# PSYC401A/501A: Principles of Psychophysiology

Spring, 2011, Mondays, 3:00-5:45 p.m. Room 405 Modern Languages

Course Resources Online: jallen.faculty.arizona.edu

Follow link to Courses

# General Issues

- **≻** Definition
- > Scope
- ➤ Problems of inference
- > Problems and Prospects for the field

# Administrivia

- ➤ Drops and Adds
- ➤ Overview of Syllabus
- **≻**Class Format

# **Substantive Topics**

- ➤ General Definition and Interpretive Issues
- Review of studies that highlight the utility of a psychophysiological approach



Definition

Psychophysiology

# Definition



- ➤ Darrow (1964) Presidential Address:
  - > the science which concerns physiological activities which underlie or relate to psychic events
- > Ax (1964) Opening Editorial, Psychophysiology

Psychophysiology is a research area which extends observation of behavior to those covert proceedings of the organism relevant to a psychic state or process under investigation and which can be measured with minimal disturbance to the natural functions involved. Modern psychophysiology is a response to the challenge inherent in the full realization of the complex nature of the human organism.

Psychophysiology provides a method for bringing both physiological and psychological aspects of behavior into a single field of discourse by which truly organismic constructs may be created.

# Definition

Stern (1964), also in the 1<sup>st</sup> issue of Psychophy I would like to offer as a working suggestion that which the dependent variable is a physiologica the independent variable a "behavioral" one sh considered psychophysiological research

TOWARD A DEFINITION OF PSYCHOPHYSIOLOGY 91

TABLE 1

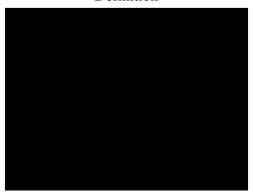
TABLE 1

Physiological psychology Brain stimulation Drug administration Dire manipulation Drug administration Dire manipulation Food selection

Auditory stimulation produce selection programment Sept deprivation Psychophysiology and programment Sept deprivation Psychological correlates (fear, anxiety, depression, Draminig Draminig Psychological correlates

Yet he concludes... "I wish our editor the best of luck in defining the scope of articles acceptable for our journal."

# Definition



www.youtube.com/watch?v=w06zvM2x\_lw

# Definition

- Cacioppo Tassinary & Berntson (2007):
  - > the scientific study of social, psychological, and behavioral phenomena as related to and revealed through physiological principles and events in functional organisms
- ➤ Allen (2013, this very moment):
  - The use of a particular set of physiologically-based dependent or independent variables to gain insights into psychological questions; when done well, psychophysiological methods
    - > provide an independent method (to behavior and self report) > provide information that is not accessible through other psychological methods
  - Ink behavior and experience to underlying systems, by using paradigms with solid theoretical foundations
- Distinguished from: Physiological psychology, Behavioral Neuroscience

# Scope

### "Classic Measures"

- Skin Conductance (level and response)
- Cardiac measures (heart rate, variability, contractility, both SNS and PNS measures, BP, plethysmography)
   Oculomotor and pupilometric measures
- Electromyographic activityRespiration
- Gastrointestinal activity
- Penile and vaginal plethysmography
   Electroencephalographic oscillatory
   measures (frequency domain EEG and sleep
   psychophysiology)
- Event-related brain potentials
- > Event-related frequency changes

### "Newer Measures"

- Hormonal and Endocrinological measures
- Immune function
- Functional neuroimaging
  - > PET > fMRI
- Optical Imaging
- > MEG

### Manipulations

- Classical Biofeedback
- Rapid Transcranial Magnetic Stimulation
- Transcranial Direct Current Stimulation
- Transcranial Ultrasound

# Thematic x Systemic Psychophysiology

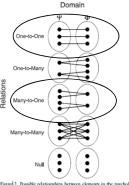
	Cognitiv	ve hysiology	Developmental psychophysiology	Clinical psychophysiology	Social psychophysiology	Applied psychophysiology
Electrodermal psychophysiology	fMRI du	ing emotion				Lie Detection
Cardiovascular psychophysiology				Cardio effe	cts prejudice	
Electroencephalo- graphic psychophysiology			EEG Asym I	nhibited kids		Neurowear!
Electro- myographic psychophysiology						
Hemodynamic psychophysiology	fMRI du	ing emotion				
Etc						

