### PSYC401A/501A: Principles of Psychophysiology

Spring, 2019, Mondays, 1:00-3:45 p.m. Room 323 Psychology

> **Course Resources Online:** jallen.faculty.arizona.edu

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## Administrivia

- ≻Drops and Adds
- ≻Overview of Syllabus

≻Class Format

### Substantive Topics

- ≻General Definition and Interpretive Issues
- > Review of studies that highlight the utility of a psychophysiological approach

## Main Text



(2016). Handbook of Psychophysiology (4th

Cacioppo, J.T., Tassinary, L.G., & Berntson, G.G

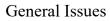


Press.

Louis G Tassinary

Gary G Berntson

http://circleofwillispodcast.com/remembering-john-cacioppo



- ➢ Definition
- ≻ Scope
- ≻ Problems of inference
- ▶ Problems and Prospects for the field

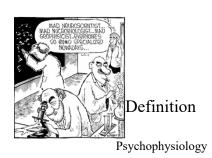




- ➤ Darrow (1964) Presidential Address:
- > the science which concerns physiological activities which underlie or relate to psychic events
- > Ax (1964) Opening Editorial, Psychophysiology

Psychophysiology is a research area which extends observation of behavior to those covert proceedings of the organism relevant to a psychic state or process under investigation and which can be measured with minimal disturbance to the natural functions involved. Modern psychophysiology is a response to the challenge inherent in the full realization of the complex nature of the human organism.

Psychophysiology provides a method for bringing both physiological and psychological aspects of behavior into a single field of discourse by which truly organismic constructs may be created.



#### Definition

Stern (1964), also in the 1st issue of Psychophy I would like to offer as a working suggestion that which the dependent variable is a physiological the independent variable a "behavioral" one sh considered psychophysiological research

July, 1964	TOWARD A DEFINITION OF PSYCHOPHYSIOLOGY				
	TABLE 1				
	Independent variable	Dependent variable			
Physiological psychology	Brain lesion Brain stimulation	Learning-behavioral Performance			

psychology	Brain stimulation Drug administration Diet manipulation	Performance Conditioning Food selection		
	Auditory stimulation	Habituation of orienting re- sponse		
	Vigilance experiment	EEG evoked response		
Psychophysiol-	Sleep deprivation	Background EEG		
ogy	Psychologic or psychiatric state (fear, anxiety, depression, etc.)	Conditionability of physiologi- cal system		
	Dreaming	Physiological correlates		

Yet he concludes ... "I wish our editor the best of luck in defining the scope of articles acceptable for our journal."

#### Definition



www.youtube.com/watch?v=w06zvM2x\_lw

#### Definition

- Cacioppo Tassinary & Berntson (2016):
  - > the scientific study of social, psychological, and behavioral phenomena as related to and revealed through physiological principles and events in functional organisms
- > Allen (2019, this very moment):
  - The use of a particular set of physiologically-based dependent or independent variables to gain insights into psychological questions; when done well, psychophysiological methods
    - > provide an independent method (to behavior and self report)
    - > provide information that is not accessible through other psychological methods
    - > link behavior and experience to underlying systems, by using paradigms with solid theoretical foundations
- > Distinguished from: Physiological psychology, Behavioral Neuroscience

#### "Classic Measures"

- Skin Conductance (level and response) Cardiac measures (heart rate variability, contractility, both SNS and PNS measures, blood pressure, plethysmography)
   Oculomotor and pupilometric measures
- Electromyographic activity Respiration
- Gastrointestinal activity
- > Penile and vaginal plethysmography
- Electroencephalographic oscillatory measures (frequency domain EEG and sleep psychophysiology)
  Event-related brain potentials
- > Event-related frequency changes

# Scope ۶

#### "Newer Measures"

- Hormonal and Endocrinological measures Immune function
- ź Functional neuroimaging
  - ➢ PET➢ fMRI
- > Optical Imaging
  > MEG

#### Manipulations Classical Biofeedback

- Transcranial Magnetic Stimulation
  Transcranial Direct Current Stimulation
- > Transcranial Alternating Current Stimulation
- > Transcranial Ultrasound

# Thematic x Systemic Psychophysiology

	Cognitive psychophysiology	Developmental psychophysiology	Clinical psychophysiology	Social psychophysiology	Applied psychophysiology
Electrodermal psychophysiology	fMRI duing emotio	n			Lie Detection
Cardiovascular psychophysiology			Cardio effe	cts prejudice	
Electroencephalo- graphic psychophysiology		EEG Asym I	nhibited kids		Neurowear!
Electro- myographic psychophysiology					
Hemodynamic psychophysiology	fMRI duing emotio	2			
Etc					

