temple in both Participants and indicated with red and blue arrows. A continuously changing left - right gradient in the electric potential is visible for Participant 1. For Participant 2 a sharp change in potential is found close to the left stimulation electrode. Continuously increasing potentials are found with increasing distance to the stimulation electrode. Note the large potentials found in the occipital region are due to the lack of electrode coverage on the right hemisphere which would exhibit even higher values. (C) Stimulation electrodes shown over the cortical surface for Participant 1 (left) and Participant 2 (right, other cross hemispheric electrode not visible).

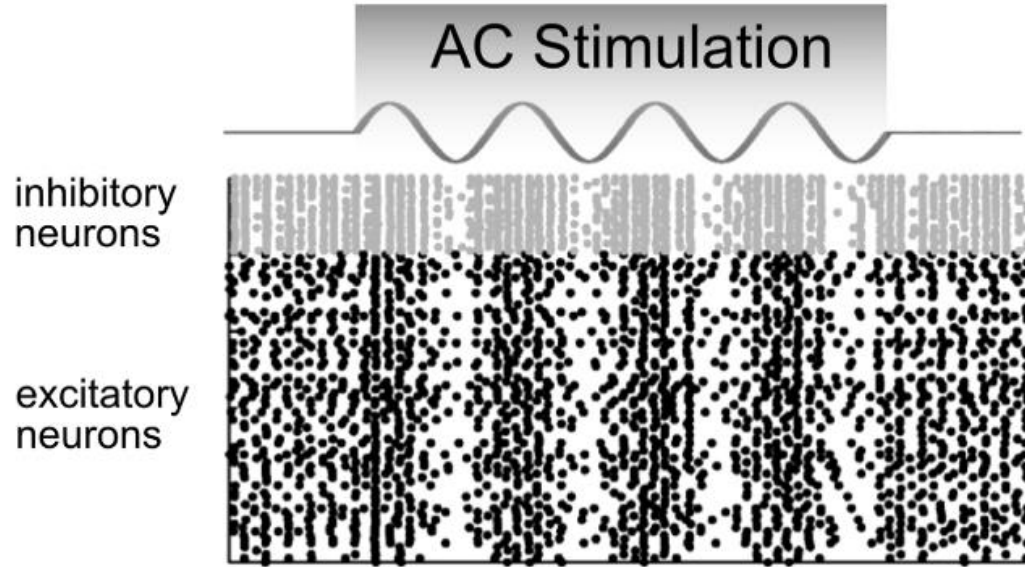


FIGURE 5 | Model predictions of how a network of neurons would behave in response to AC stimulation. The firing rates of inhibitory (gray) and excitatory (black) neurons are up- and down-regulated in phase with the AC current. In these raster plots, each dot represents a neural spike. Adapted from Reato et al. (2010).

Can we induce intracranial electric fields large enough to affect neural activity?

- AC stimulation up- and down-regulates the firing rate in an oscillatory manner without changing the average firing rate over a longer time interval.
- 0.2 mV/mm result in enhanced coherence between spikes and the driving oscillation.

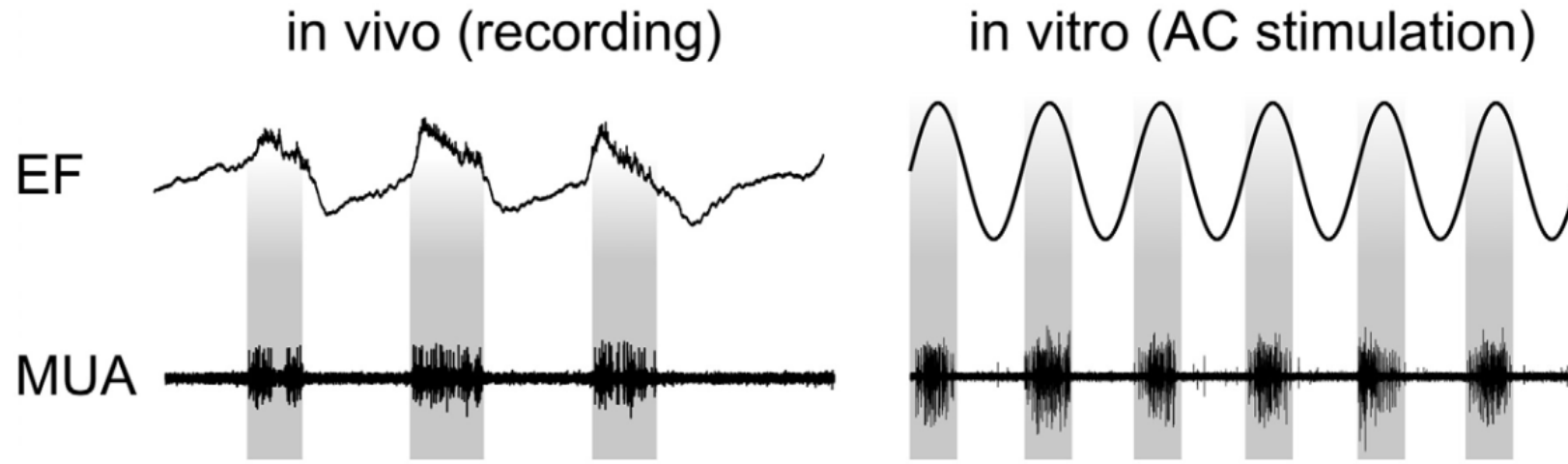
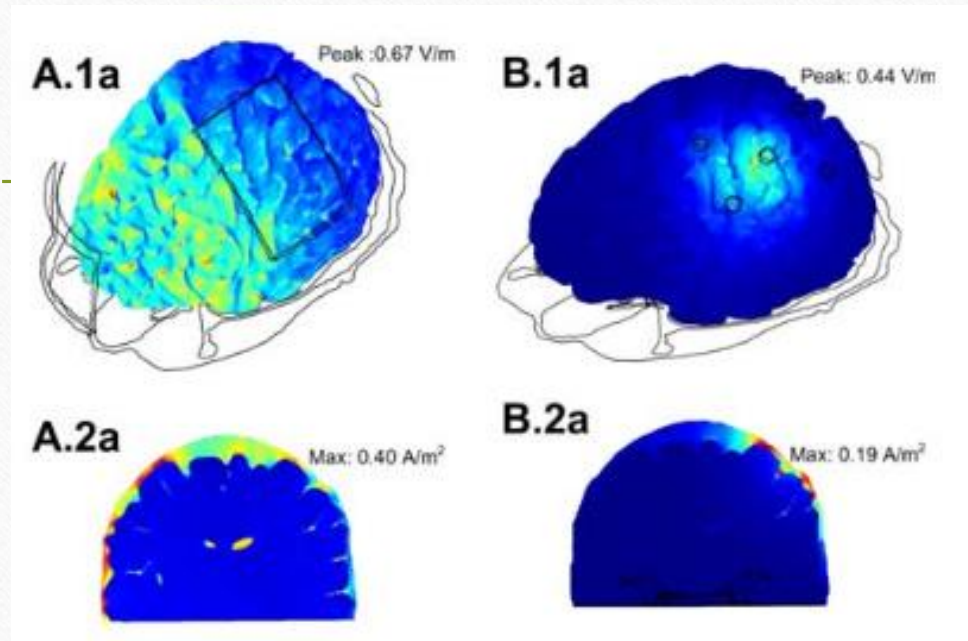


FIGURE 3 | Physiological mechanisms of tACS. Left: *In vivo* recordings in ferrets show that spontaneous neuronal activity seen in MUA synchronizes to certain phases of LFPs. **Right:** Stimulating slices of cortex electrically with sinusoidal currents results in a similar synchronization. Interestingly, the inter-burst frequency of the spontaneously occurring activity can be speeded up and slowed down resulting in neural entrainment [adapted from Fröhlich and McCormick (2010)].

Where does the current flow?



- 1 mA of tDCS/tACS results in an intracranial current density of 0.13 A/m² amounting to a cortical electric field of 0.67 V/m when assuming a gray matter conductivity of 0.3 S/m (Datta et al., 2009)

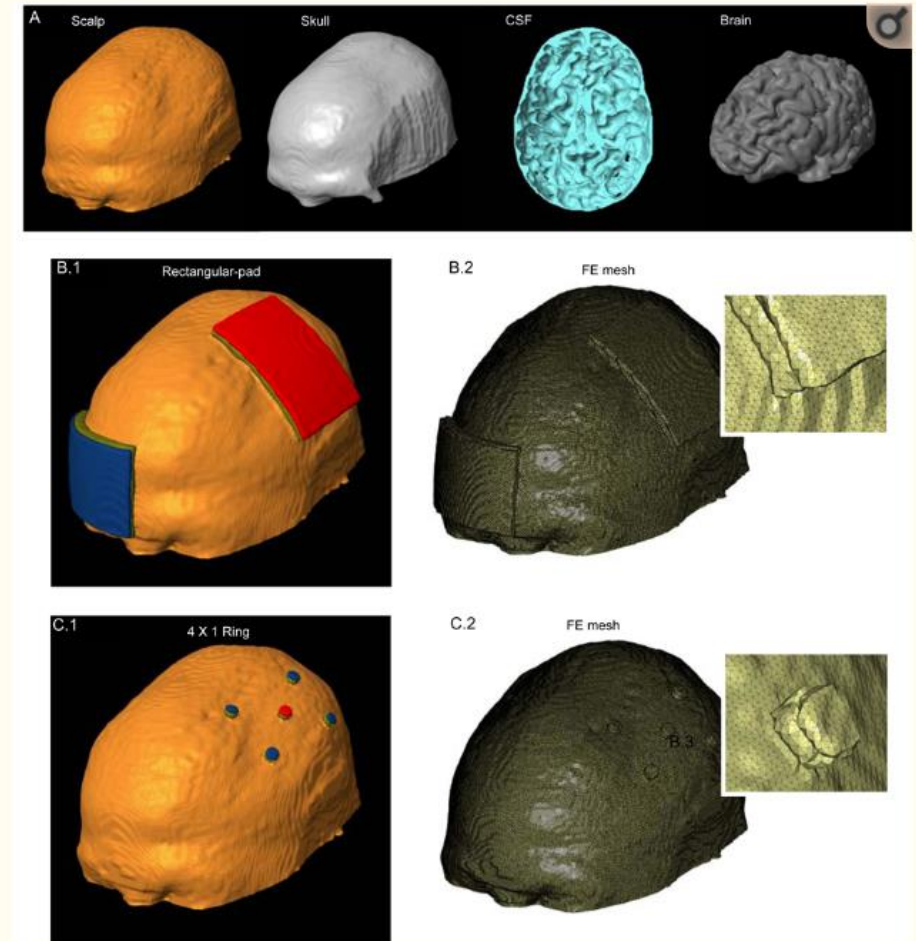


Figure 1

Finite element (FE) model of the conventional $7 \times 5 \text{ cm}^2$ rectangular-pad and 4×1 ring configurations. (A) Segmented compartments in the following order: Scalp, Skull, CSF and Brain. (B.1) FE model of the conventional rectangular-pad configuration and corresponding FE mesh (B.2). (C.1) FE model of the 4×1 ring electrode configuration and corresponding FE mesh (C.2). The two insets show the zoomed mesh images, highlighting finer detail. 'Red': Anode electrode; 'Blue': Cathode electrode(s); 'Olive green': sponge/gel.

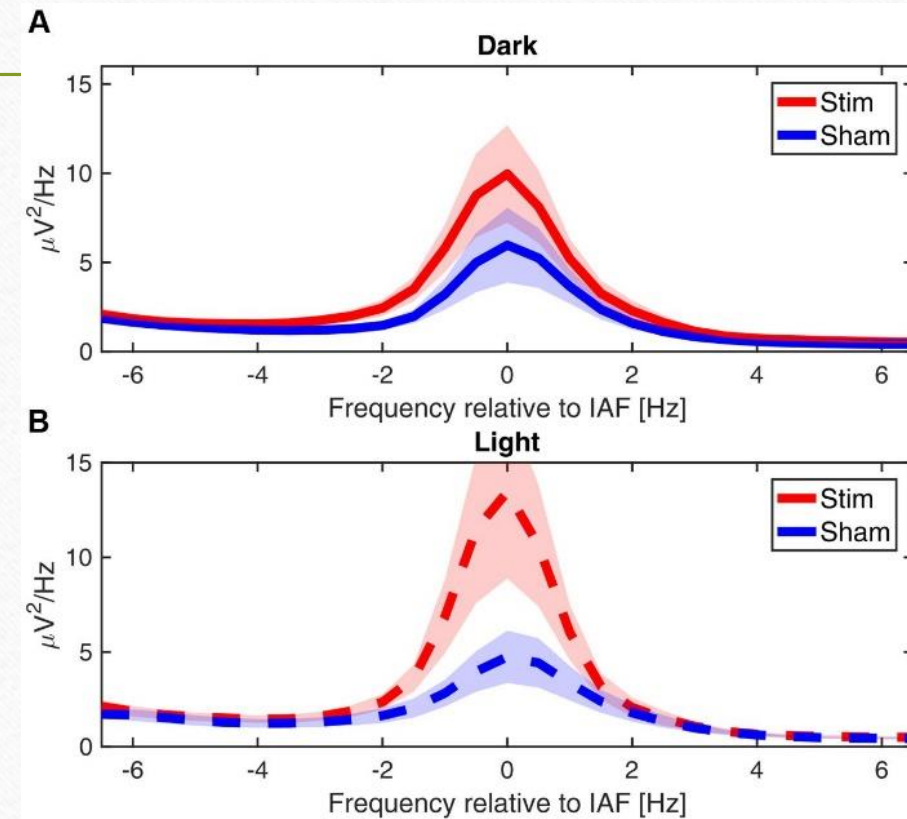
Physiological effects of TES

- Anodal stimulation:
 1. Transiently increases cortical excitability by (rhythmically) biasing the resting membrane potential.
 2. Increases intracellular calcium levels, resulting in neuroplasticity and learning.
- Entrainment of endogenous brain activity
- Constructive/destructive interference
- Plasticity via calcium channel dynamics

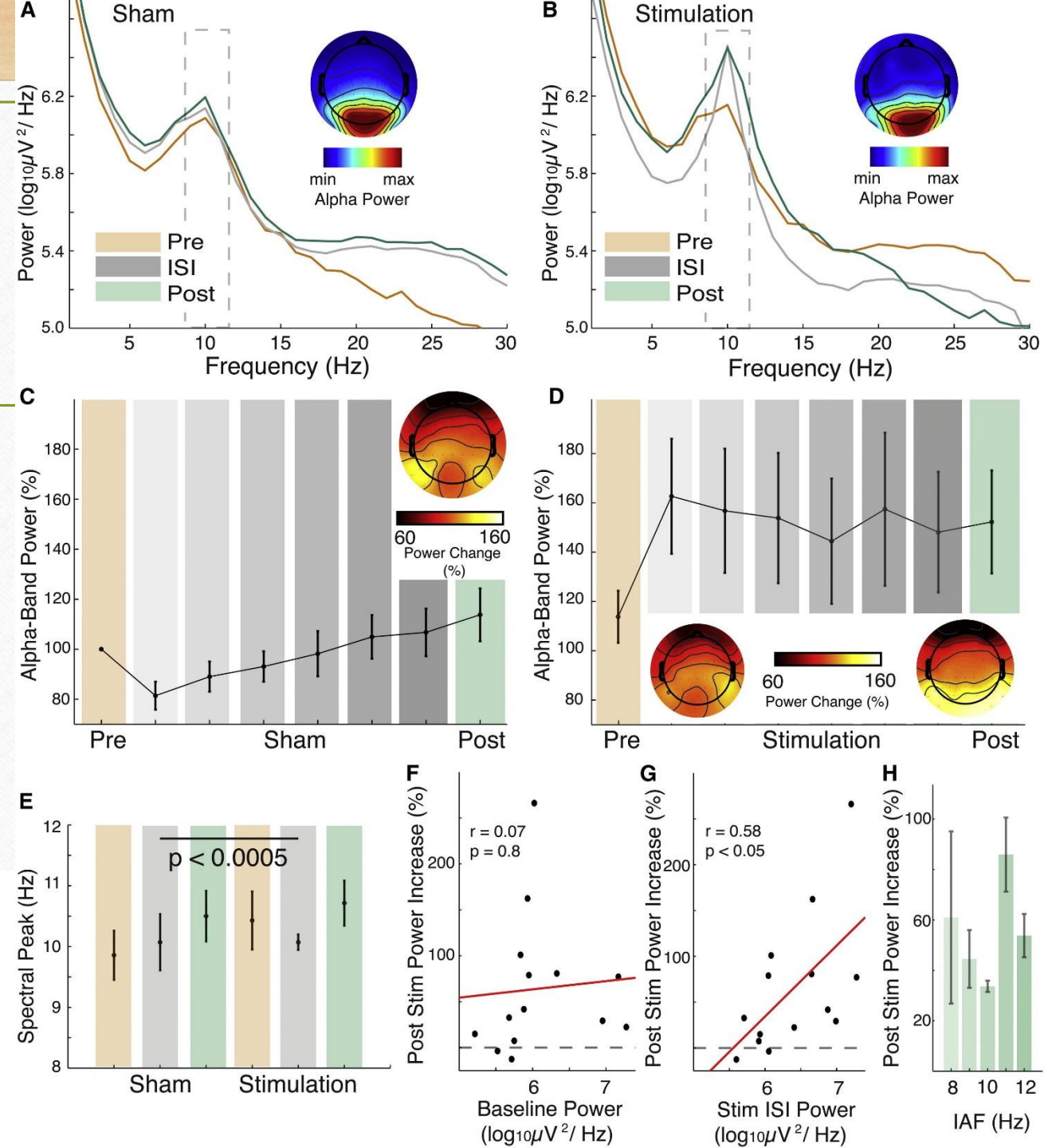
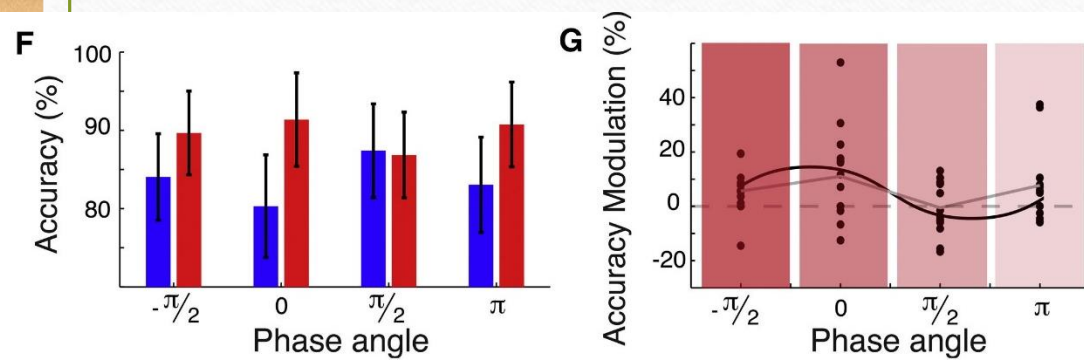
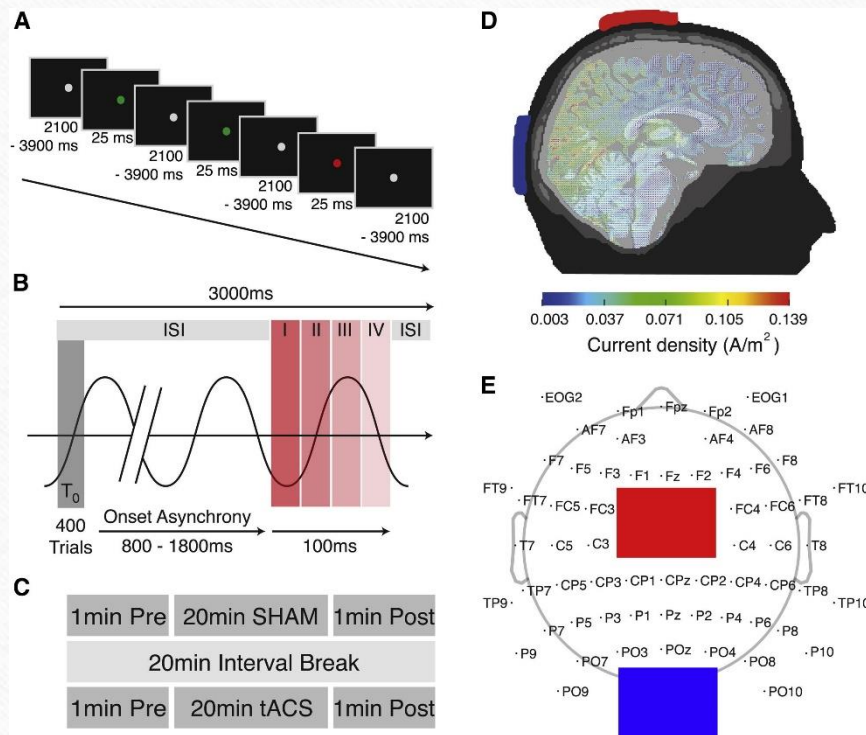
Entrainment

Alpha Entrainment

- tACS applied at participants' individual EEG alpha frequency resulted in an enhancement of the EEG alpha amplitude after 10 min of stimulation.
- EEG was recorded offline, i.e., three minutes before and after applying tACS.
- After tACS, spectral power was significantly increased specifically in the range of the individual alpha frequency (IAF $\sim 10 \pm 2$ Hz) as compared to before tACS



Helfrich et al., 2014



Gamma Entrainment

- 40 Hz tACS increases the duration of perceived vertical motion.