# Problems of Inference: Correlate Vs Substrate

- ➤ Is observed physiological activity a substrate of observed behavior? BEWARE
- ➤ Helpful Criteria
  - $\triangleright$  Is  $\Phi$  necessary for behavior?
  - $\triangleright$  If  $\Phi$  removed, would behavior be altered?
- ➤ But ultimately, not easily resolved

A scientific theory is a description of causal interrelations. Psychophysiological correlations are not causal. Thus in scientific theories, psychophysiological correlations are monstrosities. This does not mean that such correlations have no part in science. They are the instruments by which the psychologist may test his theories. (Gardiner, Metcalf, & Beebe-Center, 1937, p. 385)

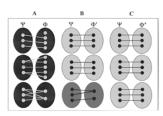
# **Problems of Inference**



From Cacioppo, Tassinary, & Berntson, 2000, 2007

Only these types of relationships would allow a formal specification that psychological elements are a function of specific physiological elements

# Reducing the Complexity



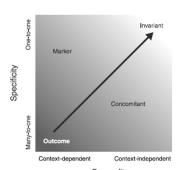
From Cacioppo, Tassinary, & Berntson, 2000

# **Typical Scenarios**

- Typical structure/assumption of psychophysiological or imaging study:  $P(\Phi|\Psi) > 0$
- Typical structure/assumption of biofeedback study:
  - $P(\Psi|\Phi) > 0$
- Typical hunt for "markers" or biological substrate
  - Study begins  $P(\Phi|\Psi) > 0$
  - Desirable (but often invalid) inference

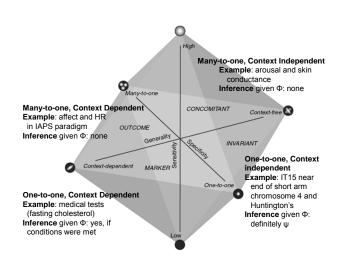
  - P(Ψ(Φ) > 0
     Only valid given 1:1 relationship of Ψ and Φ
     Use complementary approaches; e.g.,
  - - $fMRI = P(\Phi|\Psi)$ Lesion =  $P(\Psi|\Phi)$

# The Taxonomy of $\Phi$ and $\Psi$



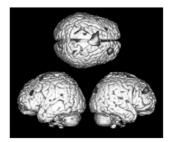
From Cacioppo, Tassinary, & Berntson, 2000

Generality Figure 3. Taxonomy of psychophysiological relationships



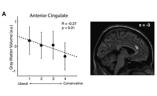
### The Inference Problem Illustrated

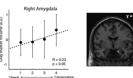
Azari et al. (2001). Neural correlates of religious experience. Euro



### Ten Years Later, and ...

Kanai et al. (2011). Political orientations are correlated with Brain Structure. Current Biology, 21, 677-680





# Yet Another Example!



"Our data confirm the emergence of conscious versus unconscious experience in the neural network of superior and inferior parietal lobule, left occipital cortex, precuneus, and frontal brain areas including BA 6 and BA 10.3 page 2124

# Problems and Prospects for Psychophysiology

### Problems/Challenges

- Interpretive ambiguity
- Time resolution and time courses of various systems/measures differ substantially
- Spatial resolution
- What is the functional significance of the observed physiological measure?