# More Applied Psychophysiology

#### Chaotic Moon's mind-controlled skateboard

### Problems of Inference: Correlate Vs Substrate

- ► Is observed physiological activity a substrate of observed behavior? BEWARE
- ≻Helpful Criteria
  - > Is  $\Phi$  necessary for behavior?
  - > If  $\Phi$  removed, would behavior be altered?
- ▶ But ultimately, not easily resolved

A scientific theory is a description of causal interrelations. Psychophysiological correlations are not causal. Thus in scientific theories, psychophysiological correlations are monstrosities. This does not mean that such correlations have no part in science. They are the instruments by which the psychologist may test his theories. (Gardiner, Metcalf, & Beebe-Center, 1937, p. 385) or her

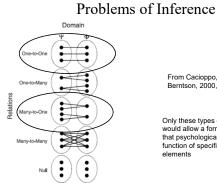
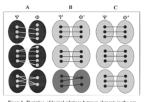


Figure 2. (1) and 1 (4)

From Cacioppo, Tassinary, & Berntson, 2000, 2007, 2016

Only these types of relationships would allow a formal specification that psychological elements are a function of specific physiological elements

#### Reducing the Complexity



Depiction of logical relations between elements in the psy  $li (\Phi)$  and physiological ( $\Phi$ ) domains. Left panel: Link the psychological elements and individual physiological re-Middle panel: Links between the psychological element physiological response pattern. Right panel: Links between tological elements and the profile of physiological response tological elements and the profile of the physiological response tological elements and the profile of the physiological response tological elements and the profile of the physiological response tological elements and the profile of the physiological response tological elements and the profile of the physiological response tological elements and the profile of the physiological response tological elements and the profile of the physiological response tological elements and the physiological response tological response tological elements and the physiological response to the physiological response to the physiological response to the physiological elements and the physiological elements and the physiological elements and the physiological response to the physiological elements and the physiolo

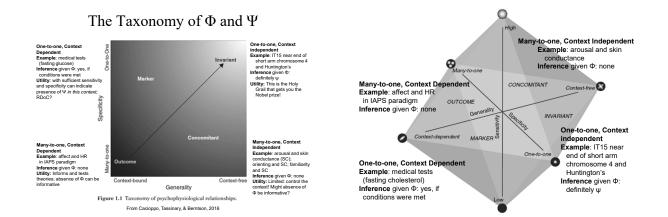
From Cacioppo, Tassinary, & Berntson, 2000

#### Typical Scenarios

- ≻ Typical structure/assumption of psychophysiological or imaging study: ≻
  - $P(\Phi | \Psi) > 0$
- Typical structure/assumption of biofeedback study: >  $P(\Psi | \Phi) > 0$
- Typical hunt for "markers" or biological substrate
  - Study begins  $P(\Phi | \Psi) > 0$ > Desirable (but often invalid) inference
  - $P(\Psi|\Phi) > 0$ Only valid given 1:1 relationship of  $\Psi$  and  $\Phi$ ۶
    - Use complementary approaches; e.g.,
  - $fMRI = P(\Phi|\Psi)$ ۶
  - ≻ Lesion =  $P(\Psi | \Phi)$

>

> Stimulation =  $P(\Psi|\Phi)$ 



#### 3

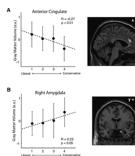
#### The Inference Problem Illustrated

Azari et al. (2001). Neural correlates of religious experience. European Journal of Neuroscience, 13, 1649-1652.

During religious recitation, selfidentified religious subjects activated a frontal-parietal circuit, composed of the dorsolateral prefrontal, dorsomedial frontal and medial parietal cortex. Prior studies indicate that these areas play a profound role in sustaining reflexive evaluation of thought. Thus, religious experience may be a

cognitive process which.

nonetheless, feels immediate



Although our data do not determine whether these regions play a causal role in the formation of political attitudes, they converge with previous work to suggest a possible link between brain structure and psychological mechanisms that mediate political attitudes.