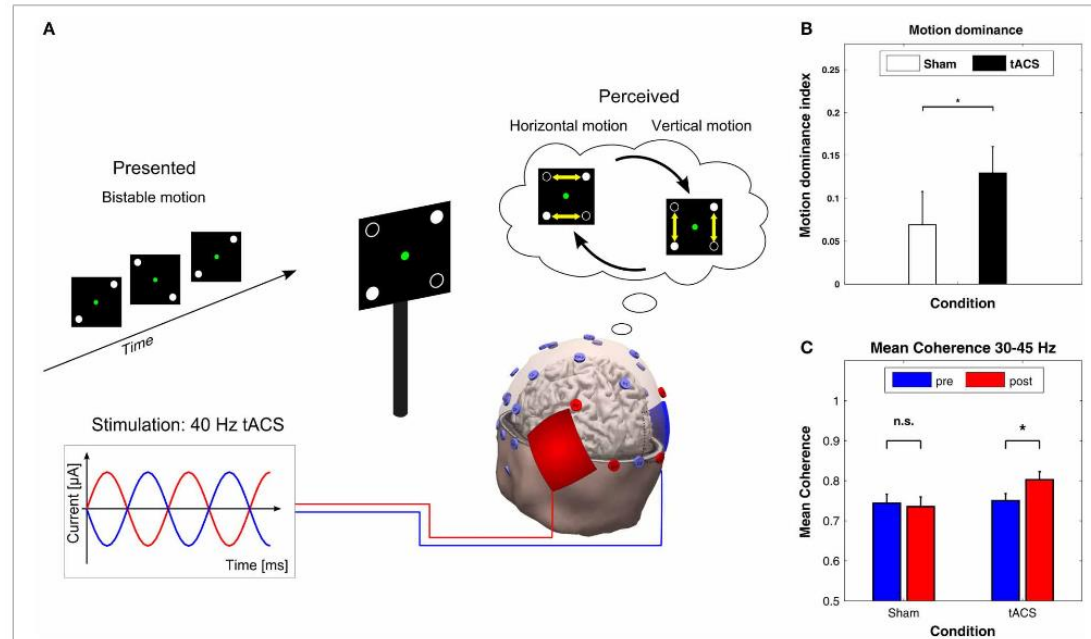
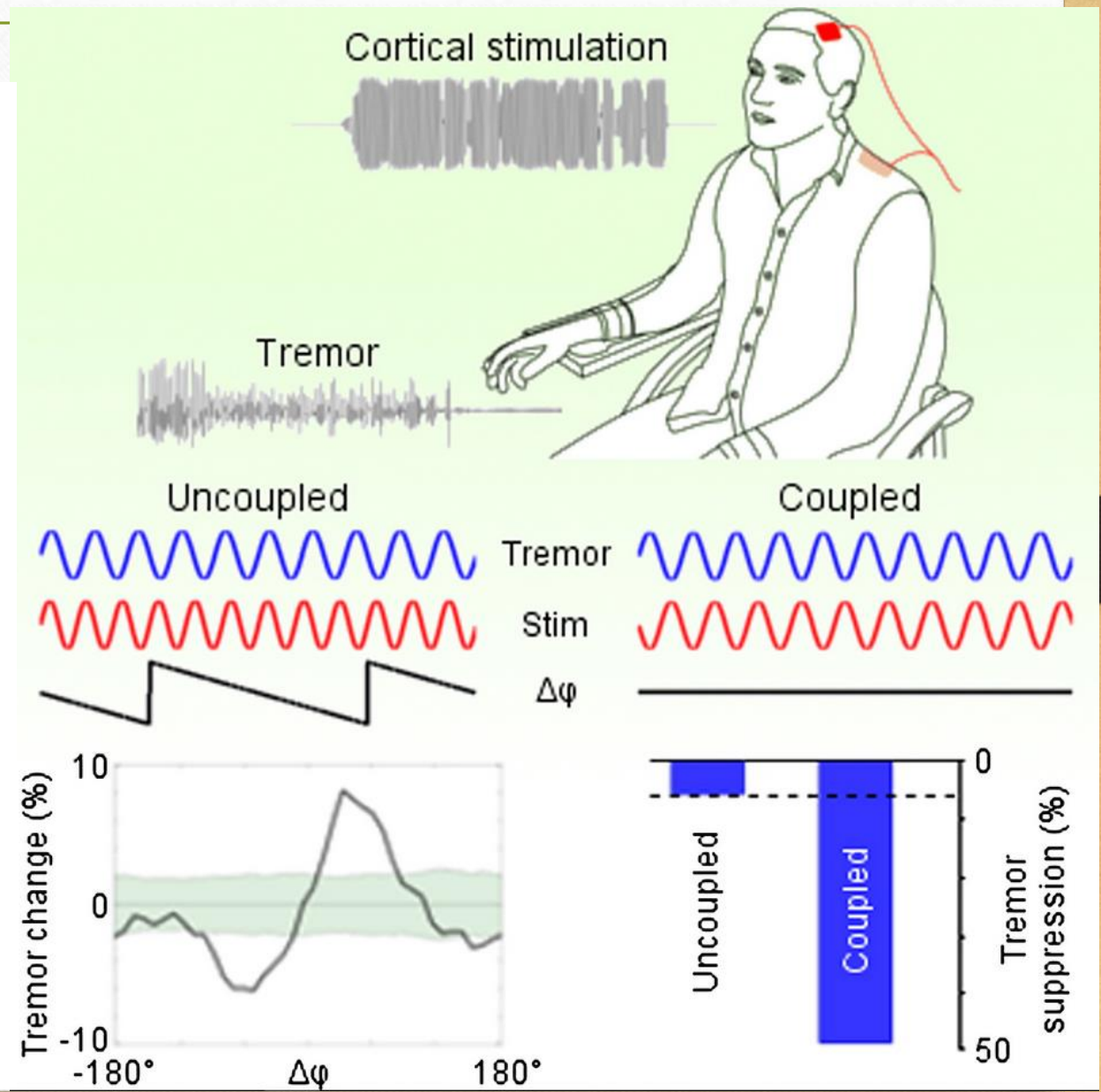
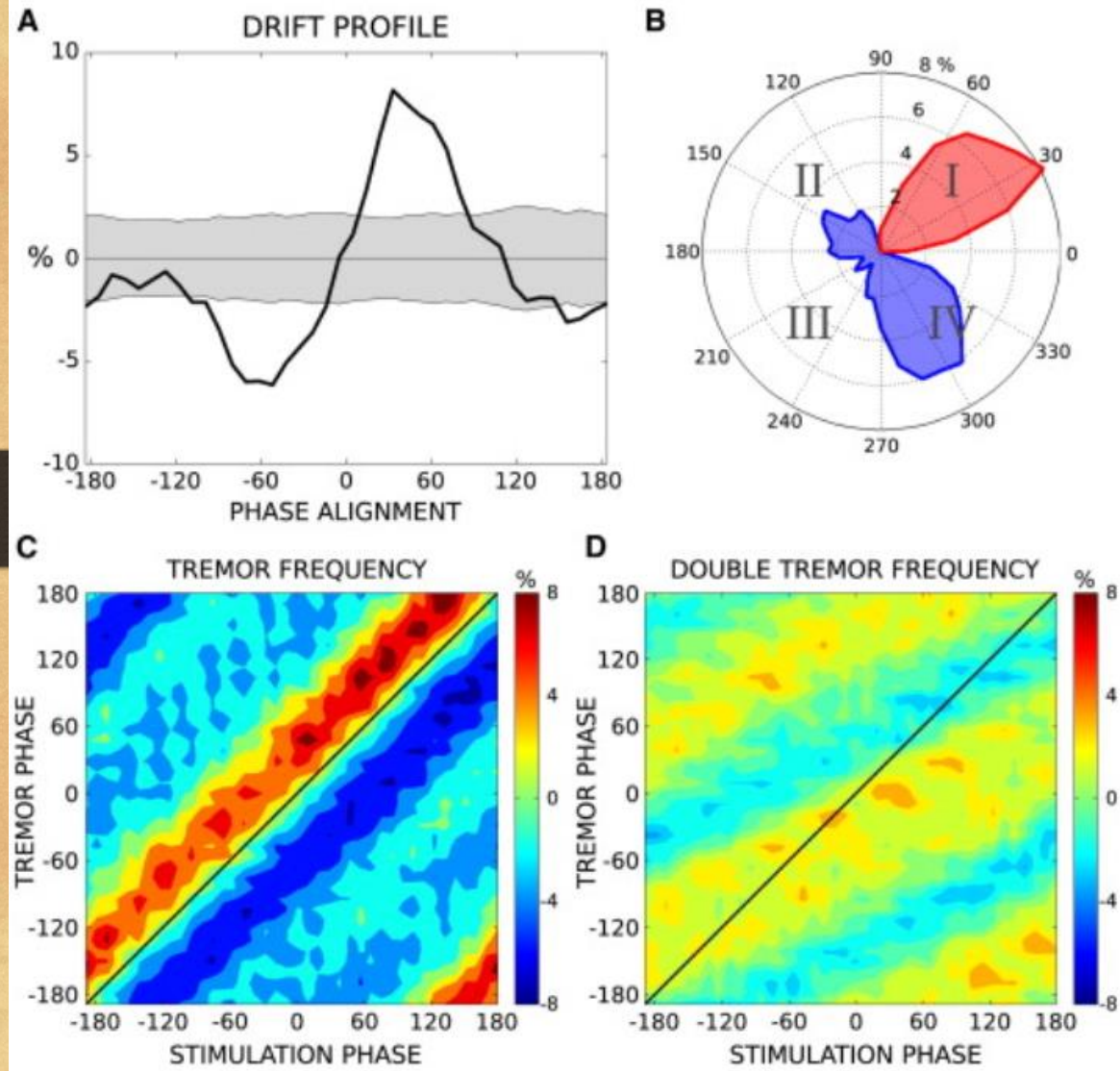
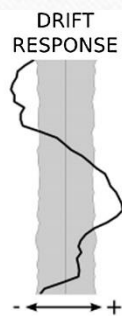
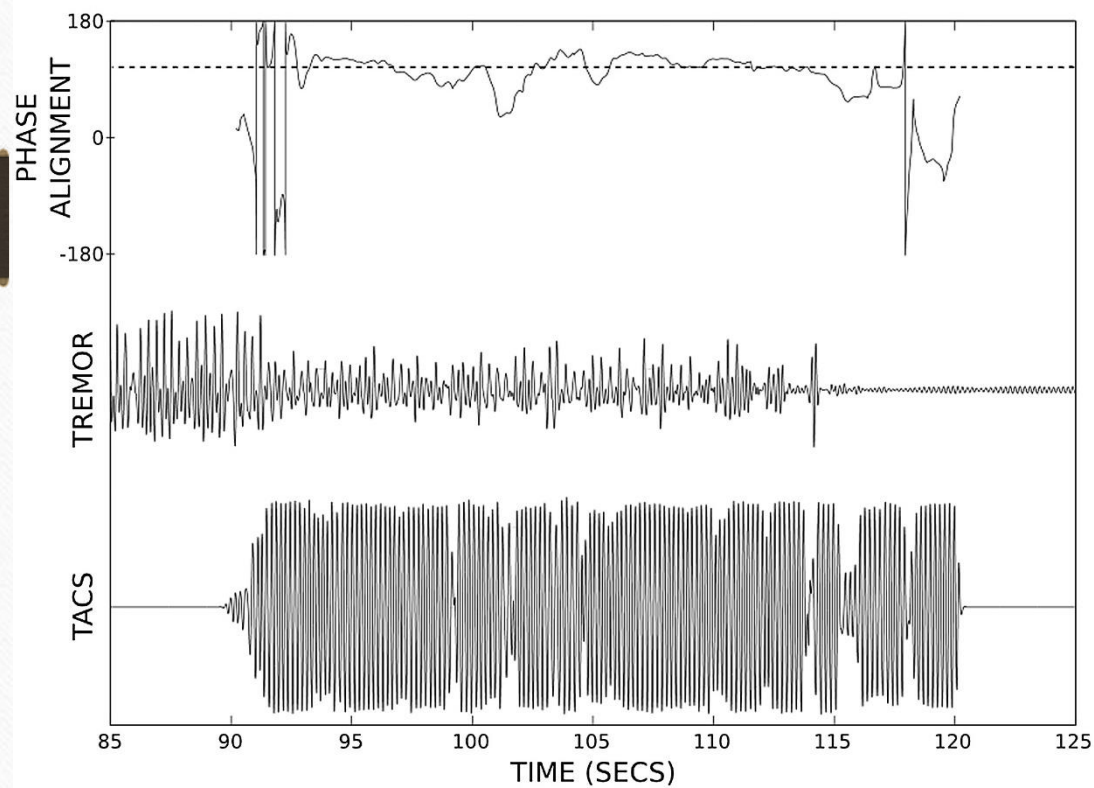


FIGURE 7 | Effects of 40 Hz tACS with 180° phase difference between hemispheres. (A) Configuration of the bistable apparent motion display together with the EEG and tACS electrode montage. EEG electrodes that were used for analyzing interhemispheric coherence are indicated in red. The tACS sponge electrodes were placed bilaterally over the parietal-occipital cortex. This montage leads to 40 Hz stimulation with 180° phase difference between hemispheres. **(B)** The motion dominance index is significantly

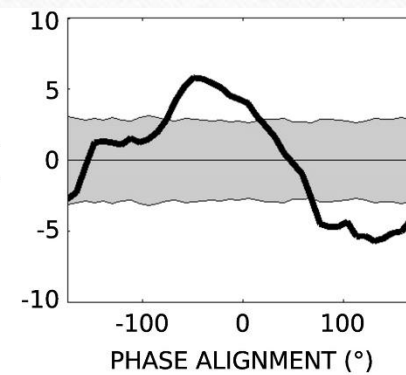
enhanced during 40 Hz tACS (black bar) as compared to sham stimulation (white bar), indicating that 40 Hz tACS results in a longer total duration of perceived vertical motion ($*P < 0.05$). Error bars display the standard error of the mean. **(C)** Mean coherence within the 30–45 Hz frequency band shows a significant increase from pre-tACS to post-tACS (right), but not from pre-sham to post-sham (left). Error bars correspond to standard errors of the mean; $*P < 0.05$. Adapted from Strüder et al. (2013) with permission of the authors.

Interference

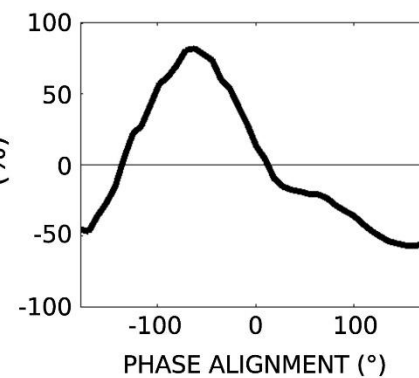




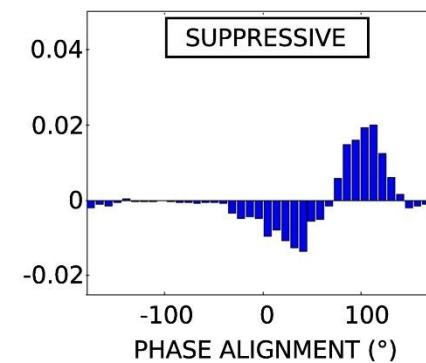
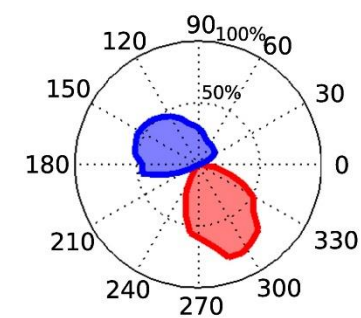
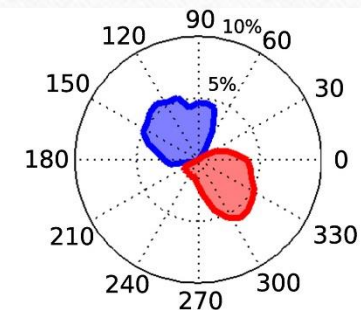
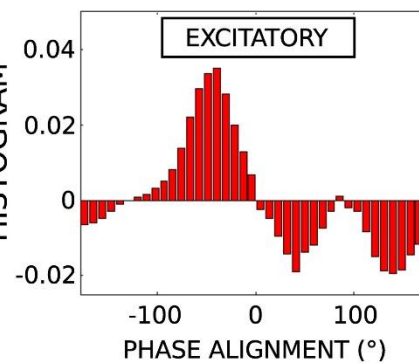
DRIFT (%)



TRACKING (%)



LIKELIHOOD HISTOGRAM



Plasticity

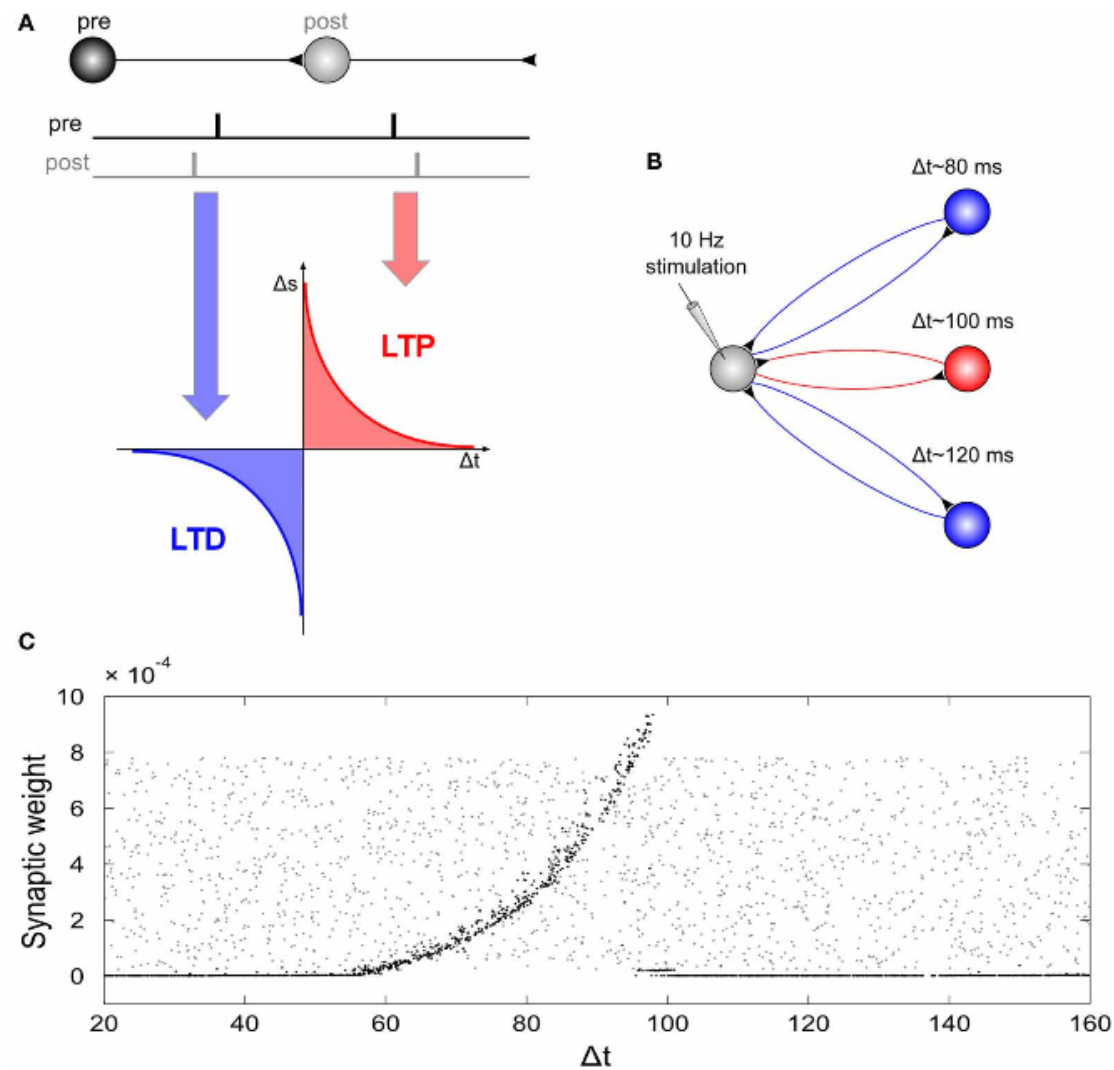
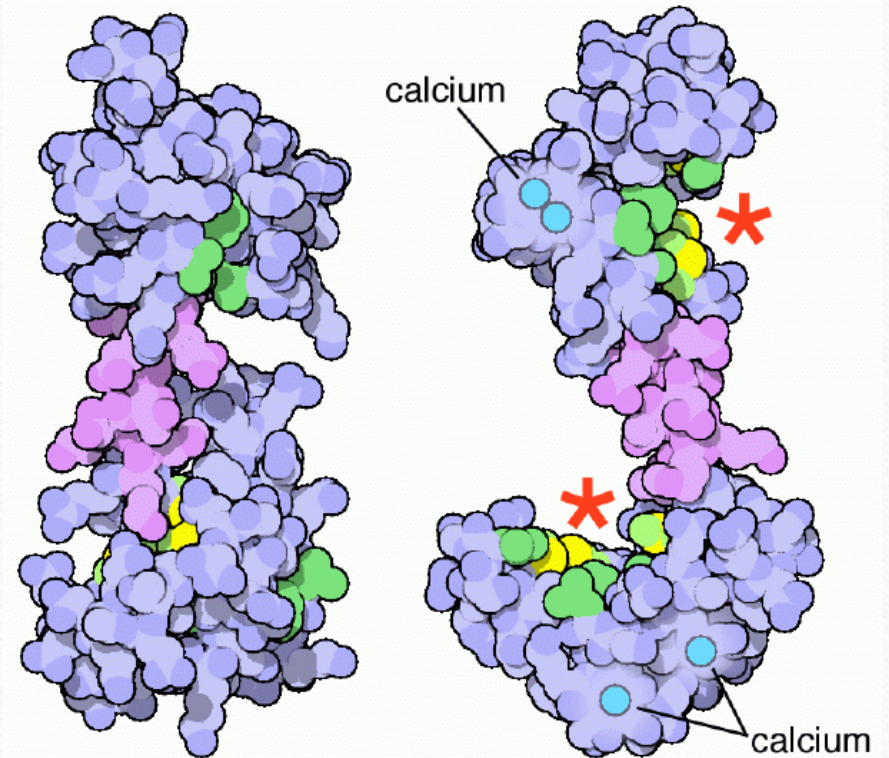