# A few selected studies to highlight the utility of a psychophysiological approach

➤ Bauer (1984): Prosopagnosia

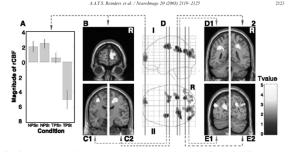
≻Öhman & Soares (1993): Phobias

➤ Speigel (1985): Hypnosis

➤ Deception Detection studies

➤ Investigation of Persistent Vegetative State

➤ Brain-Computer Interfaces for assisted communication



# Problems and Prospects for Psychophysiology

### Prospects

- Non-invasive
- Measures of real-time information
- May be sensitive to things that we ourselves cannot be Ideally suited for populations that have limited verbal/cognitive capacity
- May tap function at roughly the proper level of the nervous system to be useful to psychological investigators
- Psychophysiology is now more integrated into psychology as a whole -- you will see it in "nonspecialty" journals
- More and more "canned" packages make it accessible to the novice, but novices need advice and consultation!
- need advice and consultation: Even though there will always be newer technologies (e.g., PET, SPECT, MEG/SQUID, MRI, Functional MRI, etc.), traditional psychophysiology
- Has generally excellent sreal-time resolution Is flexible
- Is cost-effective
- Can be integrated with many of the newer technologies
- Principles generalize across many measures
- Principles generalize across many measures

  Newer technologies nonetheless based on fundamental principles of psychophysiology, and are in fact, psychophysiological measures

  When you tell folks at a party that you are a psychophysiologist rather than a psychologist, you are spared hearing the history of peoples' family pathology

# Bauer (1984): Neuropsychologia

- ➤ Prosopagnosia
- Administered a version of the Guilty Knowledge Test
  - As administered to the prosopagnosic patient
    - > Set A consisted of 10 photographs of very famous folks; Set B consisted of 8 family members
    - > During the display, five choices for the correct name were presented auditorially



# **Classida**e

# A few selected studies to highlight the utility of

➤ Bauer (1984): Prosopagnosia

➤Öhman & Soares (1993): Phobias

➤ Speigel (1985): Hypnosis

➤ Deception Detection studies

➤ Investigation of Persistent Vegetative State

➤ Brain-Computer Interfaces for assisted communication

# a psychophysiological approach

# Öhman & Soares (1993) Journal of Abnormal Psychology

Bauer (1984): Neuropsychologia

Patient naming: 0/10 famous faces, 0/8 family members Controls naming = 9/10 famous, 0/8 of patient's family members Electrodermally, patient produced largest SCR to correct alternative

for 60% of famous faces (controls 80%, ns difference), for 62.5% of family members (controls 37.5%)

stimulus identity" (p.463)

> Dissociation between psychophysiological and behavioral measures -- psychophysiology told us something that the patient could not Patient can, at an autonomic level, properly identify faces

> viz. that "prosopagnosia involves a functional defect not at the perceptual level itself, but at a stage of processing where adequate perceptual information is utilized in complex decisions about the

Results

Conclusions

- > Hypothesize that information processing of the phobic stimulus is rooted in archaic information processing mechanisms outside of the control of conscious intentions
- Use a CS+/CS- paradigm for fear-relevant and fear-irrelevant stimuli
  - Fear relevant is snake/spider; irrelevant is a flower or mushroom
  - During acquisition trials, CS+ is shocked, CS- is not
     This leads to larger SCR to CS+ than CS-, and when stimuli are presented above threshold (with awareness), no difference between fear-relevant and four irrelevant.
  - ➤ After acquisition, masked presentations (30 msec, followed by 100 msec
  - Electrodermally, masking effectively eliminates the difference between CS+ and CS- for fear-irrelevant stimuli, but the difference between CS+/CS- is preserved for fear-relevant stimuli

# Öhman & Soares (1993) Journal of Abnormal Psychology

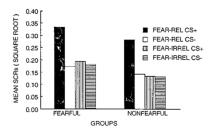


Figure 1. Mean skin conductance responses (SCRs) (square-root transformed) to fear-relevant (snakes, spiders, and rats) or fear-irrelevant (flowers and mushrooms) stimuli previously followed (CS+) or not followed (CS-) by an electric shock unconditioned stimulus among the fearful and nonfearful groups of subjects during extinction.