# How to Interpret this?

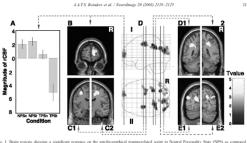


One brain, two selves

inders,\*\* E.R.S. Nijenhuis,<sup>b</sup> A.M.J. Paans,<sup>c</sup> J. Korf, A.T.M. Willemsen,<sup>c</sup> and J.A. den Boer<sup>a</sup> l Psychiatry, Groningon University Hospital, The Nethor re (Assen) Cato-Polm Institute (Zeits), The Netherlands Constinues University Research, The Netherlands

N=11, PET

"Our data confirm the emergence of conscious versus unconscious experience in the neural network of superior and inferior parietal lobule, left occipital cortex, precuneus, and frontal brain areas including BA 6 and BA 10." page 2124



Ten Years Later, and ...

Kanai et al. (2011). Political orientations are correlated with Brain Structure. Current Biology, 21, 677-680

D, E)

#### Problems and Prospects for Psychophysiology Prospects

۶

- Non-invasive
- Measures of real-time information
- May be sensitive to things that we ourselves cannot be Ideally suited for populations that have limited verbal/cognitive capacity Þ
- May tap function at roughly the proper level of the nervous system to be useful to psychological investigators >
- Psychophysiology is now more integrated into psychology as a whole -- you will see it in "nonspecially" journals More and more "canned" packages make it accessible to the novice, but novices need advice and consultation! 2
- Even though there will always be newer technologies (e.g., PET, SPECT, MEG/SQUID, MRI, Functional MRI, etc.), traditional psychophysiology Has generally excellent real-time resolution s
  - Is cost-effective

  - Can be integrated with many of the newer technologies Principles generalize across many measures
- Finit-proc generative across main juraducts
  Newer technologies nonetheless based on fundamental principles of psychophysiology, and are in fact, psychophysiological measures
  When you tell folks at a party that you are a psychophysiologist rather than a psychologist, you are spared hearing the history of peoples' family pathology ۶

# Problems and Prospects for Psychophysiology

#### Problems/Challenges

- $\triangleright$ Interpretive ambiguity
- ≻ Time resolution and time courses of various systems/measures differ substantially
- Spatial resolution ≻
- ≻ What is the functional significance of the observed physiological measure?

- A few selected studies to highlight the utility of a psychophysiological approach
  - ▶ Bauer (1984): Prosopagnosia
  - ≻Öhman & Soares (1993): Phobias
  - ≻ Speigel (1985): Hypnosis
  - Deception Detection studies
  - ► Investigation of Persistent Vegetative State
  - ▶ Brain-Computer Interfaces for assisted communication

#### Bauer (1984): Neuropsychologia

- ➢ Prosopagnosia
- Administered a version of the Guilty Knowledge Test (GKT)
  - >As administered to the prosopagnosic patient
  - Set A consisted of 10 photographs of very famous folks; Set B consisted of 8 family members
  - During the display, five choices for the correct name were presented auditorially



**Althout**d

#### Bauer (1984): Neuropsychologia

- Results
  - Patient naming: 0/10 famous faces, 0/8 family members
  - Controls naming = 9/10 famous, 0/8 of patient's family members
  - Electrodermally, patient produced largest SCR to correct alternative
  - for 60% of famous faces (controls 8070, ns units)
    for 62.5% of family members (controls 37.5%) for 60% of famous faces (controls 80%, ns difference),
- Conclusions
  - Dissociation between psychophysiological and behavioral measures -- psychophysiology told us something that the patient could not
  - Patient can, at an autonomic level, properly identify faces
  - > viz. that "prosopagnosia involves a functional defect not at the perceptual level itself, but at a stage of processing where adequate perceptual information is utilized in complex decisions about the stimulus identity" (p.463)

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#### Öhman & Soares (1993) Journal of Abnormal Psychology

- Hypothesize that information processing of the phobic stimulus is rooted in archaic information processing mechanisms outside of the control of conscious intentions
- Use a CS+/CS- paradigm for fear-relevant and fear-irrelevant stimuli Fear relevant is snake/spider; irrelevant is a flower or mushroom > During acquisition trials, CS+ is shocked, CS- is not
  - This leads to larger SCR to CS+ than CS-, and when stimuli are presented above threshold (with awareness), no difference between fear-relevant and fear-irrelevant >
  - After acquisition, masked presentations (30 msec, followed by 100 msec mask)
  - ► Electrodermally, masking effectively eliminates the difference between CS+ and CS- for fear-irrelevant stimuli, but the difference between CS+/CS- is preserved for fear-relevant stimuli

#### Öhman & Soares (1993) Journal of Abnormal Psychology

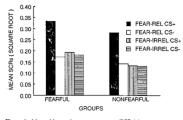


Figure 1. Mean skin conductance responses (SCRs) (square-root transformed) to fear-relevant (snakes, spiders, and rats) or fear-irrelevant (flowers and mushrooms) stimuli previously followed (CS-) or not followed (CS-) by an electric shock unconditioned stimulus among the fearful and nonfearful groups of subjects during extinction.