FIGURE 6 | Network simulation of tACS. (A) Spike timing dependent plasticity: synaptic weights are increased if a post-synaptic potential follows a pre-synaptic spike (long-term potentiation, LTP) and decreased if a post-synaptic potential occurs prior to a pre-synaptic spike (long-term depression, LTD). **(B)** Schematic illustration of the network: A driving neuron establishes a recurrent loop with each neuron of a hidden layer. The total synaptic delay, Δt , (i.e., the sum of both delays of the loop) varied between 20 and 160 ms. The driving neuron was stimulated with a spike train of 10 Hz repetition rate. **(C)** Synaptic weights of the back-projection as a function of

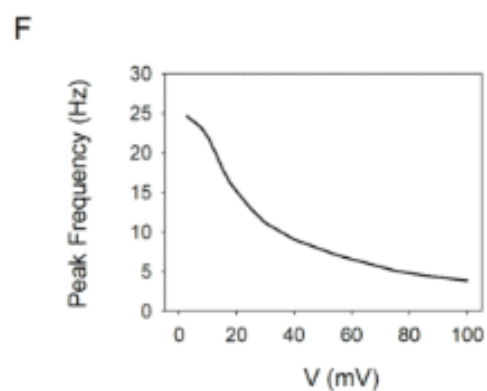
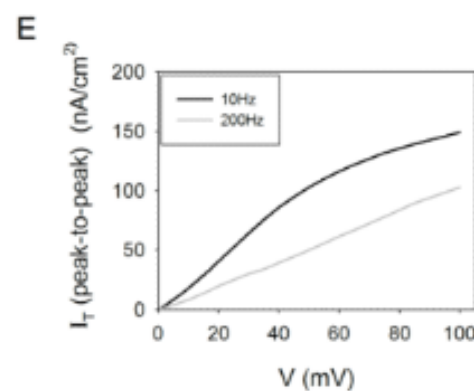
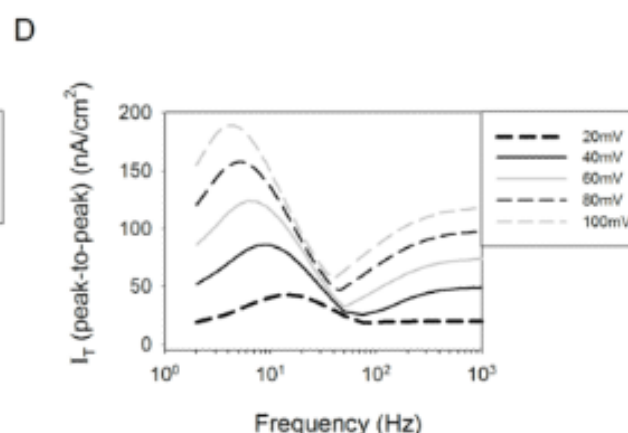
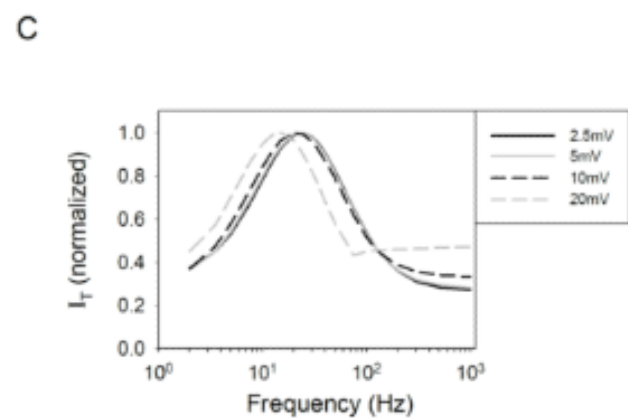
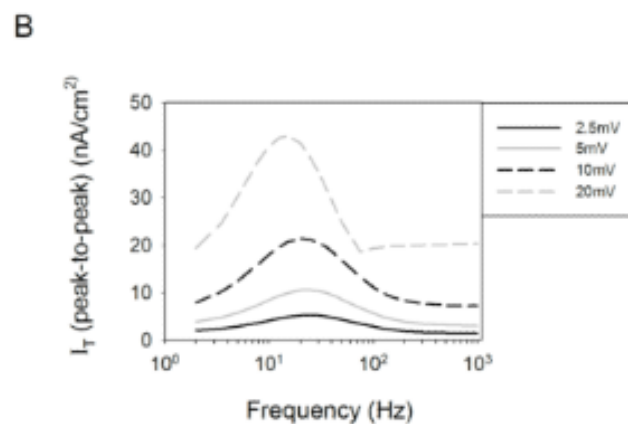
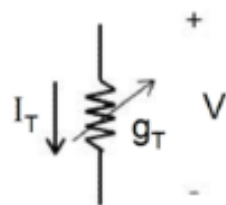
the total synaptic delay of the recurrent loops: Gray dots display synaptic weights at the start of the simulation, black dots represent synaptic weights after the end of simulation. External stimulation of the driving neuron at 10 Hz resulted in increased weights for recurrent loops with a total delay between 60 and 100 ms, and dramatically reduced synaptic weights for loops with total delays outside this interval. Note, that the highest synaptic weights are observed at 100 ms, i.e., for loops with a resonance frequency near the stimulation frequency. Reprinted from Zaehle et al. (2010) with permission of the authors.

Plasticity depends on calcium channel dynamics

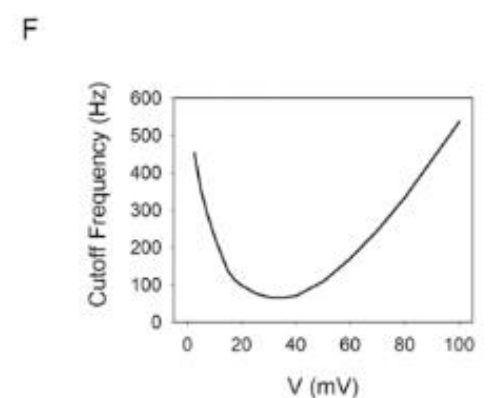
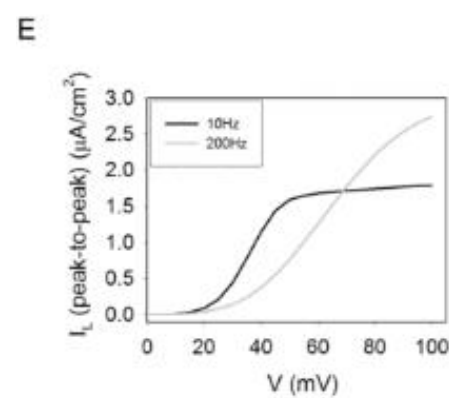
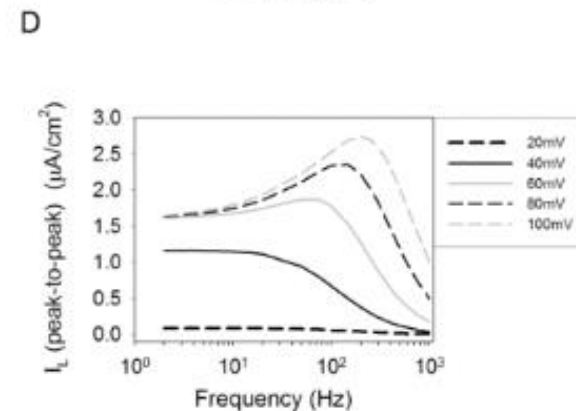
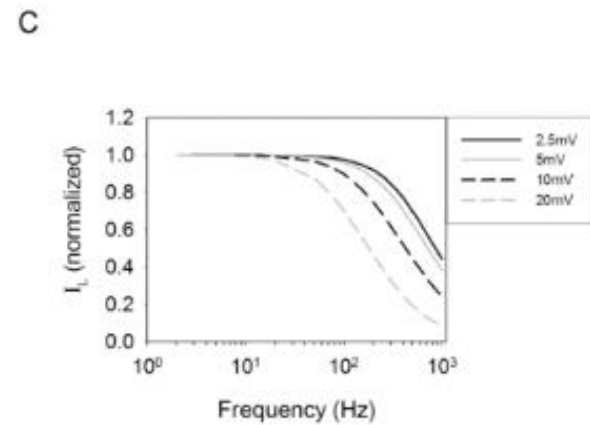
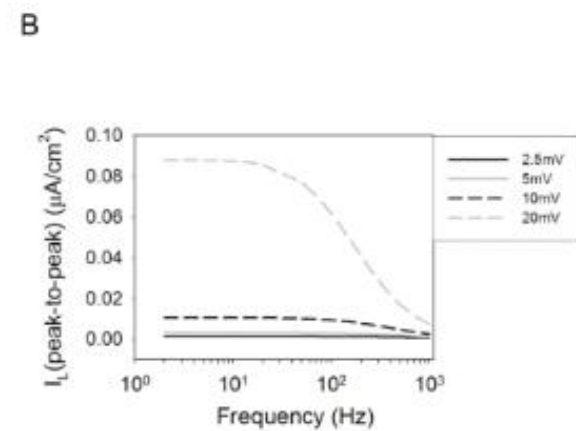
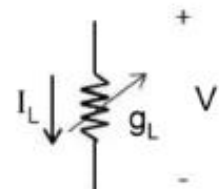
- The influx of calcium in granule and pyramidal cells combines with **calmodulin** to form a second messenger system, which produces metabolic changes:
 - CaMKII contributes to the phosphorylation of AMPA receptors, increasing their sensitivity.
 - Increased post-synaptic receptor density to the synaptic transmitter, glutamate.
 - Increased pre-synaptic neurotransmitter output.
- Calcium channel dynamics occur across a continuum of time scales from milliseconds to minutes and hours.
 - Very fast VGCC-mediated signaling (synaptic transmission), or very slow (long-term plasticity)



A T-Type Calcium Channel



A L-Type Calcium Channel



Alpha Power Increase After Transcranial Alternating Current Stimulation at Alpha Frequency (α -tACS) Reflects Plastic Changes Rather Than Entrainment



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ABSTRACT

Background: Periodic stimulation of occipital areas using transcranial alternating current stimulation (tACS) at alpha (α) frequency (8–12 Hz) enhances electroencephalographic (EEG) α -oscillation long after tACS-offset. Two mechanisms have been suggested to underlie these changes in oscillatory EEG activity: tACS-induced entrainment of brain oscillations and/or tACS-induced changes in oscillatory circuits by spike-timing dependent plasticity.

Objective: We tested to what extent plasticity can account for tACS-aftereffects when controlling for entrainment “echoes.” To this end, we used a novel, intermittent tACS protocol and investigated the strength of the aftereffect as a function of phase continuity between successive tACS episodes, as well as the match between stimulation frequency and endogenous α -frequency.

Methods: 12 healthy participants were stimulated at around individual α -frequency for 11–15 min in four sessions using intermittent tACS or sham. Successive tACS events were either phase-continuous or phase-discontinuous, and either 3 or 8 s long. EEG α -phase and power changes were compared after and between episodes of α -tACS across conditions and against sham.

Results: α -aftereffects were successfully replicated after intermittent stimulation using 8-s but not 3-s trains. These aftereffects did not reveal any of the characteristics of entrainment echoes in that they were independent of tACS phase-continuity and showed neither prolonged phase alignment nor frequency synchronization to the exact stimulation frequency.

Conclusion: Our results indicate that plasticity mechanisms are sufficient to explain α -aftereffects in response to α -tACS, and inform models of tACS-induced plasticity in oscillatory circuits. Modifying brain oscillations with tACS holds promise for clinical applications in disorders involving abnormal neural synchrony.

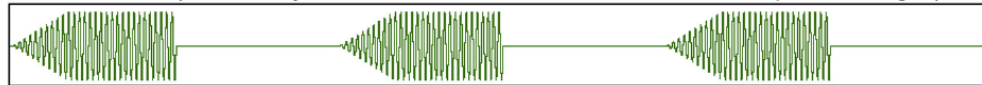
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- α -aftereffect does not differ between phase-continuous and phase discontinuous protocols

B Intermittent tACS-protocols

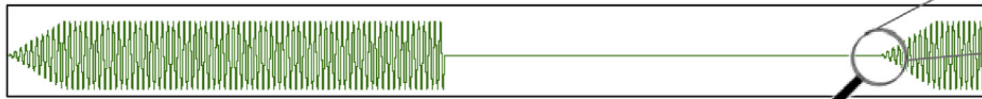
1. ShortCo: Short/phase continuous

(30 ISF cycles on/off, 240 trains at continuous phase angle)



2. LongCo: Long/phase continuous

(80 ISF cycles on/off, 90 trains at continuous phase angle)



3. LongDis: Long/phase discontinuous

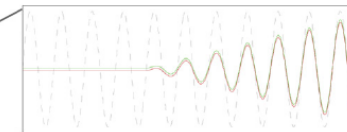
(80 ISF cycles on/off, 90 trains with change of phase angle)



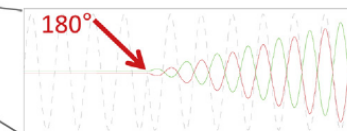
4. Sham: only 1 short train at the start of the session



..... Virtual sine wave
 — tACS (previous trial)
 — tACS (current trial)



No phase shift



Phase shift
 (0°, 90°, 180°, or 270°)

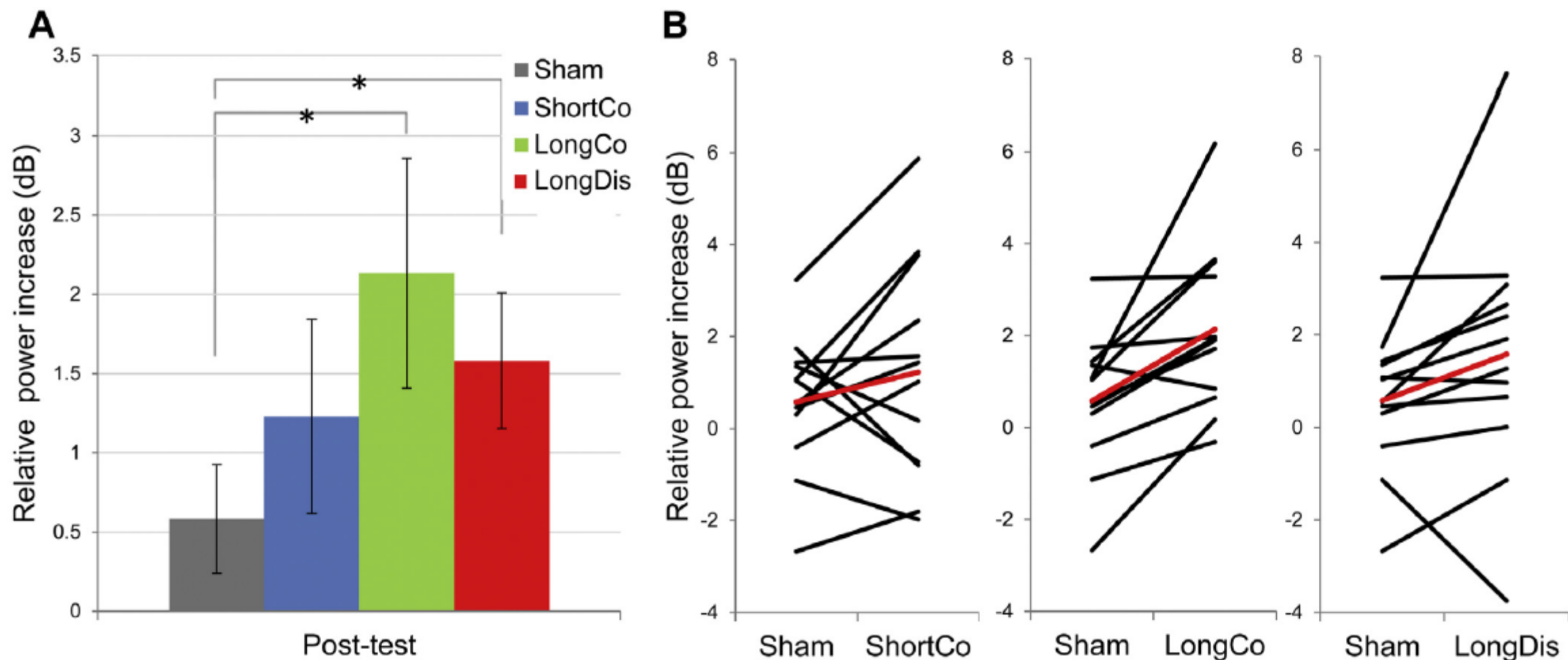
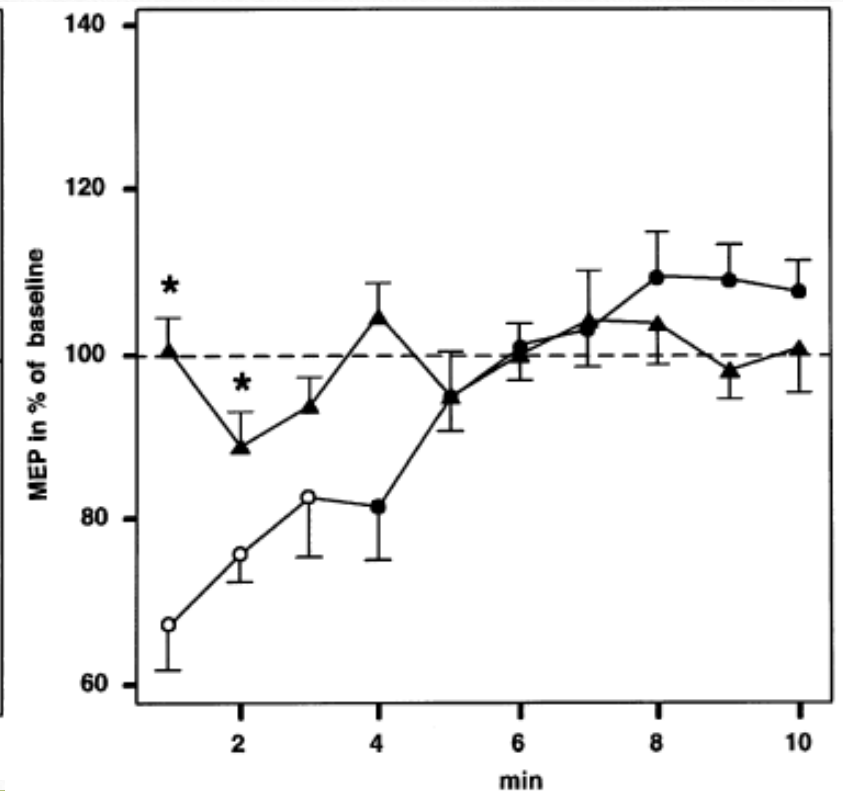
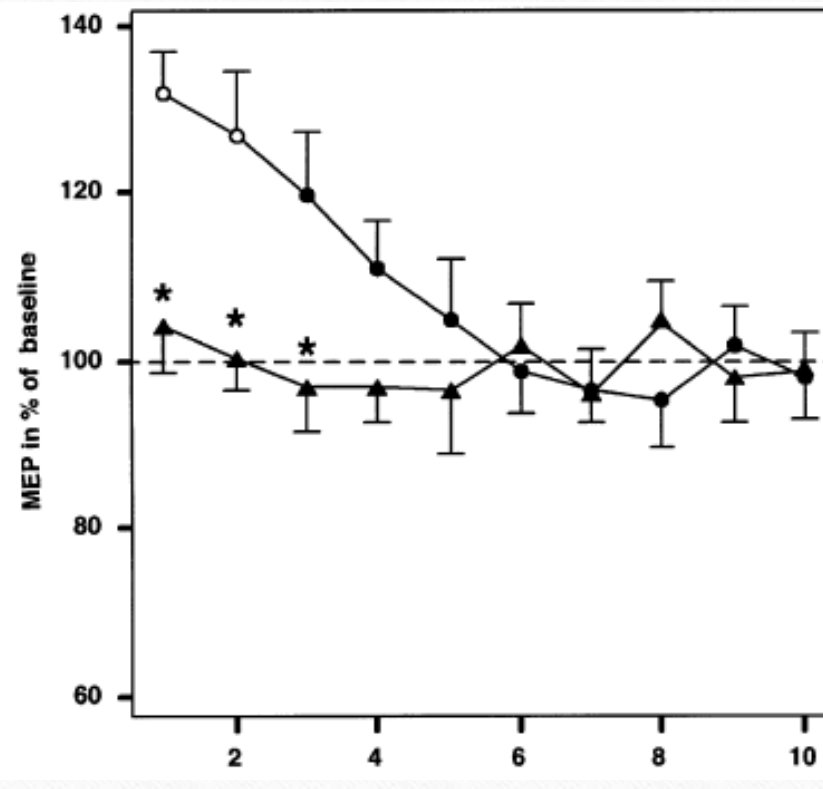


Figure 2. Alpha-aftereffects across protocols. A) Mean relative increase (dB) in individual alpha band power from pre-test to post-test. Both long protocols are followed by a significantly higher alpha-increase compared to sham. Asterisks reflect significant pairwise comparisons using Wilcoxon Signed Rank Tests ($\alpha = 0.05$). Only the respective comparisons between Sham and LongCo (lower brace), and Sham and LongDis (upper brace), were significant. B) Relative increase in mean power in the individual alpha band (individual stimulation frequency (ISF) ± 2 Hz) from pre-test to post-test per participant. Each active stimulation condition is compared to Sham. Black lines represent individual differences between sham and active conditions, red line represents the mean difference. Most volunteers show a greater increase after stimulation with long (80 cycles at ISF) trains compared to sham.