# Öhman & Soares' Conclusions

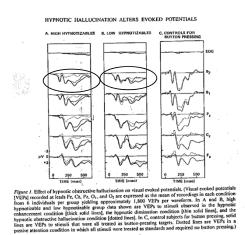
- Fear conditioning to nonprepared stimuli may involve conscious mechanisms
- Fear conditioning to prepared stimuli may be possible through mechanisms outside of conscious/controlled information processing
- Latter system may be fast and sensitive to danger cues
- May also explain why exposure therapy is critical to decrease the autonomic responses

# A few selected studies to highlight the utility of a psychophysiological approach

- ➤ Bauer (1984): Prosopagnosia
- ≻Öhman & Soares (1993): Phobias
- ➤ Speigel (1985): Hypnosis
- ➤ Deception Detection studies
- ➤ Investigation of Persistent Vegetative State
- ➤ Brain-Computer Interfaces for assisted communication

# Ongoing EEG Stimuli \* \* \* \* Visual Event-related Potential N400 P1 P2 P3 0 200 400 600 800 1000

Latency (msec)



# Speigel, Cutcomb, Ren, & Pribram. (1985) Journal of Abnormal Psychology

- > Hypnosis
  - individual difference variable,
  - > assessed via responsiveness to suggestions
- Two issues recurrently arise in hypnosis:
  - > (1) Do the effects have veracity?
  - > (2) If so, how are they accomplished?
- > ERPs 101: Signal averaging

# Speigel, Cutcomb, Ren, & Pribram. (1985) Journal of Abnormal Psychology

- The study design
  - Very high or very low hypnotizable subjects selected
  - Given three suggestions:
    - > Hypnotic enhancement
    - ➤ Hypnotic diminution
    - > Hypnotic obstruction
  - An additional button-pressing control group

# Hypnosis and Speigel continued

- Subsequent study using somatosensory ERPs found that suggestion to block mildly painful stimulus reduce P1 and P3 amplitudes in high- but not lowhypnotizable subjects.
- Also found that suggestions to increase intensity resulted in increase in P1 amplitude, but again, only in the high hypnotizable subjects
- Collectively these studies may suggest alterations at the level of signal detection, not simply interpretation of the signal

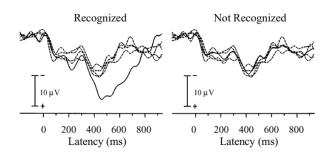
# A few selected studies to highlight the utility of a psychophysiological approach

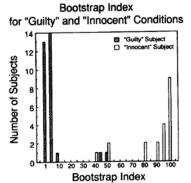
- ➤ Bauer (1984): Prosopagnosia
- ≻Öhman & Soares (1993): Phobias
- ➤ Speigel (1985): Hypnosis
- ➤ Deception Detection studies
- ➤ Investigation of Persistent Vegetative State
- ➤ Brain-Computer Interfaces for assisted communication

# Farwell & Donchin (1991) Psychophysiology

- Conventional Polygraphy unacceptably inaccurate
- Rather than rely on autonomic arousal, could rely on a cognitive response of recognition

# Rationale





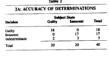
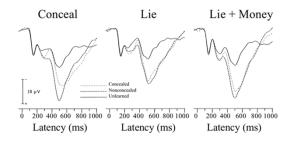


Figure 2. The distribution of the bootstrap statistic for all 40 tests conducted in Experiment 1. Dark bars indicate the number of subjects who were "guilty" and were assigned a given bootstrap value. Light bars show the same data for the "innocent" subjects.

# Allen, Iacono, & Danielson (1992) Psychophysiology



# A few selected studies to highlight the utility of a psychophysiological approach

- ➤ Bauer (1984): Prosopagnosia
- ≻Öhman & Soares (1993): Phobias
- ➤ Speigel (1985): Hypnosis
- ➤ Deception Detection studies
- ➤ Investigation of Persistent Vegetative State
- ➤ Brain-Computer Interfaces for assisted communication

# Persistent Vegetative State

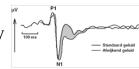
- >PVS patients typically are not non-responsive
  - ➤But responses to varied stimuli lack:
    - > voluntary components
    - > cognitive aspects
    - > evidence of awareness of self
    - > evidence of awareness of surroundings.
  - ➤ No meaningful communication
- >MCS (Minimally Conscious State) by contrast:
  - ➤ Minimal, if even highly inconsistent, signs of conscious behavior can be observed