#### Öhman & Soares' Conclusions

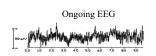
- Fear conditioning to nonprepared stimuli may involve conscious mechanisms
- Fear conditioning to prepared stimuli may be possible through mechanisms outside of conscious/controlled information processing
- Latter system may be fast and sensitive to danger cues
- ➤ May also explain why exposure therapy is critical to decrease the autonomic responses

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#### Speigel, Cutcomb, Ren, & Pribram. (1985) Journal of Abnormal Psychology

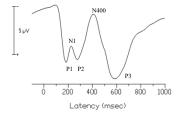
- ➤ Hypnosis
  - individual difference variable,
  - assessed via responsiveness to suggestions
- > Two issues recurrently arise in hypnosis:
  - $\succ$  (1) Do the effects have veracity?
  - $\succ$  (2) If so, how are they accomplished?
- ➢ ERPs 101: Signal averaging



Stimuli

#### Visual Event-related Potential

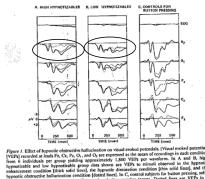
\* \*



#### Speigel, Cutcomb, Ren, & Pribram. (1985) Journal of Abnormal Psychology

- The study design
  - Very high or very low hypnotizable subjects selected
  - Given three suggestions:
    - Hypnotic enhancement
    - Hypnotic diminution
    - Hypnotic obstruction
  - An additional button-pressing control group

HYPNOTIC HALLUCINATION ALTERS EVOKED POTENTIALS

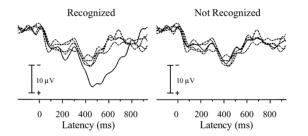


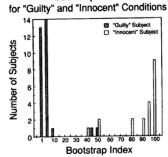
# Hypnosis and Speigel continued

- Subsequent study using somatosensory ERPs found that suggestion to block mildly painful stimulus reduce P1 and P3 amplitudes in high- but not lowhypnotizable subjects.
- Also found that suggestions to increase intensity resulted in increase in P1 amplitude, but again, only in the high hypnotizable subjects
- Collectively these studies may suggest alterations at the level of signal detection, not simply interpretation of the signal

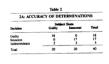
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- Farwell & Donchin (1991) Psychophysiology
- Conventional Polygraphy unacceptably inaccurate
- Rather than rely on autonomic arousal, could rely on a cognitive response of recognition
- Mock Crime Scenario, multiple choice (variant of Guilty Knowledge Test)
  - Clothing of contact ("Green Hat")
  - Name ("Tim Howe")
  - Operation codename ("Op Pig")
  - Location ("Shark Street")
  - ≻ ...





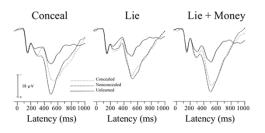
Bootstrap Index



#### Figure 2. The distribution of the bootstrap statistic for all 40 tests conducted in Experiment 1. Dark bars indicate the number of subjects who were "guilty" and were assigned a given bootstrap value. Light bars show the same data for the "innocent" subjects.

#### Rationale

### Allen, Iacono, & Danielson (1992) Psychophysiology



A few selected studies to highlight the utility of a psychophysiological approach

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## Persistent Vegetative State

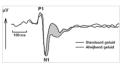
- >PVS patients typically are not non-responsive
  - ▶But responses to varied stimuli lack:
    - > voluntary components
    - ➤ cognitive aspects
    - ➤ evidence of awareness of self
    - vidence of awareness of surroundings.
  - ≻No meaningful communication
- >MCS (Minimally Conscious State) by contrast:
  - ➢ Minimal, if even highly inconsistent, signs of conscious behavior can be observed

#### Persistent Vegetative State

- Diagnostic errors in PVS up to 40% (Andrews et al., 1996)
- ➤ Might psychophysiological assessment help?
  - How best to validate such new measures against some gold standard when diagnostic errors are so common?
  - Create continuous measure and link to physiology (Wijnen, van Boxtel, Eilander, & de Gelder (2007) Clinical Neurophysiology)
  - Range from complete non-response to normal consciousness