Pharmacological Intervention

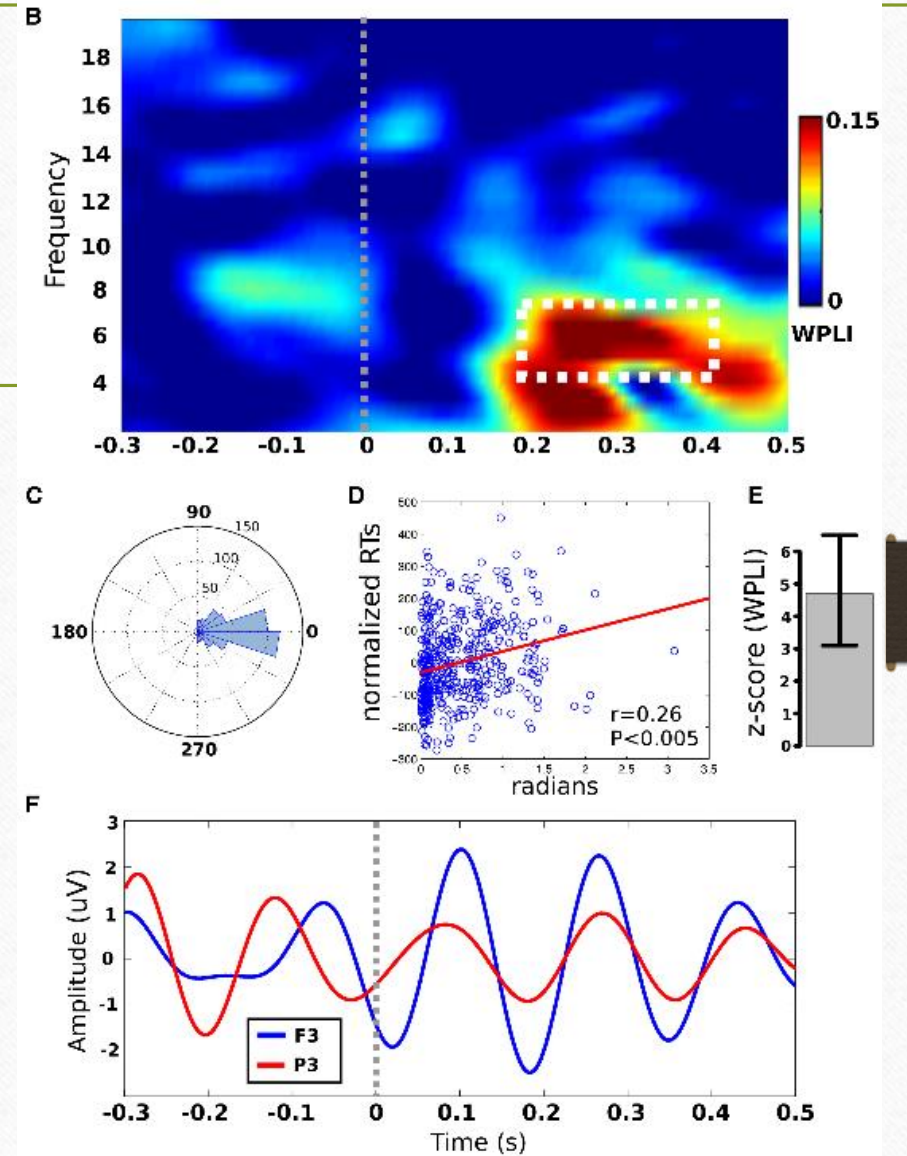
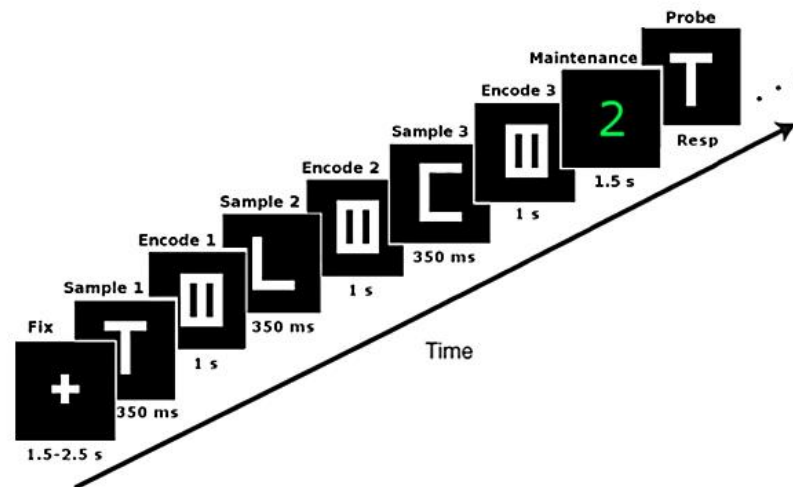
- DMO (NMDA receptor antagonist) 2 h before TES prevents post-stimulation changes in excitability (Nitsche & Paulus, 2002)

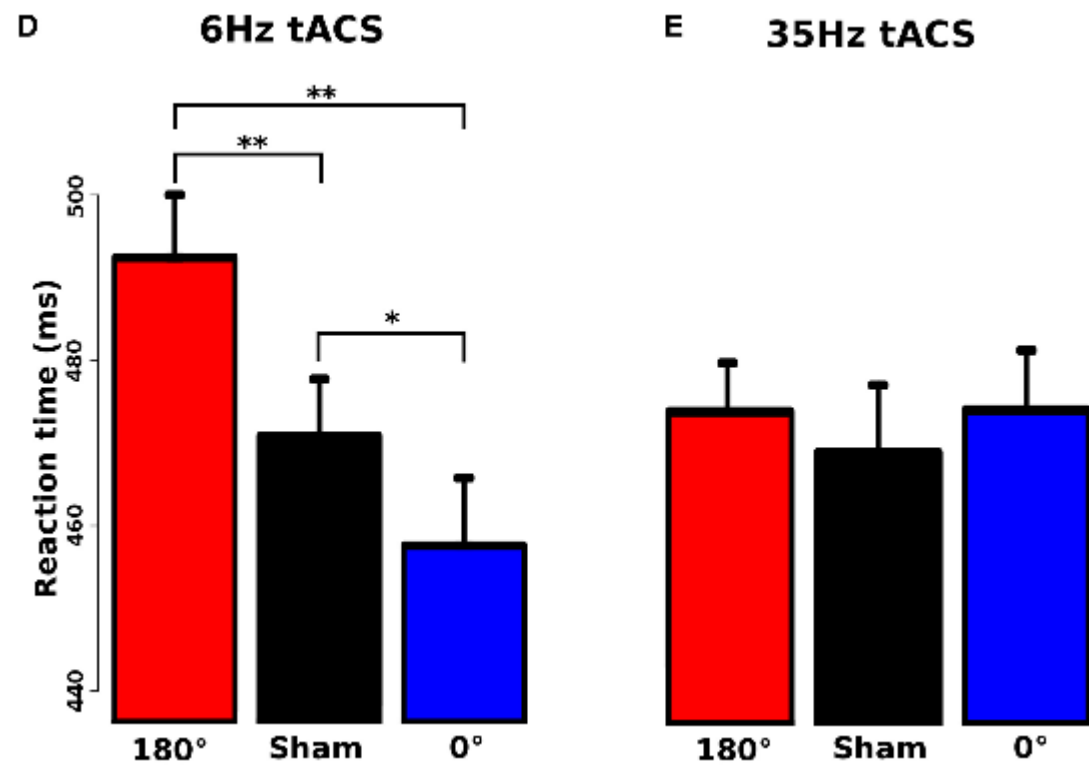
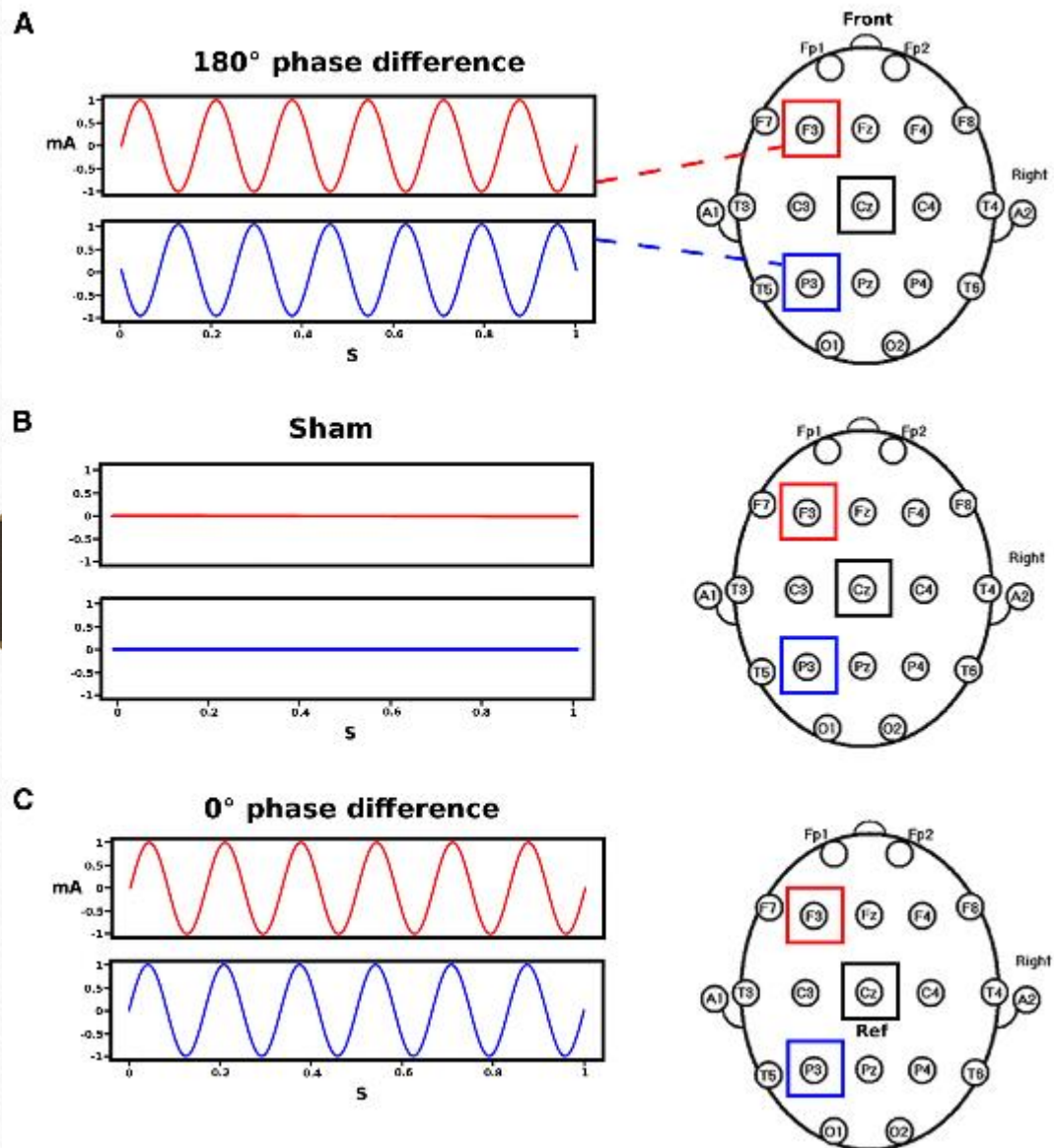


Higher Cognitive Processes

Working Memory

- Reaction times in the matching periods were faster when the phase lag between frontal and parietal oscillations was near to 0°





Decision-Making

- Balloon analog risk task during dlPFC stimulation (Sela et al., 2012)

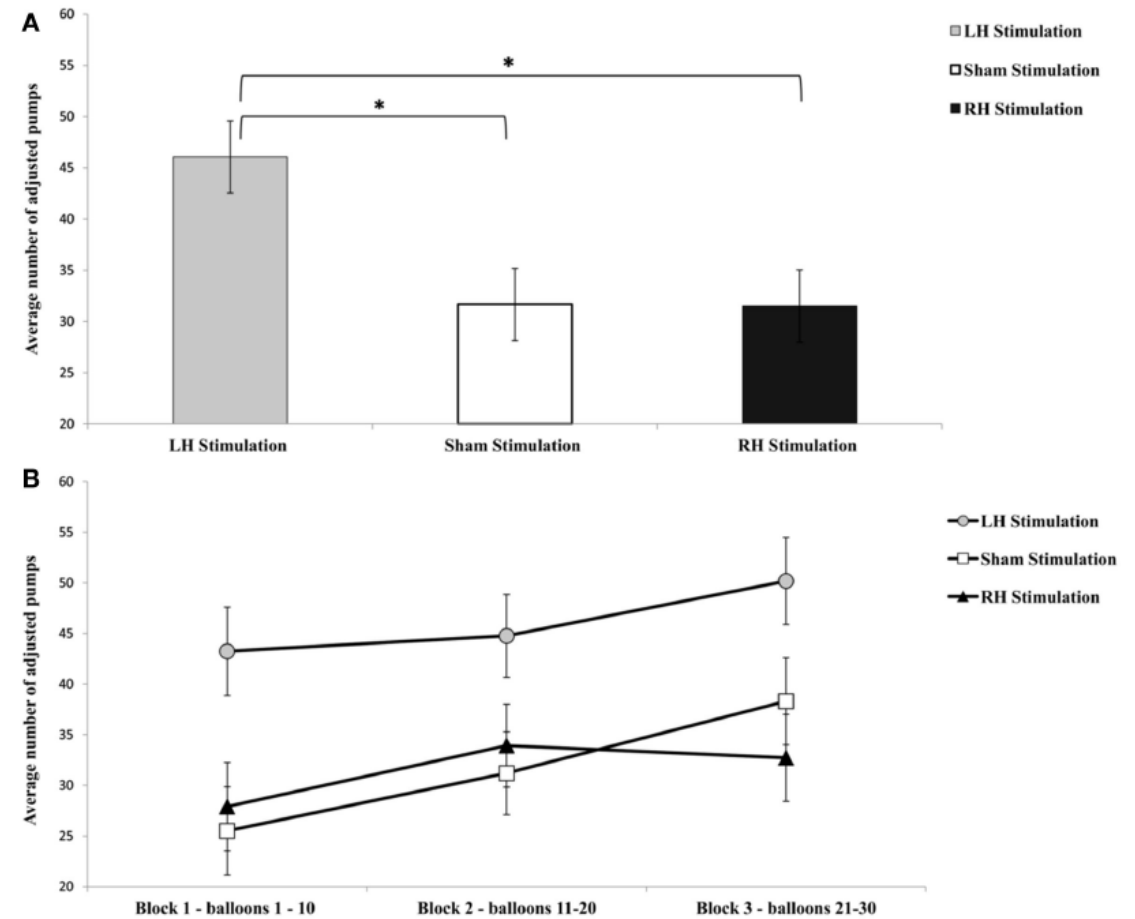
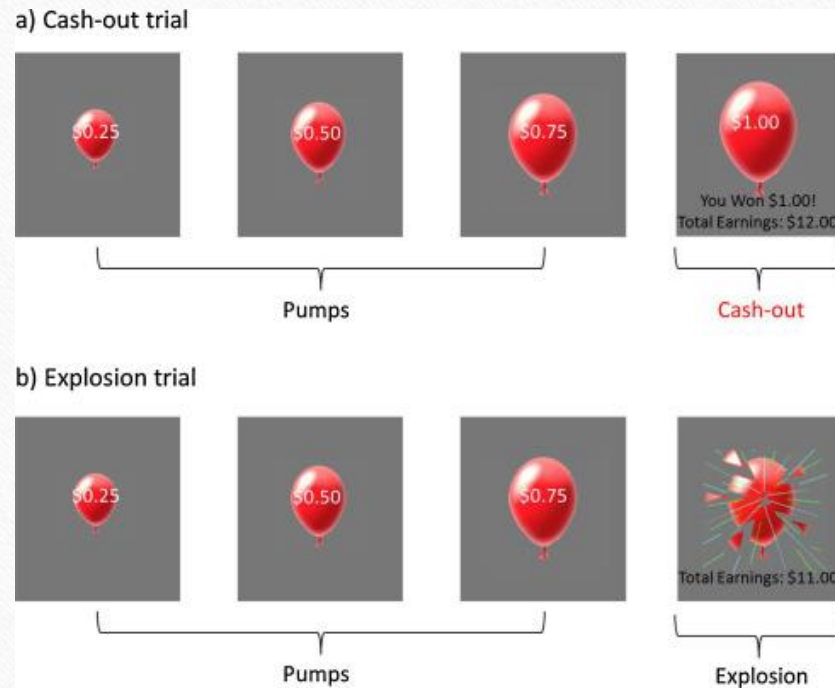
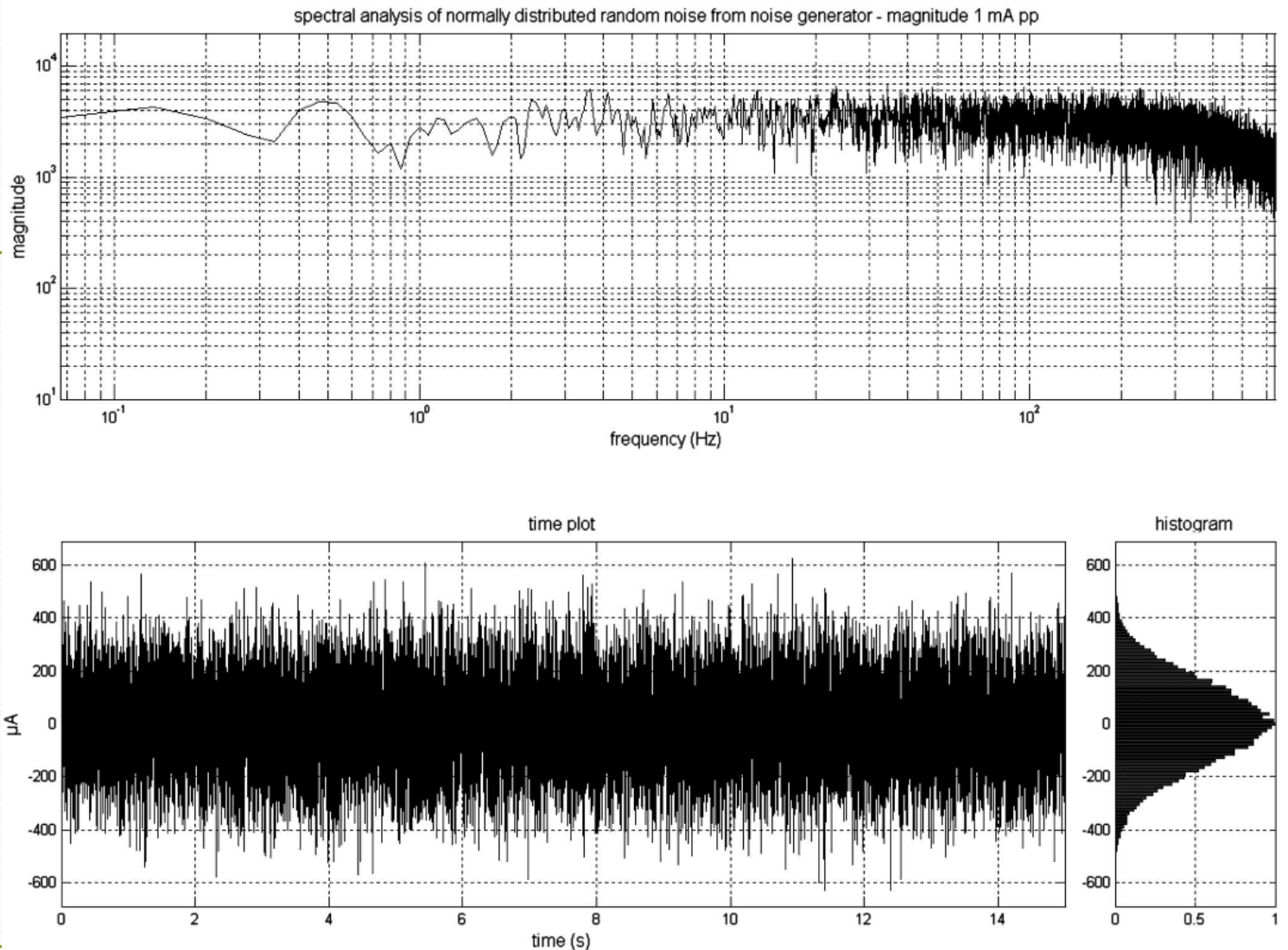


FIGURE 2 | Graphic display of the average number of adjusted pumps (the total pumps of the balloon that did not explode) for each stimulation group (A) and the average number of adjusted pumps for each group and time period (B). Error bars indicate SEM. * $p < 0.05$.