Global level	ore Description	of the levels
Coma	All major be Generally, n	he time. No sleep-wake cycles present. dy functions such as breathing, temperature control, or blood pressure can be disturbed, o reactions are noticed after stimulation. Sometimes reflexes (stretching or flexing) can be reaction when streng pain stimuli have been applied. No other reactions present.
Vegetative State (VS)	ntilation is require Very little General Reflexive : Often si these reactio Sometimes g High activ General	response (hyporesponsive) ly no response after stimulation. Sometimes delayed presentation of reflexes is observed.
Minimally Conscious State (MCS)	Transition Followi a utomatice, i Sometimes e stimuli. Inconsister Sometir cognitive lim low. Consisten Patient	al state grand distating of the mosth when food is presented, or reactions to stimull. Behaviour is e. opening of the mosth when food is presented, or reaching towards persons or objects, motically reactions are seen sch algrying or suifiling towards failed by or to specific (fatown) it reactions, but not always, obeying simple commands. Totally dependent. Patient has profound lations, neuropsychological testing is impossible. Level of alertness is fluctuating, but in general
Consciousness		rt and reacts to his/her environment spontaneously. Functional understandable mutual ion is possible, sometimes with technical support. As yet, cognitive and behavioural disturbances nt.

# Mismatch Negativity

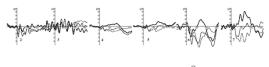


- Discovered by Näätänen, Gaillard, & Mäntysalo, 1978
- Rare deviant ("Afwigkend geluid") elicits sustained negative voltage at scalp, maximal at fronto-central sites
  - Regardless of whether the stimuli are attendedCan vary in pitch, loudness, duration



# Longitudinal Study

- Create continuous measure and link to physiology (Wijnen, van Boxtel, Eilander, & de Gelder (2007) Clinical Neurophysiology)
- Ten severely brain-injured patients (age 8-25)
- Longitudinal assessment starting 9 days after admission (and then every 2 weeks)



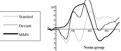
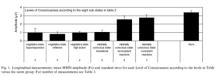


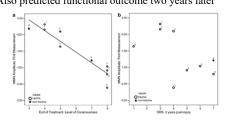
Fig. 2. Grand averages of MMN (Fz-linked Mastoids, 0.15-30 Hz, 48 dB/octave) for each Level of Consciousness according to the levels in Table 2 versus the norm group. Potentials related to the standard stimuli, potentials related to the deviant stimuli, and the MMN (difference between the deviant and



## Longitudinal Study

≻ Predictive value?

 MMN during first assessment strongly predicted level of consciousness at discharge (β-.94, p<.00001)</li>
 Also predicted functional outcome two years later



#### Another approach



Fig. 1. We observed supplementary motor area SIAM activity during tennis imagery in the patient and a group of 12 healthy volunters (control). We detected panhippocampal gruss (PPA), posterior parietal-lobe (PPC), and lateral prenetor cortex (PMC activity white the patient and the same group of volunteers imagined moving around a house. All results are thesholded at P < 0.05 cortected for multiple comparisons. X values refer to distance in mm from the million in stereatoris space ISOM text).

Owen,A.M., Coleman, M.R., Boly, M., Davis,M.H., Laureys, S., & Pickard, J.D. (2006). Science

"These results confirm that, despite fulfilling the clinical criteria for a diagnosis of vegetative state, this patient retained the ability to understand spoken commands and to respond to them through her brain activity, rather than through speech or movement t

\*... suggests a method by which some noncommunicative patients, including those diagnosed as vegetative, minimally conscious, or locked in, may be able to use their residual cognitive capabilities to communicate their thoughts to those around them by modulating their own neural activity."

# A few selected studies to highlight the utility of a psychophysiological approach

- ▶ Bauer (1984): Prosopagnosia
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- Deception Detection studies
- >Investigation of Persistent Vegetative State
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# Syndromes where interaction with environment difficult or impossible

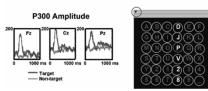
- Amyotrophic lateral sclerosis (ALS)
- ➢ Vegetative States

Farwell & Donchin (1988) Electroencephalography and clinical Neurophysiology

- Attempted to develop an applied ERP system for communication without motor system involvement
- ➢ For "locked in" patients

MESS						
MESS						
	BRAI	N				
Choo	se on	e lette	r or c	ommar	nd	
A	G	м	s	Y	*	
в	н	N	т	Z	*	,
С	I.	0	U	*	TALK	
D	J	Р	v	FLN	SPAC	
E	к	Q	w	*	BKSP	
F	L	R	х	SPL	QUIT	
					tesis. The rows tely. The letters	
	subject	(* B-R-A	-1-N') w	ere displ	ayed at the top	
	of the	screen in	a the pilo	t study.		

c P300 – Brain-Computer-Interface (BCI)



P300-BCI. Rows and columns of letter strings are lighted in rapid succession. Whenever the desired letter (P) is among the lighted string, a P300 appears in the EEG (after Sellers & Donchin 2006; Piccione et al.2006).