Advanced TES methods

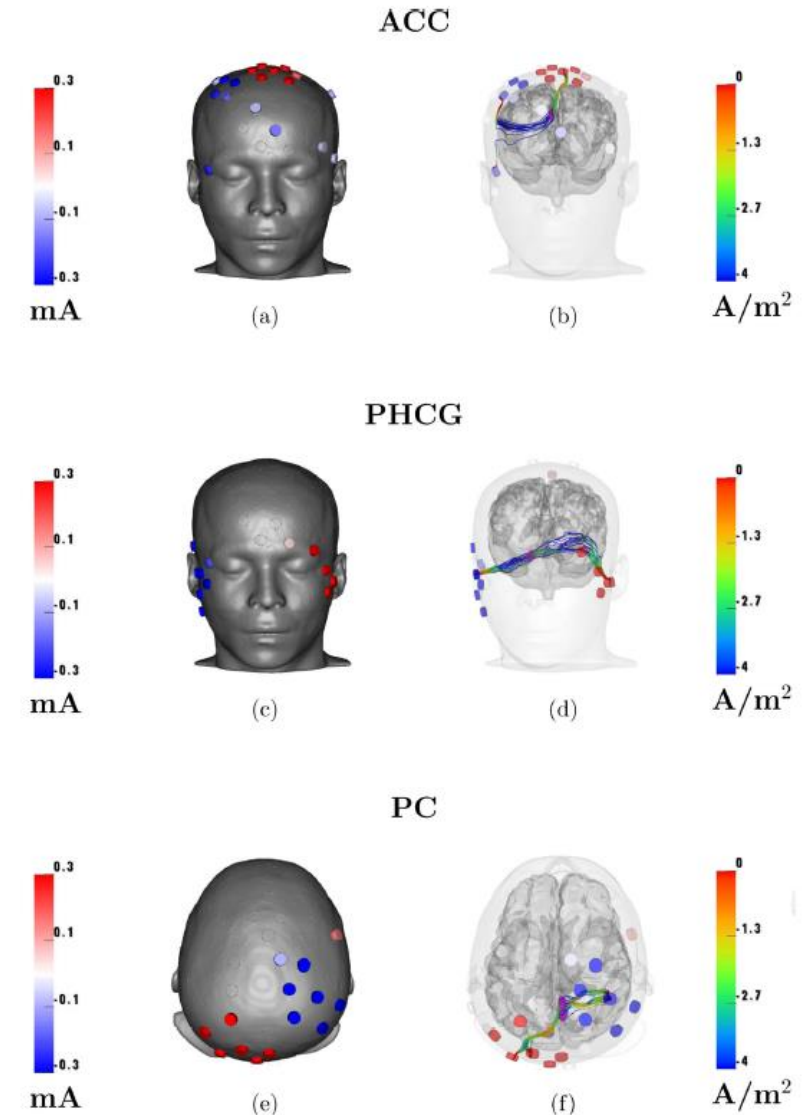
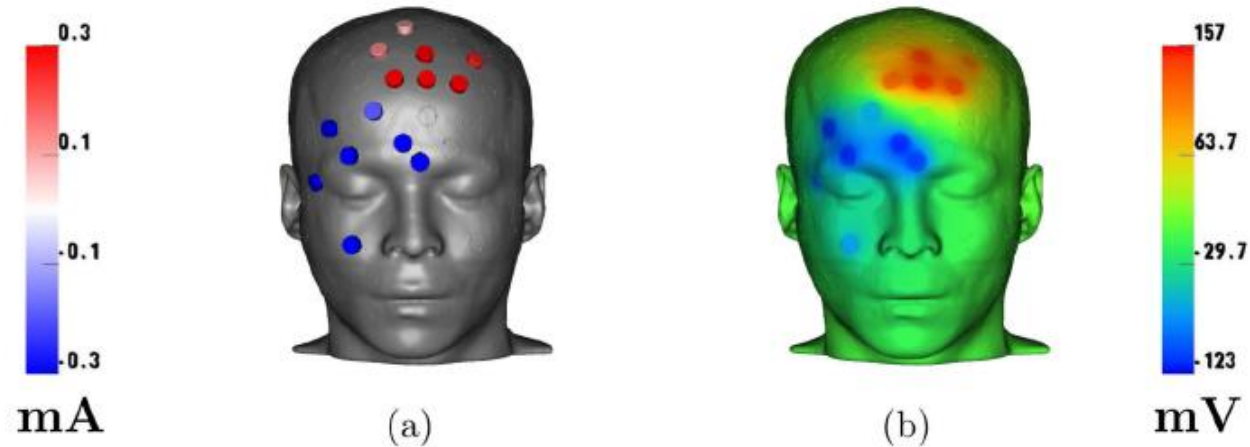
Random noise stimulation

- Stimulate across all frequencies in a physiological range using a random noise frequency pattern (tRNS: transcranial random noise stimulation)
 - Normally distributed random level of current generated for every sample at a sampling rate of 1280 samples per second with no overall DC offset.
 - “white noise”



Dense-array TES

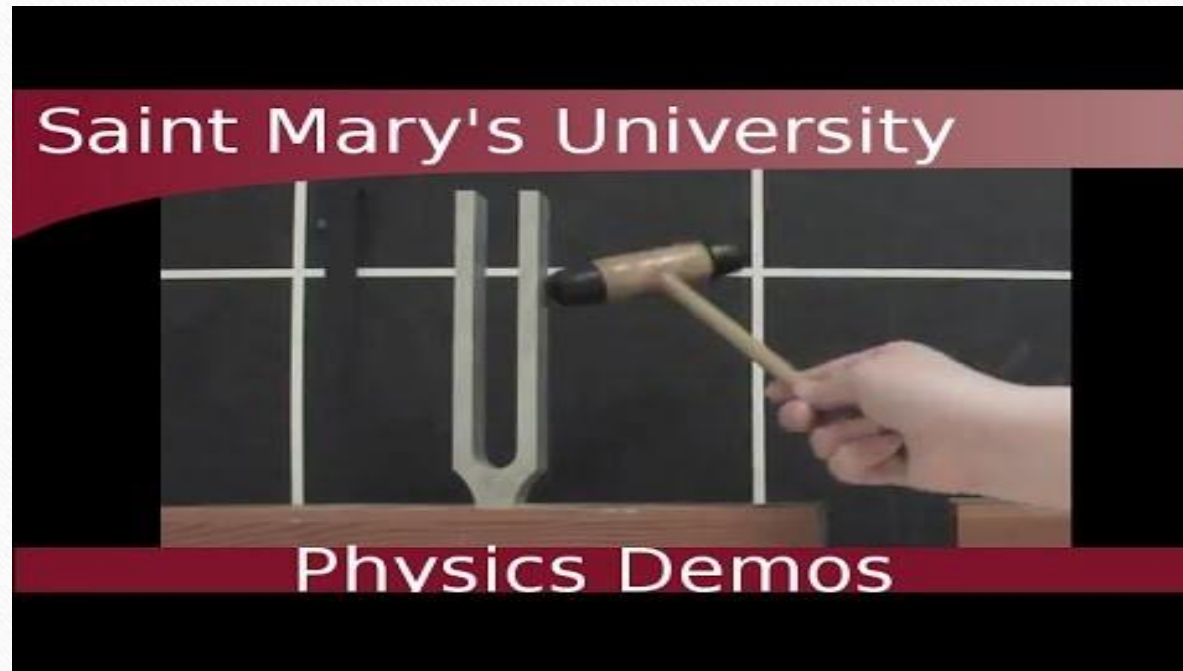
- Optimized TES to increase the spatial precision of the electric field in a focal ROI (Edwards et al., 2013; Guler et al., 2016, Ruffini et al., 2015).
 - simultaneously:
 - maximize current density inside the ROI;
 - minimize current density outside the ROI;
 - satisfy safety constraints on the total current and individual electrode currents.



Temporal interference stimulation (TIS)

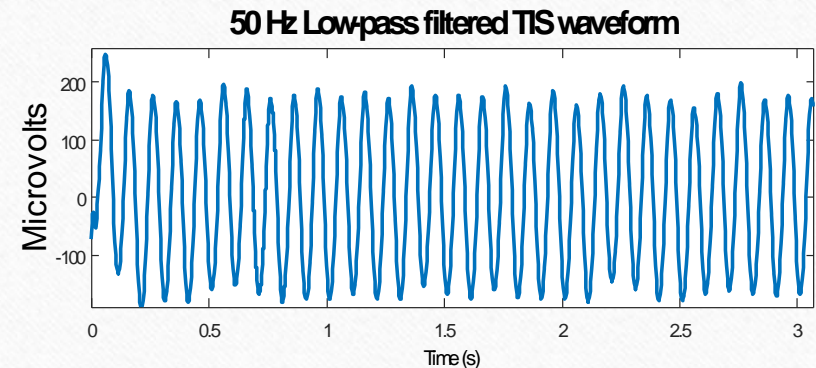
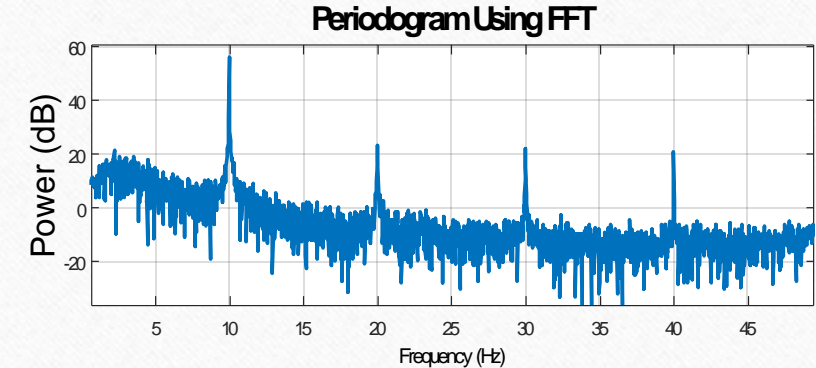
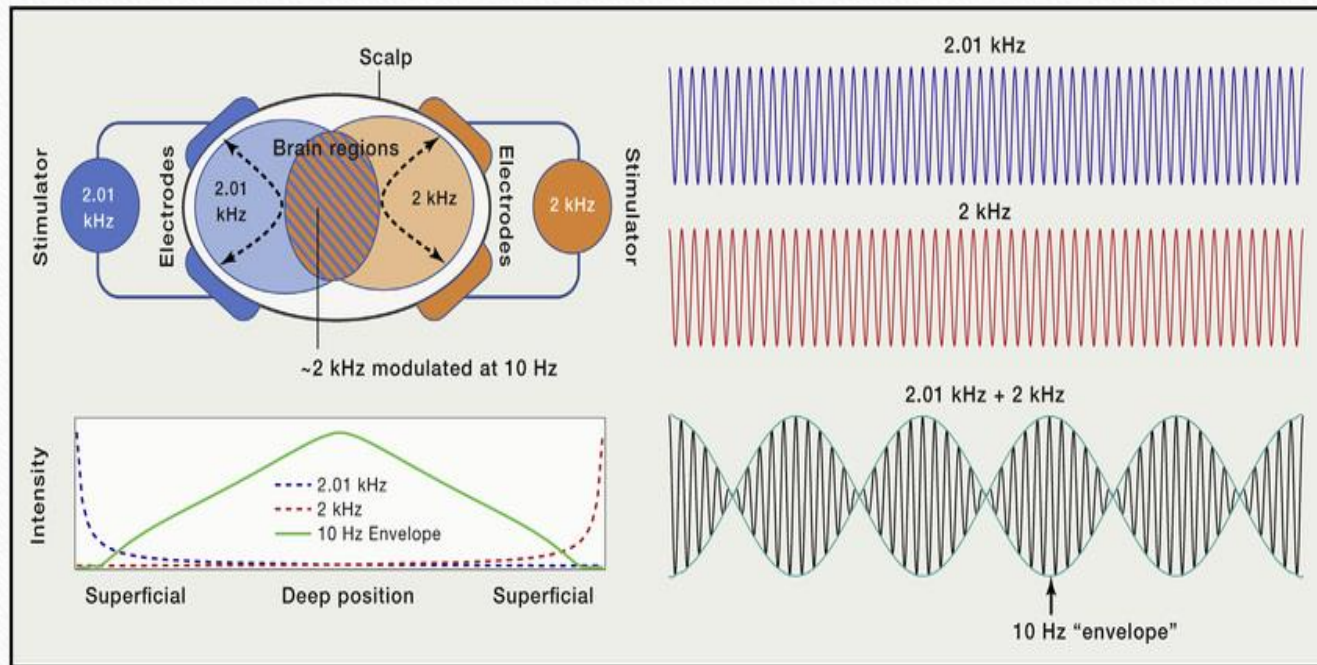
- The summation of multiple high frequency electric fields at slightly different frequencies (e.g. 2 kHz and 2.01 kHz)

- Temporal interference pattern, or a "beat" frequency



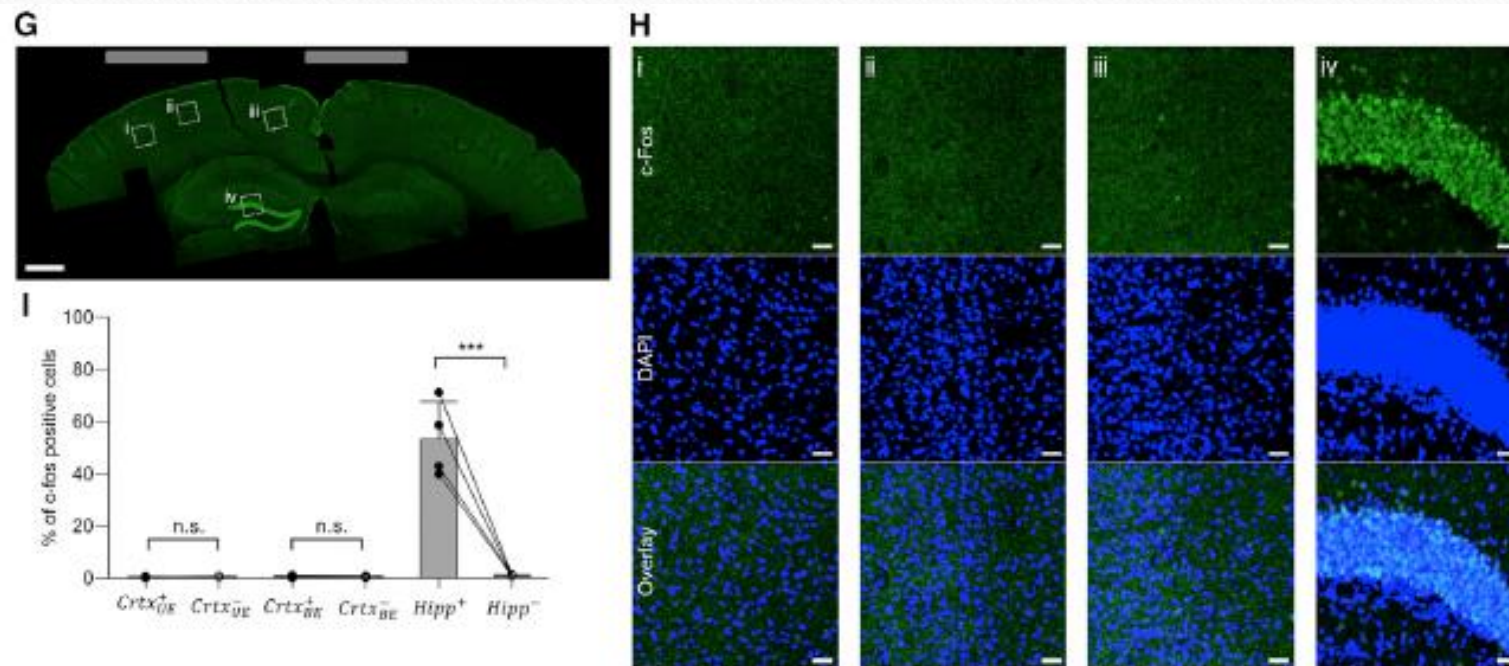
Low frequency amplitude modulation via TIS

- The summation of multiple high frequency electric fields at slightly different frequencies (e.g. 2 kHz and 2.01 kHz)



Stimulate mouse hippocampus while only minimally exciting the overlying cortex

- No tissue damage as a function of stimulation up to 125 μA .



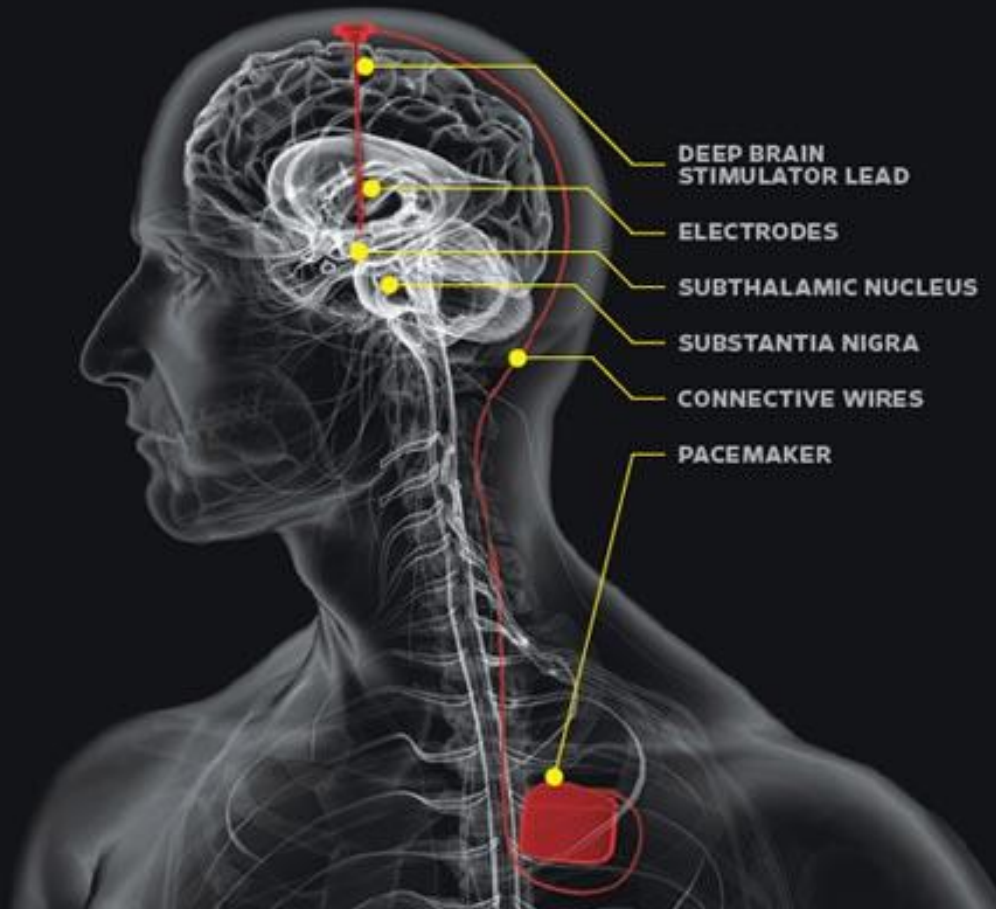
Transcranial Ultrasound, Mood, and Network Connectivity

With thanks to:

Jay Sanguinetti, Jamie Tyler, Stuart Hameroff,
Tomo Sato, Chris Daft, Lauritz Dieckman, & Ezra Smith

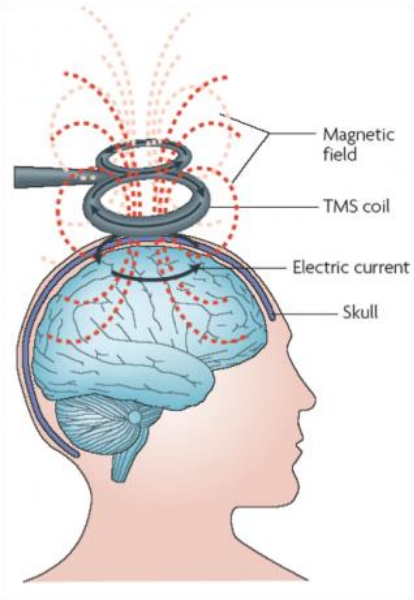
Neuromodulation

Invasive Neuromodulation: Deep Brain Stimulation (DBS)

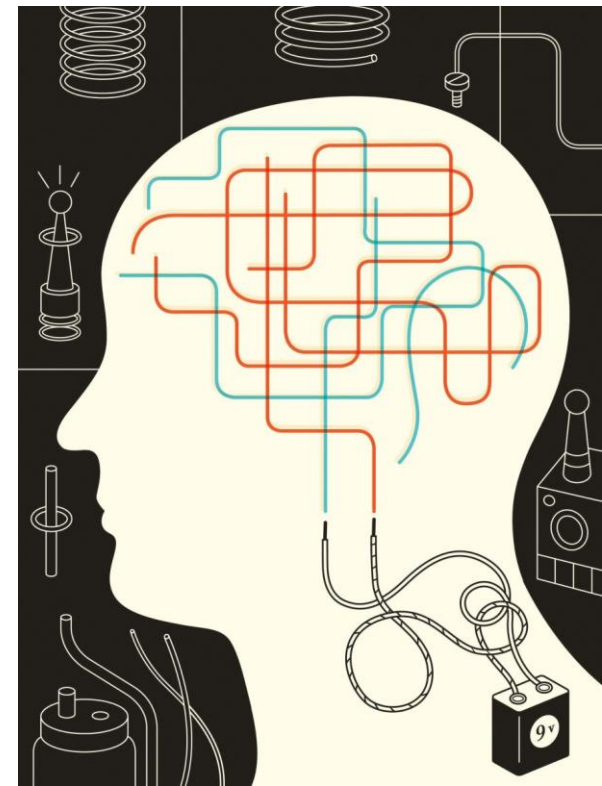
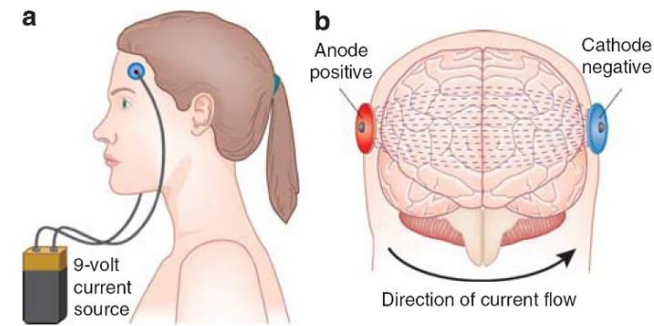


Noninvasive Neuromodulation

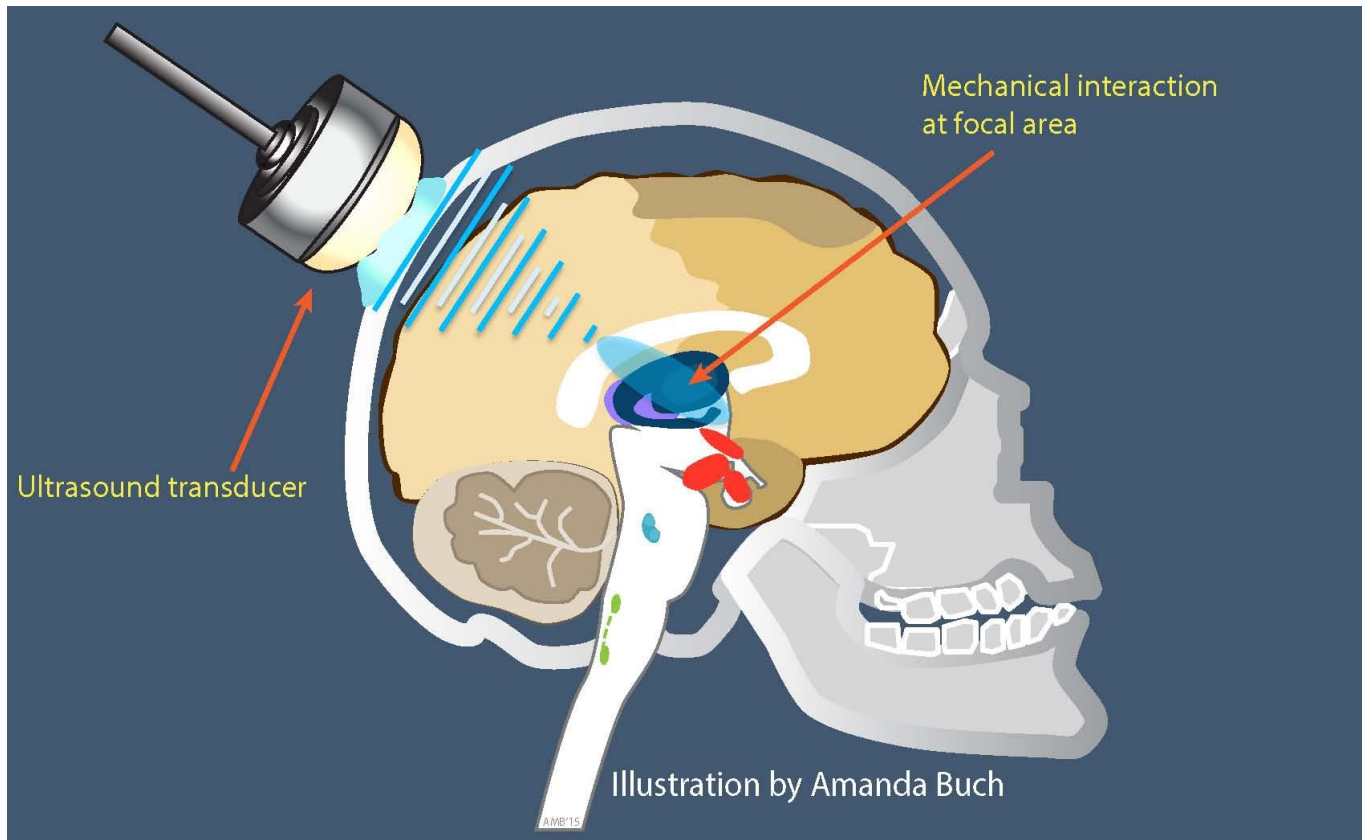
TMS



tDCS

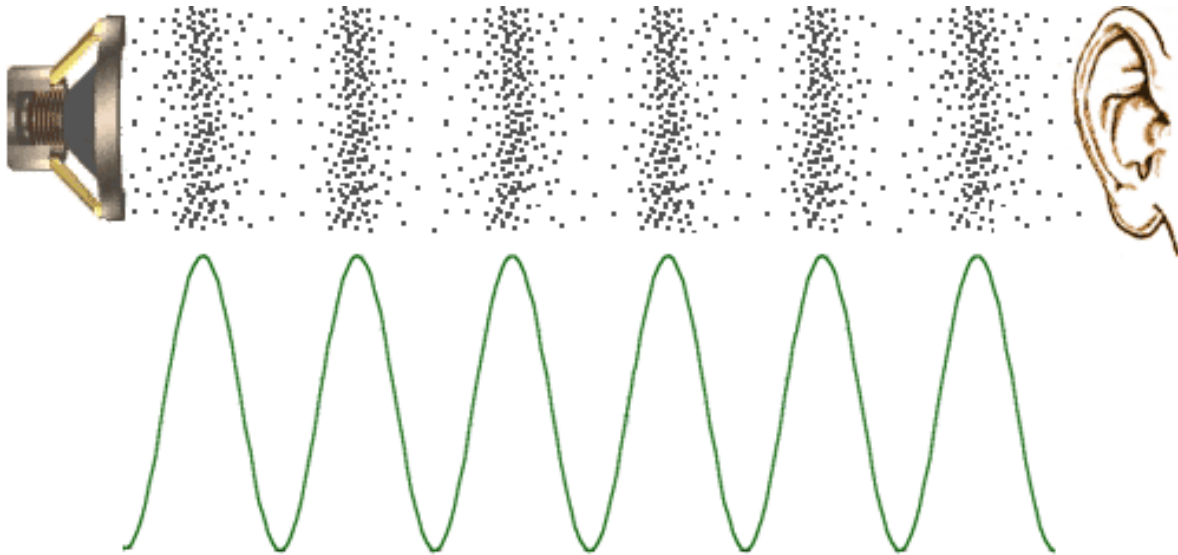


Transcranial Ultrasound (TUS)

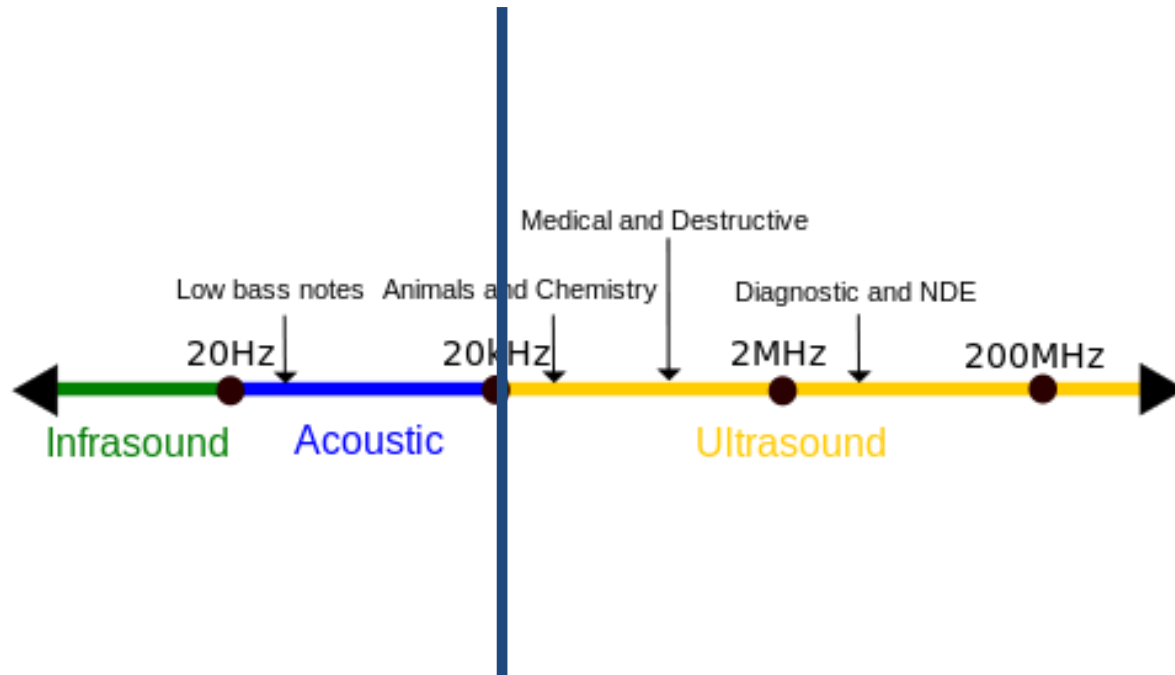


Sound Waves:

Pressure oscillations
at a given Frequency

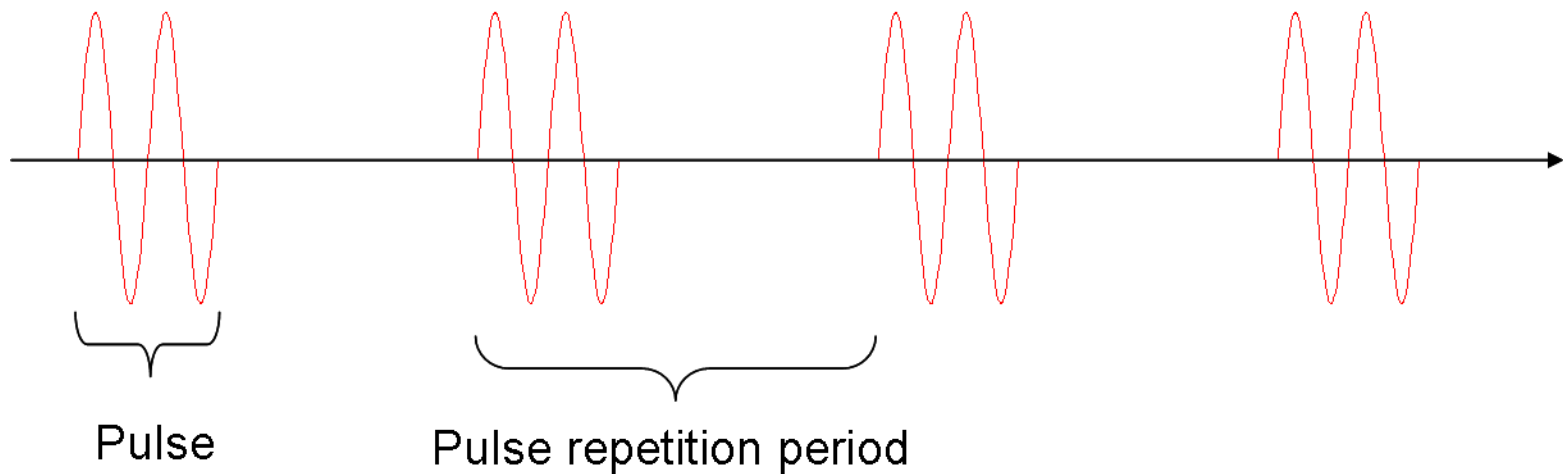


Ultrasound



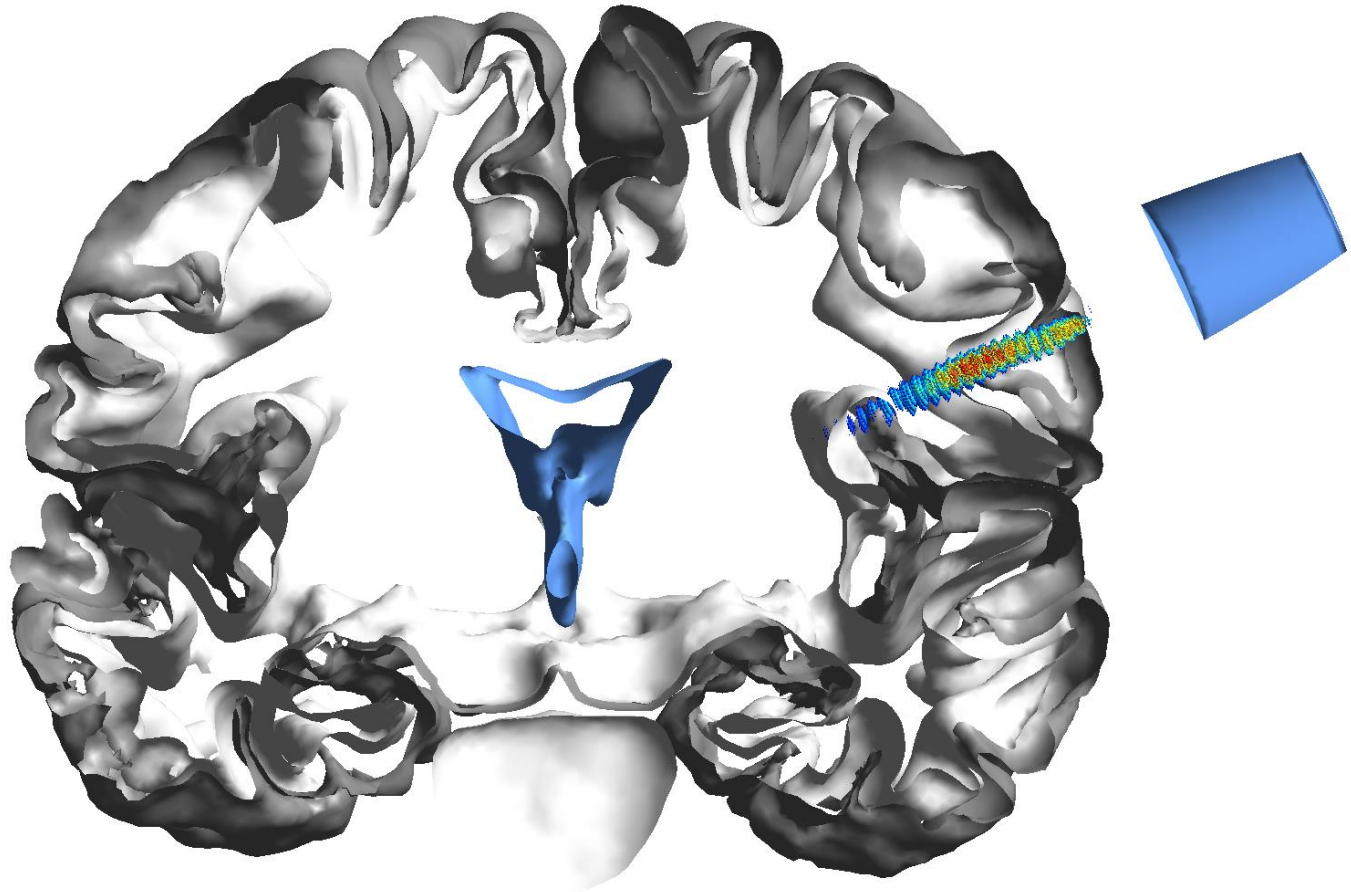
Pulsed FOCUSED ULTRASOUND

- Continuous Wave US
- Pulsed US
- Focused Ultrasound (FUS)

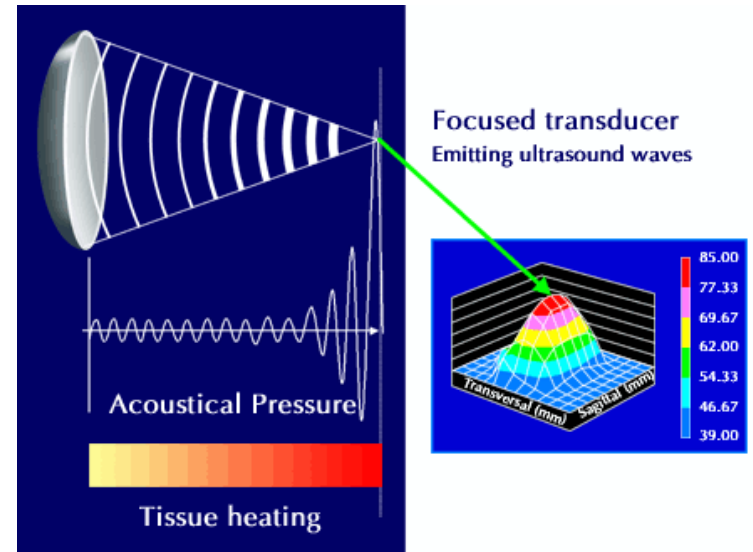
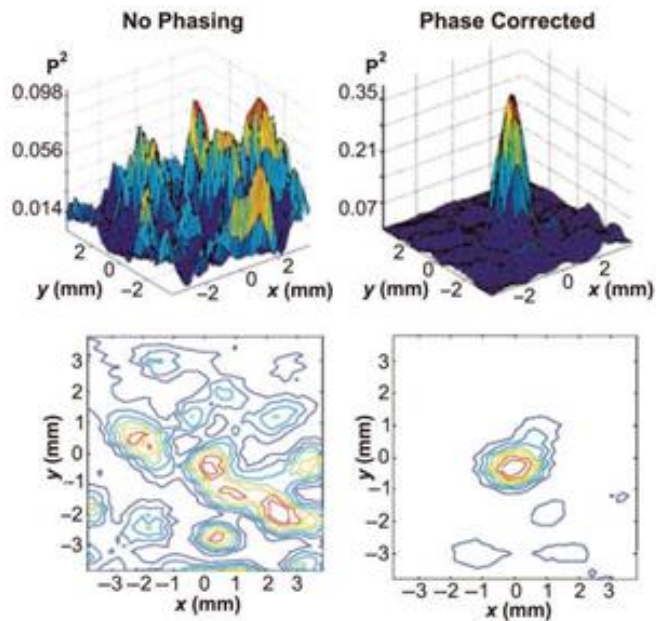
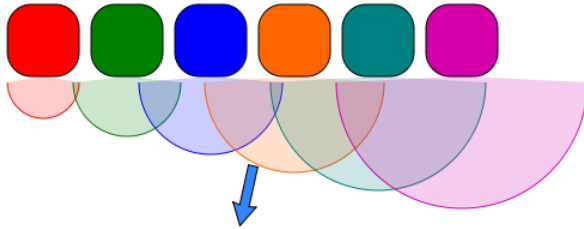


Ultrasound pressure measured in Mpa (Mega Pascals)