Figure from Birbaumer, 2006

# Can't we speed things up?



http://www.youtube.com/watch?v=2KtMCX7FfZ0 https://www.youtube.com/watch?v=zqYJQGk5l4M

1011

## Operant methods (Birbaumer et al.)

Brain-Computer Communication and Slow Cortical Potentials Thilo Hinterberger<sup>a</sup>, Stefan Schmidt, Nicola Neuronan, Jargen Mellinger, Benjamin Blankertz, Gabriel Curio, and Nich Binterberg

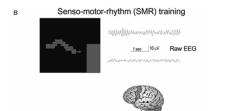
IEEE TRANSACTIONS ON BIOMEDICAL ENGINEERING, VOL. 51, NO. 6, JUNE 2004



Fig. 4. A monkey is leading limited with the adds of a robotic arm by producing the same pattern of neural activity in the motor cortex as would be required to move his some limit. The trajectory of the robot arm is depicted sequentially. In expectancy of the piece of an apple, the monkey protrudes his tongue. From the monkey only the bad is wishle, (We thank Dr. Andrew Schwartz, from the School of Moleisen Linguistic definition of the State State and State and State and State Sta

From Ku"bler& Neumann (2005), Progress in Brain Research, 150, 513-525

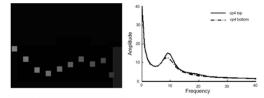
#### Senso-motor Rhythm Training



Top right: Senso-motor-rhythm (SMR) oscillations fromsensorimotor cortex during inhibition of movement and imagery or execution of movement (EEGtrace below). On the left part of the picture is the feedback display with the target goal on the right side of the screen indicating the required SMR increase (target at bottom) or SMR decrease (target at top). The curser reflecting the actual SMR is depicted in red moving from the right side top. of the screen toward the target goal.

Birbaumer, 2006

#### Senso-motor Rhythm Training

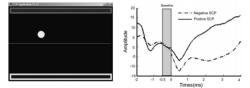


- > Patients' task is to move the cursor into the target.
- Cursor movement is indicated by the squares (only one square is visible).
- The cursor moves steadily from left to right, vertical deflections correspond to the SMR amplitude.

- EEG frequency power: > Bold line: frequency power spectrum when the cursor had to be moved toward the top target
  - > Dashed line: cursor had to be moved toward the bottom target.

Kübler & Birbaumer, 2008, Clinical Neurophysiology 119, 2658-2666

# Slow Cortical Potentials (SCP)



- > Targets are presented at the top or bottom of the screen.
- Patients' task is to move the cursor (yellow dot) toward the target
- ۶ Cursor moves steadily from left to right and its vertical deflection corresponds to the SCP amplitude.
- A negative SCP amplitude (dashed line) moves the cursor toward the top, positive SCP amplitude (bold line) toward the bottom target.
- Before each trial, a baseline is recorded indicated by the green bar.
- Ν At time point -2 s the task is presented, at -500 ms the baseline is recorded and at zero cursor movement starts.

Kübler & Birbaumer, 2008, Clinical Neurophysiology 119, 2658–2666



BCI using slow cortical potentials (SCP depicted at the top). Patient selects one letter from the letter string on screen (right below) with positive SCPs, the spelled letters appear on top of the screen

Hinterberger .. Birbaumer, 2004, IEEE Transactions of Biomed Engr, 51

#### Many Methods

- Many EEG DVs
- ≻Many features to extract
- ➤ Many classification algorithms
- See it in Action: https://www.youtube.com/watch?v=x\_Ba1aEjxp0

# See it in Action

https://www.youtube.com/watch?v=x Ba1aEjxp0

# Coming Up:

➢ Next session (in 2 weeks) ... Reviews of:

➢Basic Electricity

- Basic Neurophysiology and Neuroanatomy
- > Don't forget to turn in your 3x5 cards
  - ≻Name
  - ≻Email
  - ≻Section (401 or 501)
  - >Questions/Comments