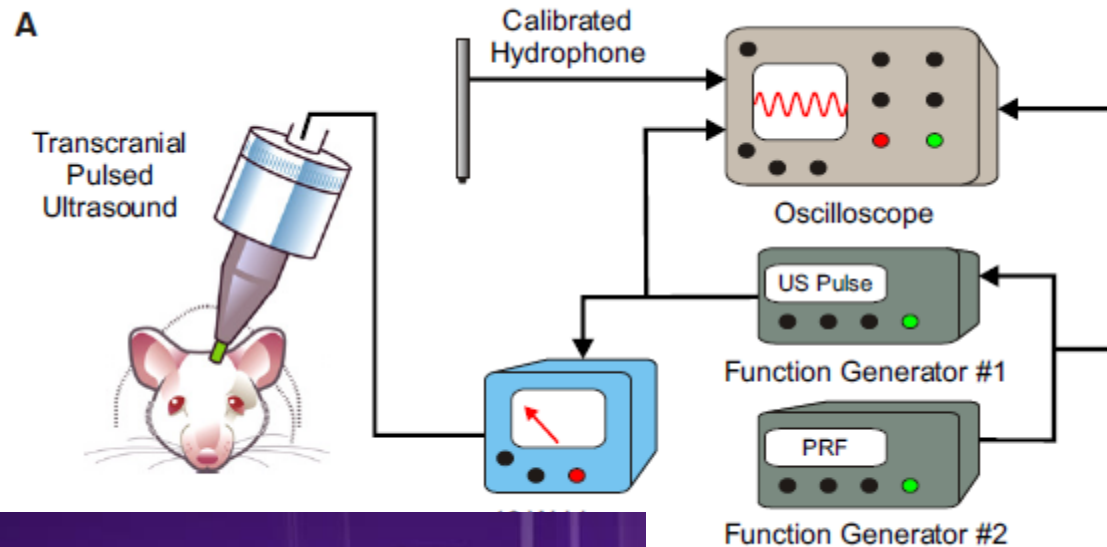
PULSED FOCUSED ULTRASOUND



Focused Ultrasound



Motor Movement Induction



Tufail et al, *Neuron*, 2010

nature
protocols

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NATURE PROTOCOLS | PROTOCOL

Ultrasonic neuromodulation by brain stimulation with transcranial ultrasound

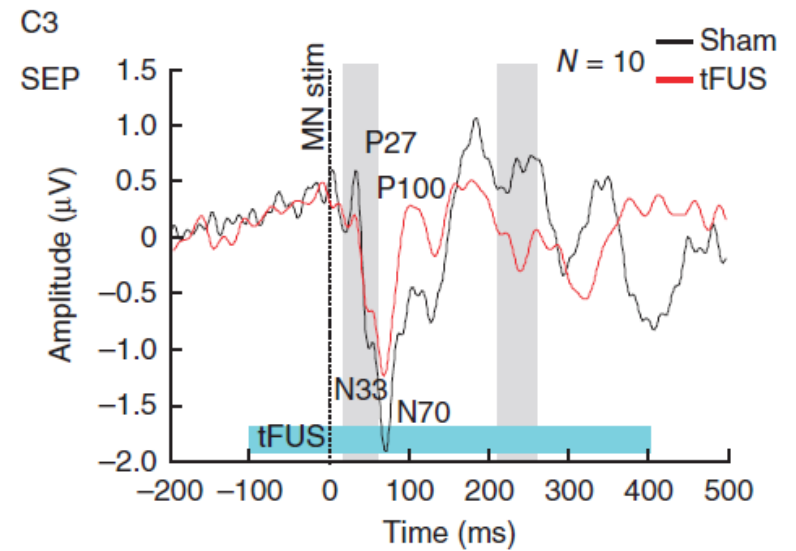
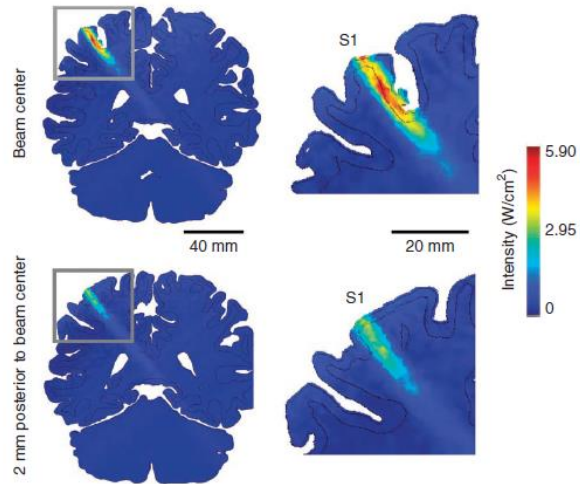
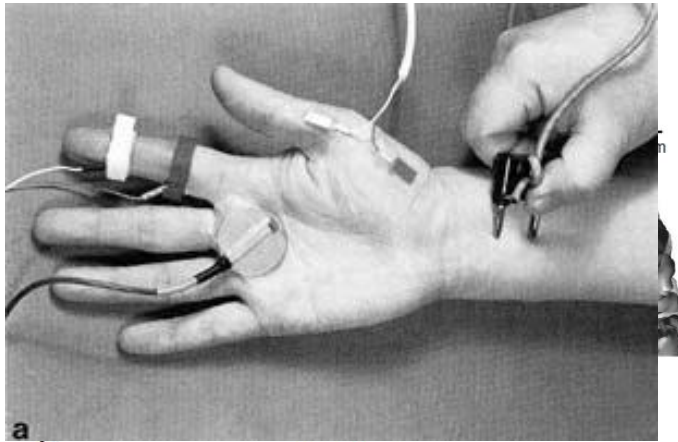
Yusuf Tufail, Anna Yoshihiro, Sandipan Pati, Monica M Li & William J Tyler

Nature Protocols **6**, 1453–1470 (2011) | doi:10.1038/nprot.2011.371

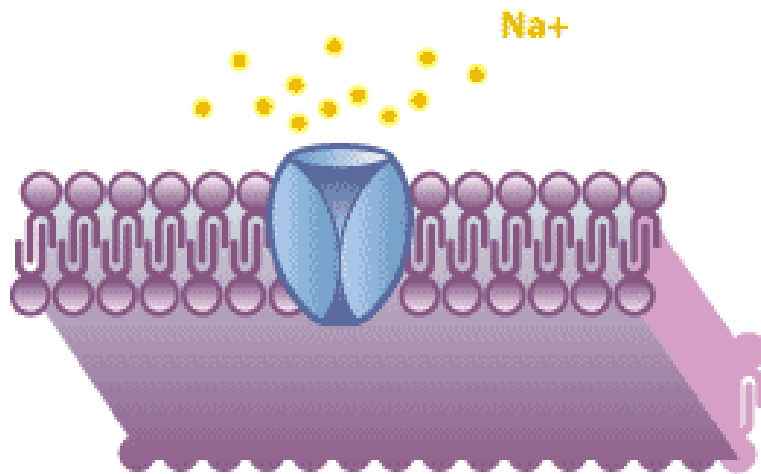
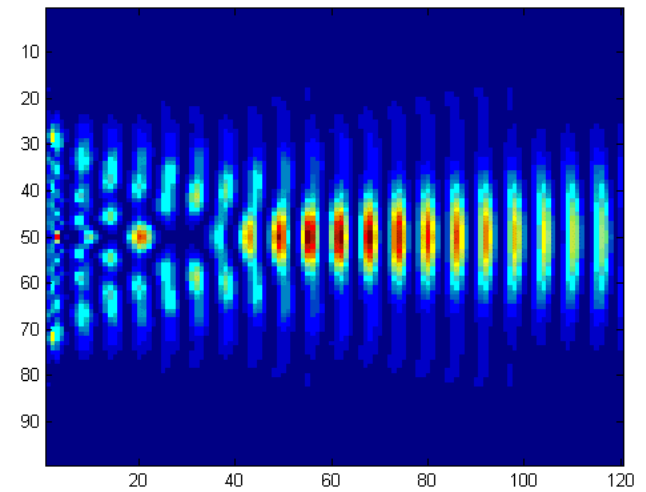
Published online 01 September 2011

Induced selective whisker & paw movement

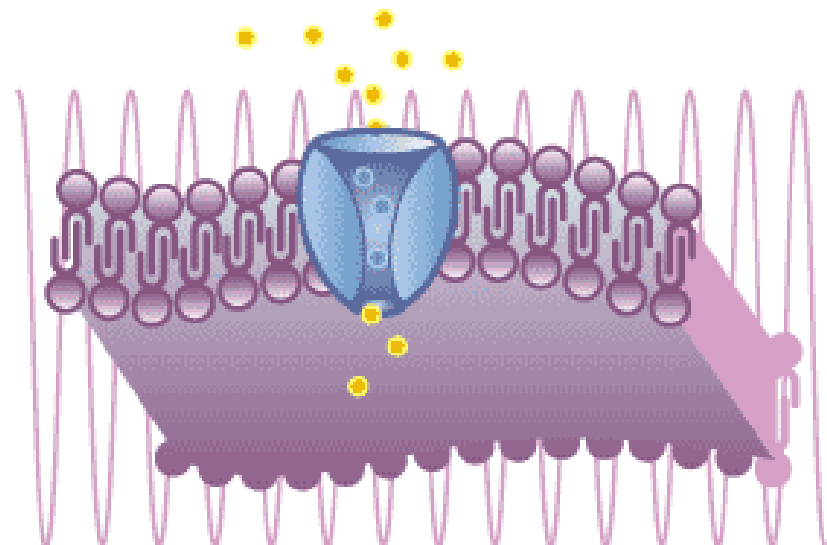
Human Somatosensory



Biomechanical mechanism



VOLTAGE-GATED
SODIUM CHANNEL



ULTRASOUND OPENS SODIUM CHANNEL
(MECHANICAL FORCES)

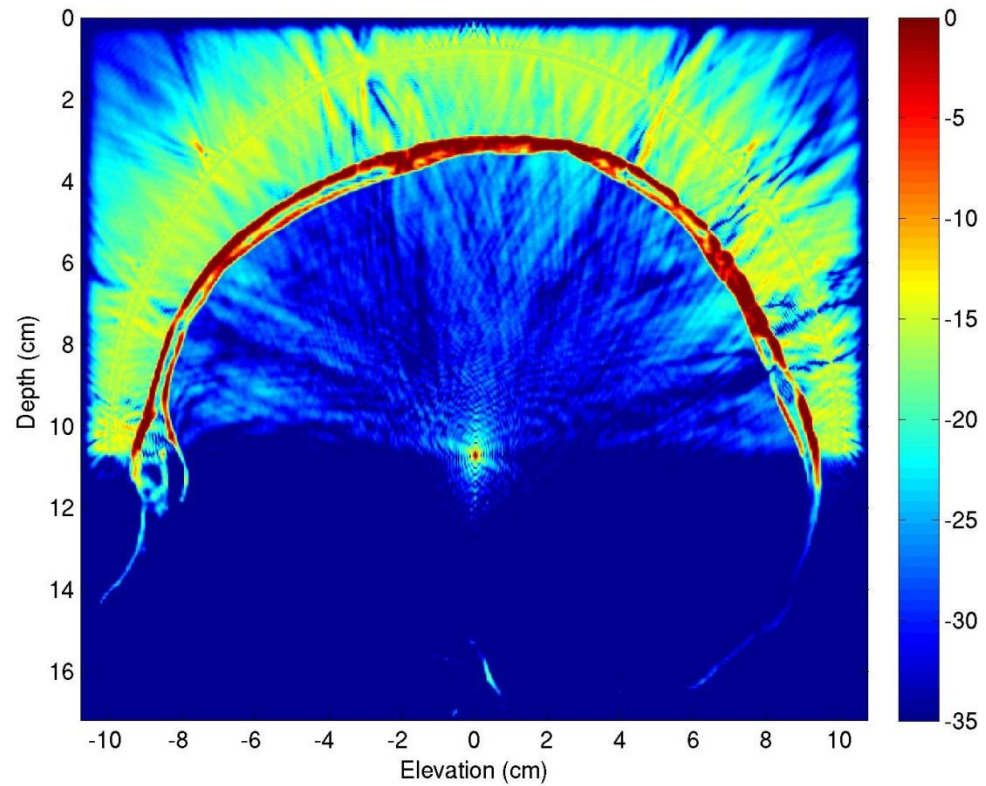
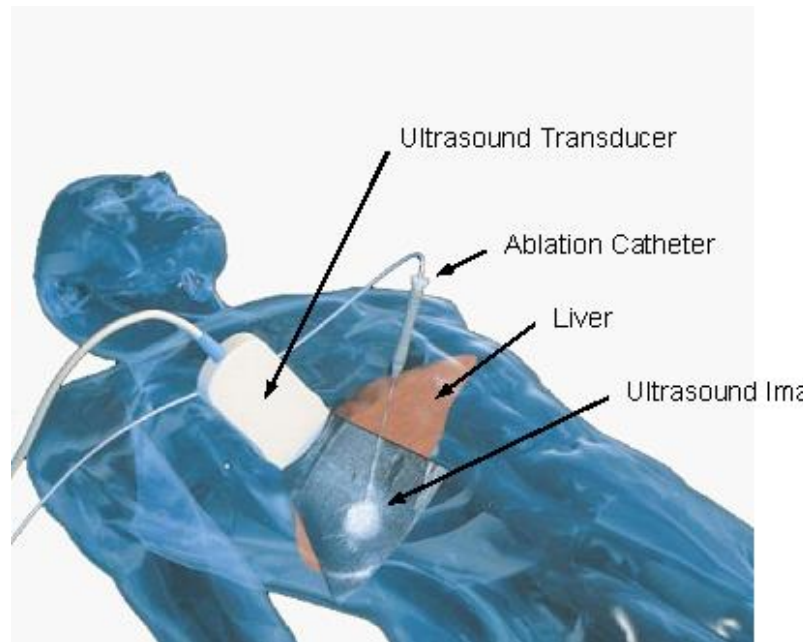
Is that safe?

Non-thermal, Low-Intensity

- Over 80 years medical use (Holscher et al., 2008)
- FDA guidelines:
 - 96 mW/cm² fetus
 - 720 mW/cm² adult, every part of body, including brain



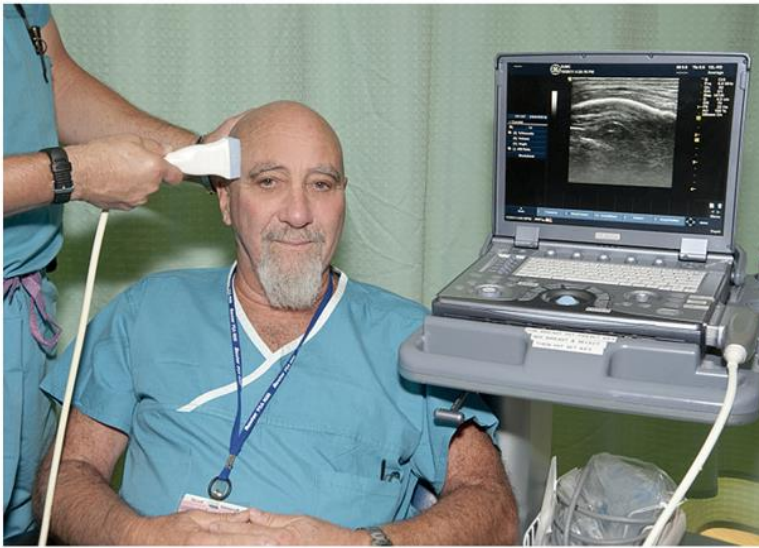
Thermal US



High Intensity, MR-Guided
Focused Ultrasound

Nonthermal use in human neuromodulation

FIRST HUMAN BRAIN TUS STUDY



Hameroff et al., *Brain Stimulation*, 2012

No decrease in pain ($p = .07$)

Increase in mood ($p < .05$)

Where to focus the focused TUS?

- ◆ Clues from EEG Asymmetry research
 - ◆ Putative biomarker of risk for Depression
 - ◆ But ... Poor spatial resolution
- ◆ Link to resting-state networks with fMRI
 - ◆ Within subjects, relates to IFG connectivity to sgACC seeded network
 - ◆ There exists a functional asymmetry in IFG in terms of cognitive control of emotion

Two TUS Experiments (GE Clinical Device)

- Experiment 1 (n=29, between Ss)
 - Aim: Determine optimal parameters
 - 2 MHz vs 8 MHz; 15 seconds stimulation
 - Non-blinded experimenters
- Experiment 2 (n=33, between Ss)
 - Aim: Rule out expectation (placebo)
 - 2 MHz vs Sham, 30 seconds stimulation
 - Double-blind
- Site in both studies is right temporal window (over right IFG)



Visual Analogue Mood Scale

- Global Affect
- Global Vigor

Mood Scale (circle a number for each question)

How alert do you feel?

0 1 2 3 4 5 6 7 8 9 10

Very little

very much

How sad do you feel?

0 1 2 3 4 5 6 7 8 9 10

Very little

very much

How tense do you feel?

0 1 2 3 4 5 6 7 8 9 10

Very little

very much

How much of an effort is it to do anything?

0 1 2 3 4 5 6 7 8 9 10

Very little

very much

How happy do you feel?

0 1 2 3 4 5 6 7 8 9 10

Very little

very much

How calm do you feel?

0 1 2 3 4 5 6 7 8 9 10

Very little

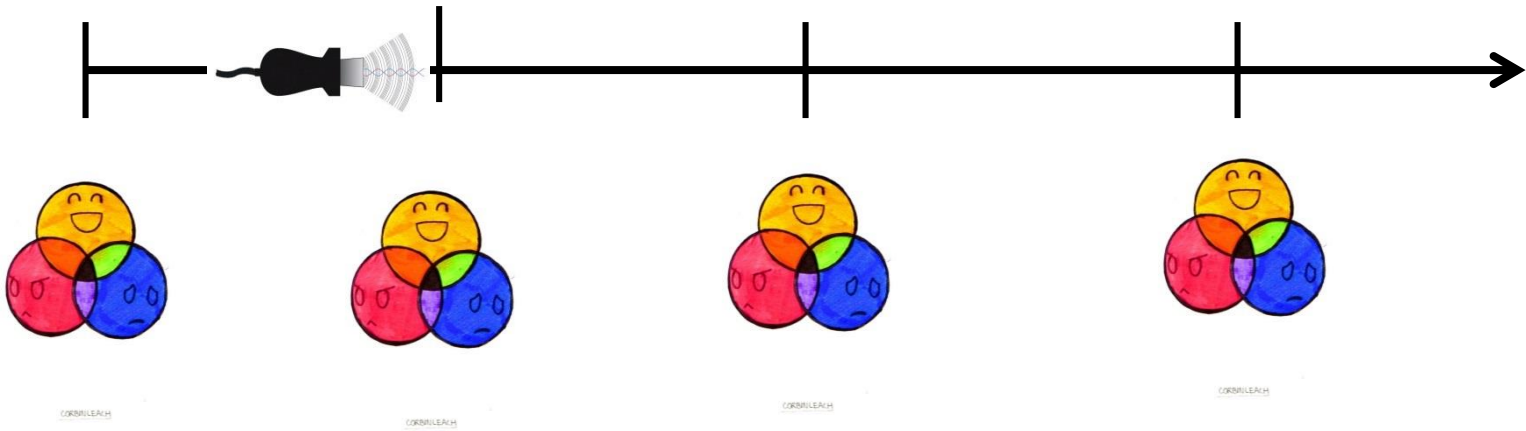
very much

Baseline

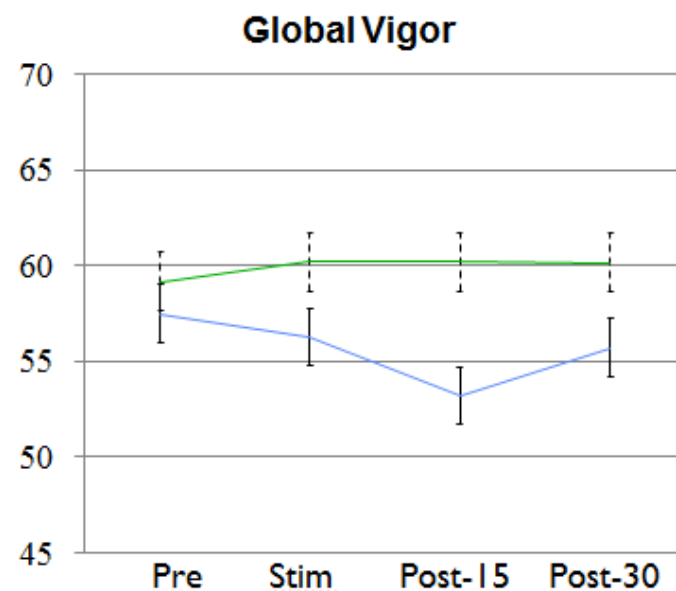
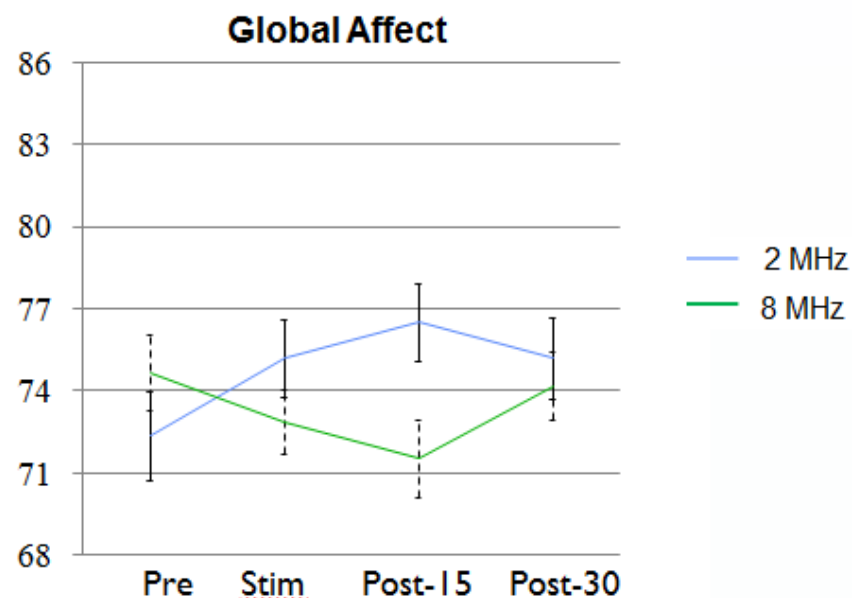
Stimulation

Post 15min

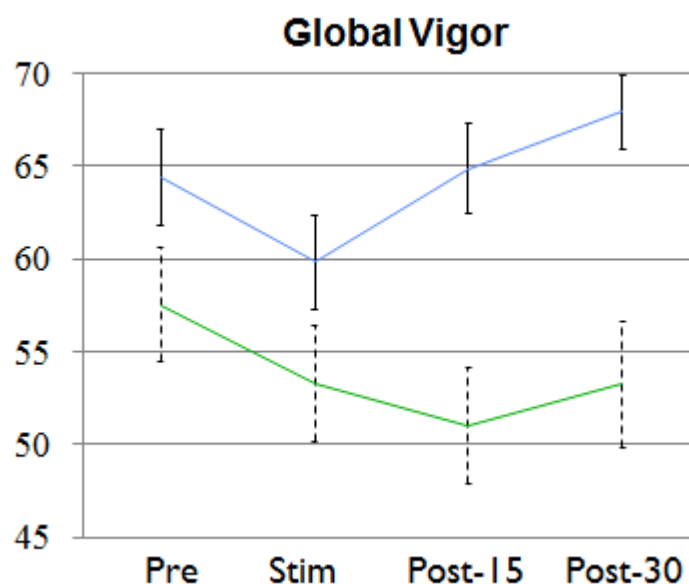
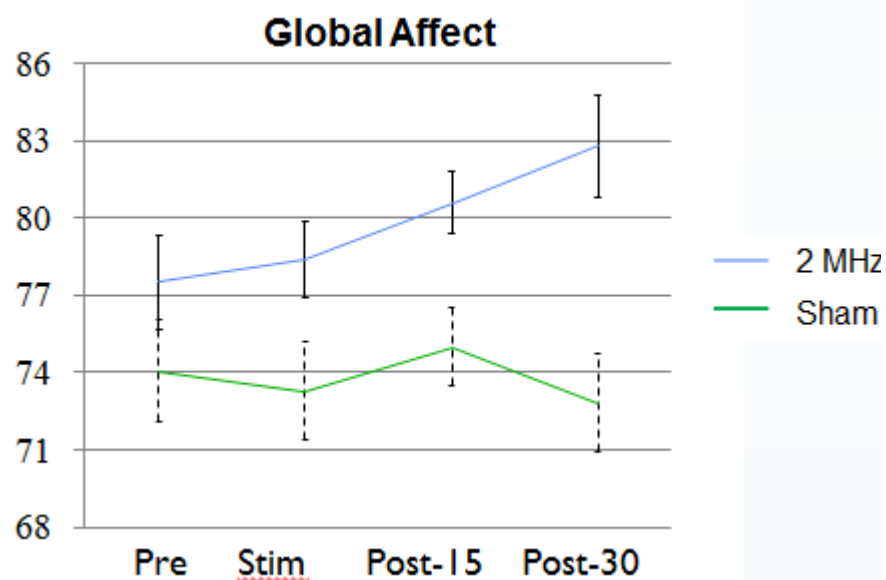
Post 30min



Experiment 1: 2 MHz vs 8 Mhz – 15 seconds



Experiment 2: 2Mhz vs Sham – 30 Seconds



Human Focused TUS Device



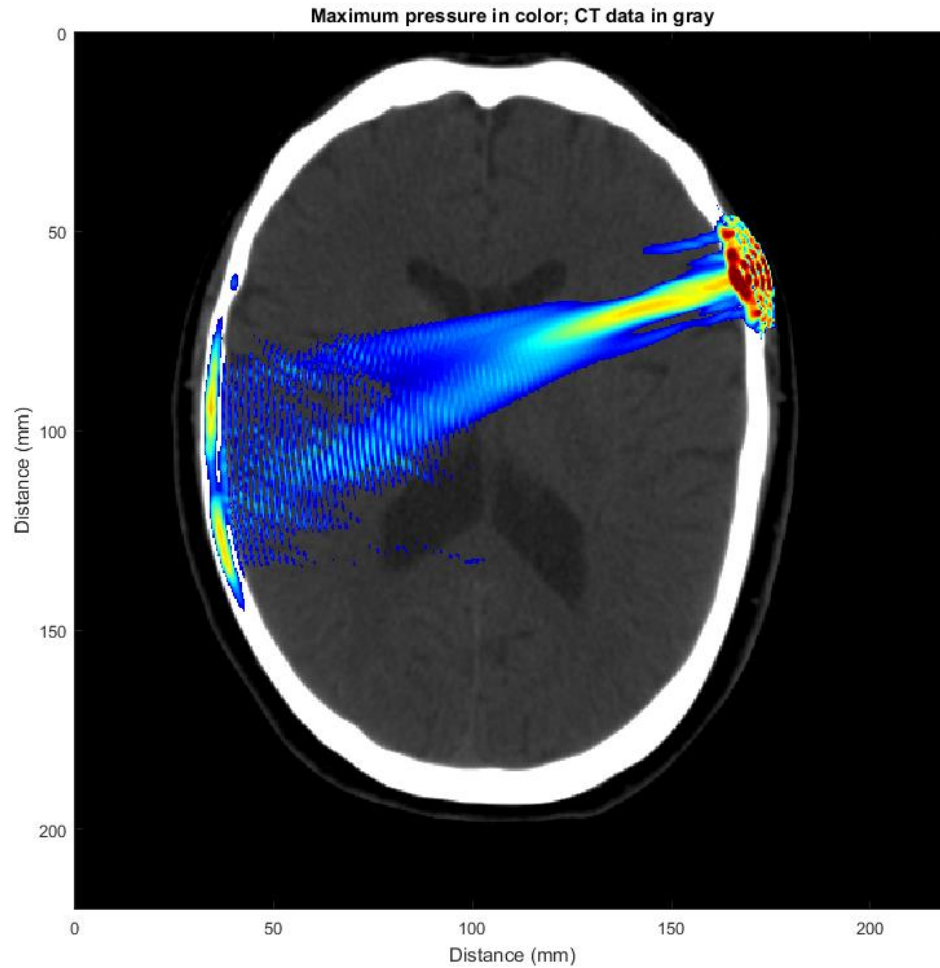
Issy Goldwasser

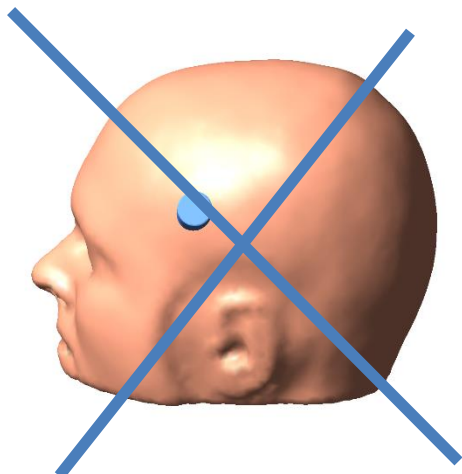
William Tyler





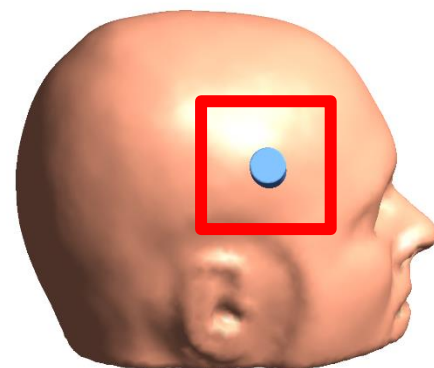
Focused TUS Modeling





TUS

Placebo



TUS

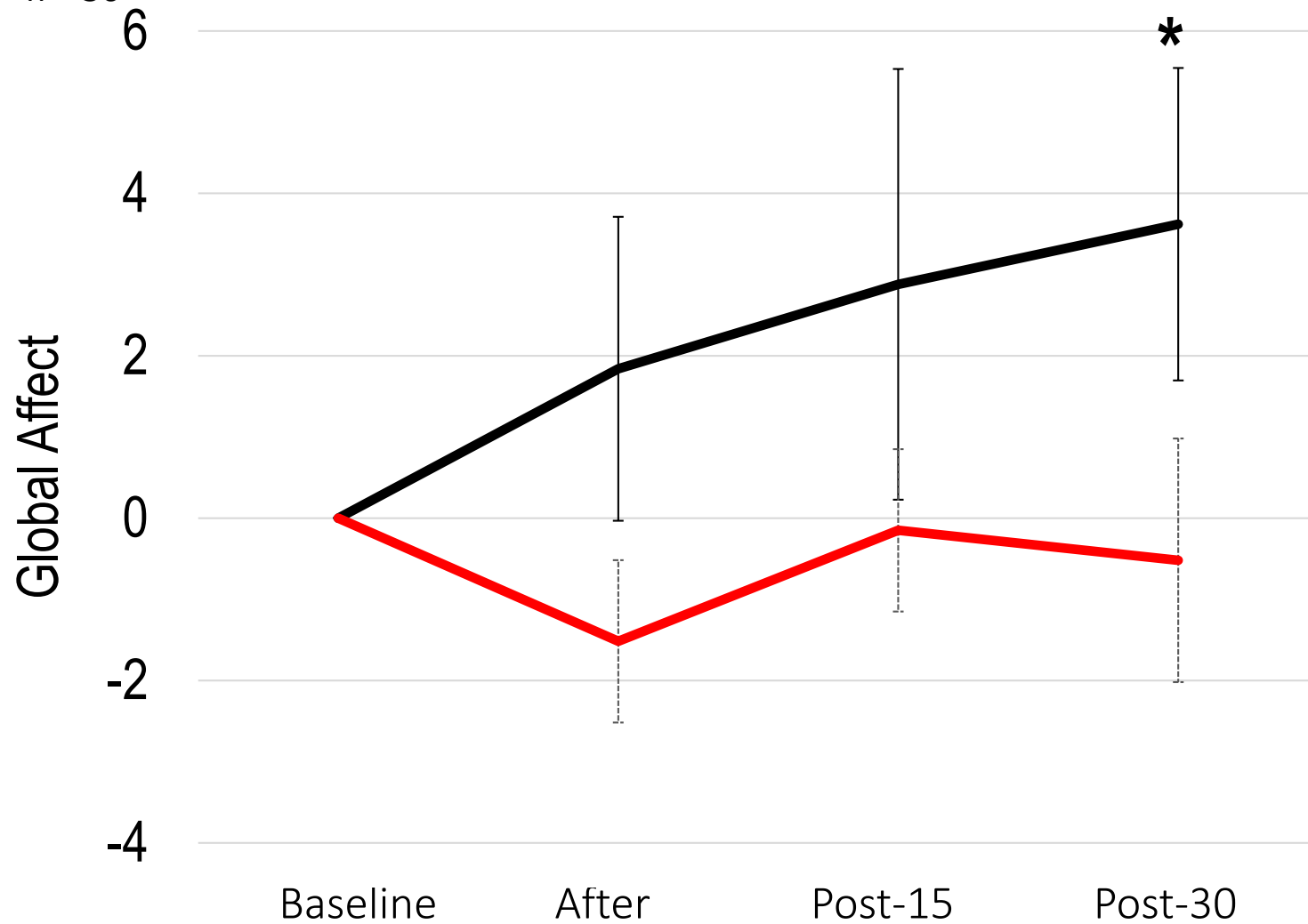
Placebo



n = 56

Right side

TUS
Placebo

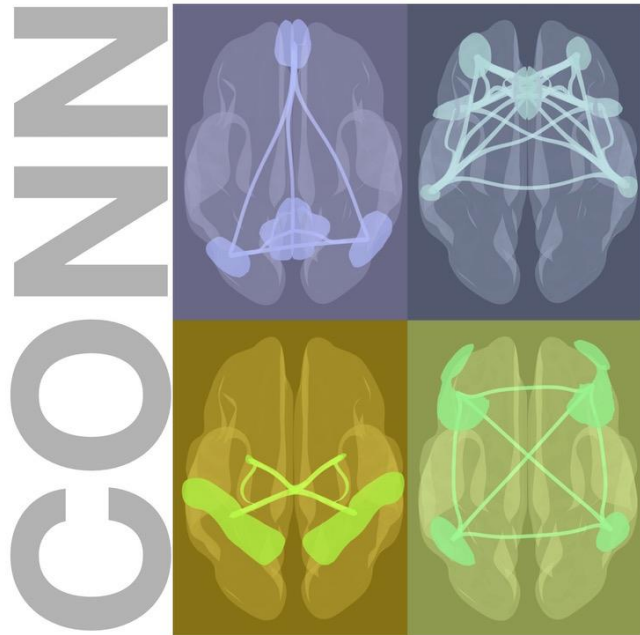


Resting State Functional Connectivity

Seed-ROI based connectivity analysis



Michael D. Fox (2005) PNAS



Functional Connectivity SPM Toolbox 2017



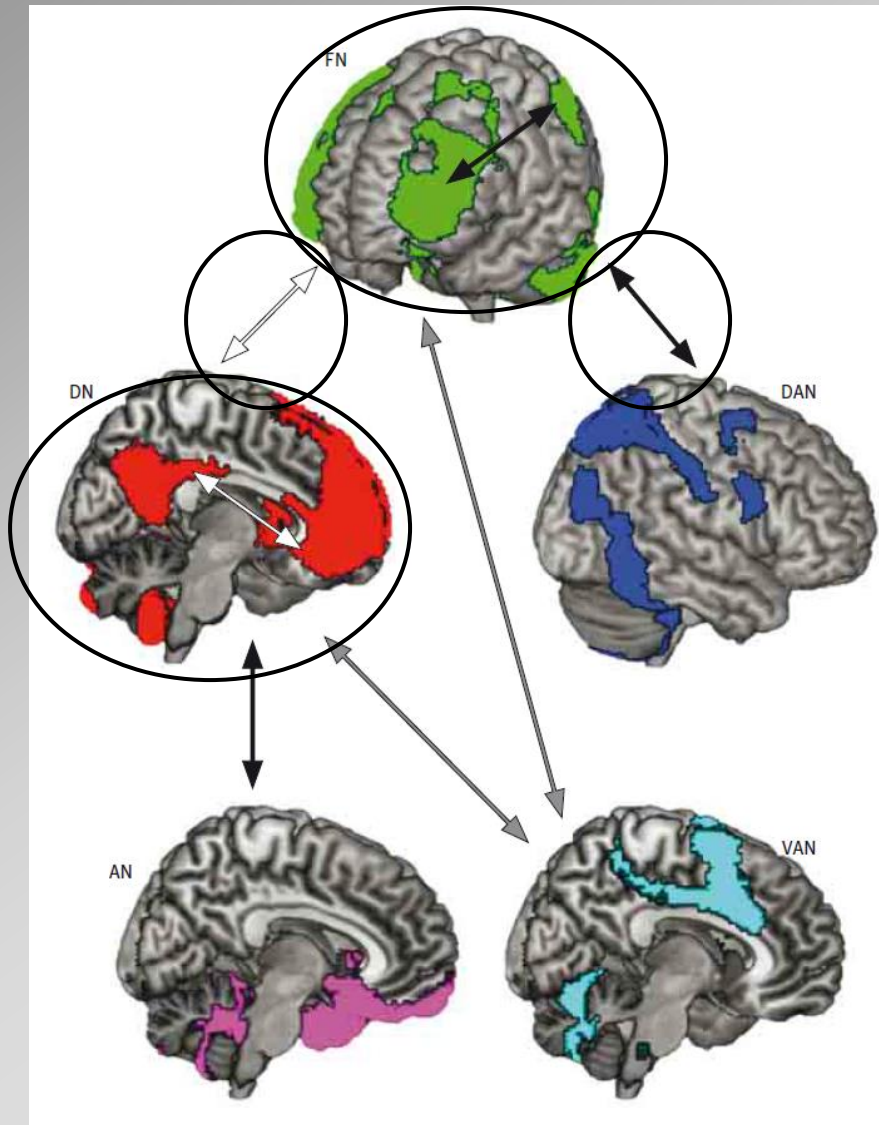
Whitfield-Gabrieli, S., and Nieto-Castanon, A. (2012). Conn: A functional connectivity toolbox for correlated and anticorrelated brain networks. *Brain Connectivity*. doi:10.1089/brain.2012.0073

Connectivity in Mood Disorders

- Reduced coordination in cognitive control systems
- Altered communication between control systems
 - Internal thought (default mode)
 - Emotional regulation



Aberrant connectivity in MDD

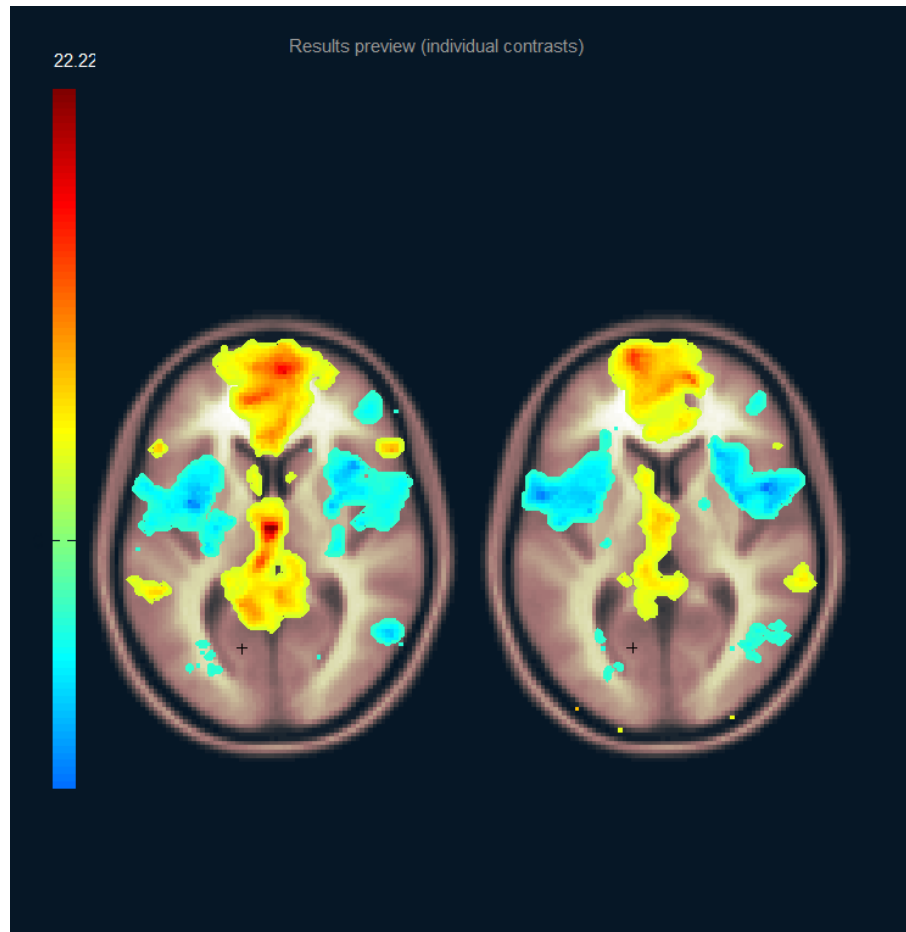


- ↑ Default Mode (DN): Propensity for self-focused mentation
- ↓ Frontoparietal (FN) connectivity: Deficits in Cognitive Control
- ↑ FN-DN Connectivity, along with ↓ FN-DAN Connectivity: biases toward ruminative thoughts at the cost of attending to the external world

Administering 2 minutes of TUS

PRE-POST CHANGES IN RSFMRI

Seed: DMN



Pre TUS

Post TUS

Reduced functional connectivity post relative to pre for three seed regions							
Seed Region	Cluster Coordinates	Cluster Size	Cluster Regions	BA	Voxels in Regions	Coverage	Cluster p value (p< .05 FDR)
Inferior Frontal Gyrus	-06 +28 -24	548	(L) Subgenual cortex	25	101	17%	0.001
			(R) Orbitofrontal cortex	11	83	3%	
			(L) Inferior prefrontal gyrus	47	41	2%	
			(L) Orbitofrontal cortex	11	32	1%	
			(L) Dorsal anterior cingulate	32	17	1%	
			(L) Posterior entorhinal cortex	28	12	2%	
			(L) Anterior entorhinal cortex	34	12	2%	
			(R) Subgenual cortex	25	4	1%	
			Not assigned or < 1% coverage		246		
Medial Prefrontal	-12 +08 +48	232	(L) Premotor cortex	6	96	1%	0.008
			(L) Ventral anterior cingulate	24	66	4%	
			(R) Premotor cortex	6	45	1%	
			Not assigned or < 1% coverage	-	25	-	
Posterior Cingulate	+20 -40 -10	263	(R) Parahippocampal cortex	36	97	13%	0.002
			(R) Fusiform gyrus	37	47	3%	
			(R) Associative visual cortex	19	26	1%	
			(R) Perirhinal Cortex	35	18	5%	
			(R) Posterior entorhinal cortex	28	7	1%	
			Not assigned or < 1% coverage	-	68	-	
	-34 -88 +28	145	(L) Associative visual cortex	19	105	2%	0.033
			Not assigned or < 1% coverage	-	40	-	

TUS Synopsis

- ◆ TUS to rIFG: positive mood effects
- ◆ Site specific changes in mood
- ◆ fMRI connectivity: regulation of mood and cognitive-control networks
- ◆ Low-intensity TUS as a safe, non-invasive brain stimulation method alongside TMS and tDCS.
- ◆ TUS offers advantages over established methods.
 - ◆ Can be focused for high spatial resolution
 - ◆ Can reach deep brain structures
 - ◆ Does not cause sensations on the skin
 - ◆ Brain mapping