Global level	Score Description of the levels
Coma	Eyes are closed all the time. No sleep-wake cycles present.  1 All major body functions such as breathing, temperature control, or blood pressure can be disturbed.  Generally, no reactions are noticed after stimulation. Sometimes reflexes (stretching or flexing) can be observed as a reaction when strong pain stimuli have been applied. No other reactions present.
Vegetative State (VS)	Patient has some theys-wake cycles, but no proper day-night rhythm. Most of the body functions are normal. No furite centilation to required for respiration.  2 Very little response (hyporesponsive) Generally no response after stimulation. Sometimes delayed presentation of reflexes is observed.  3 Reflexive state Other simulation is make extending or startle reactions, without proper habituation. Sometimes of the continual feature in the continual feature of the cont
Minimally Conscious State (MCS)	Patient remains awake most of the day:  5 Tassdismal state Following and fixating of persons and objects. Generally more directed reactions to stimuli, Behaviou automatic, to, opening of the mouth when food is presented, or reaching towards persons or objects.  Sometimes emotional reactions are seen such adjerying or smiling towards family or to specific (known) stimuli.  6 Inconsistent reactions Sometimes, but not always, obeying simple commands. Totally dependent. Patient has profound orgentive limitations; neuropsychological testing is impossible. Level of alertness in fluctuating, but in gene low.  7 Ometimes, but most always, obeying simple commands. Totally dependent. Patient has profound cognitive limitations; neuropsychological testing is impossible. Level of alertness in fluctuating, but in gene low.  Patient obeys vimple commands. The level of alertness is high and stable. Many cognitive disturban remain. Patient is totally deependent.
Consciousness	8 Patient is alert and reacts to his/her environment spontaneously. Functional understandable mutual communication is possible, sometimes with technical support. As yet, cognitive and behavioural disturban- can be resent.

# Persistent Vegetative State

- Diagnostic errors in PVS up to 40% (Andrews et al., 1996)
- ➤ Might psychophysiological assessment help?
  - ➤ How best to validate such new measures against some gold standard when diagnostic errors are so common?
  - Create continuous measure and link to physiology (Wijnen, van Boxtel, Eilander, & de Gelder (2007) Clinical Neurophysiology)
  - ➤ Range from complete non-response to normal consciousness

# Mismatch Negativity

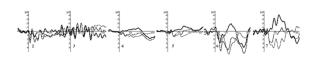


- ➤ Discovered by Näätänen, Gaillard, & Mäntysalo, 1978
- > Rare deviant ("Afwigkend geluid") elicits sustained negative voltage at scalp, maximal at fronto-central sites
  - $\succ$  Regardless of whether the stimuli are attended
  - ➤ Can vary in pitch, loudness, duration



# Longitudinal Study

- Create continuous measure and link to physiology (Wijnen, van Boxtel, Eilander, & de Gelder (2007) Clinical Neurophysiology)
- ➤ Ten severely brain-injured patients (age 8-25)
- ➤ Longitudinal assessment starting 9 days after admission (and then every 2 weeks)



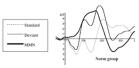


Fig. 2. Grand averages of MMN (Fz-linked Mastoids, 0.15-30 Hz, 48 dB/octave) for each Level of Consciousness according to the levels in Table 2 versus the norm group. Potentials related to the standard stimuli, potentials related to the deviant stimuli, and the MMN (difference between the deviant and

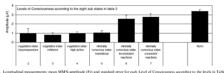
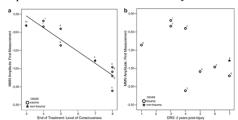


Fig. 1. Longitudinal measurements: mean MMN-amplitude (Fz) and standard error for each Level of Consciousness according to the levels in Table versus the norm group. For number of measurements see Table 3.

# Longitudinal Study

# ➤ Predictive value?

- >MMN during first assessment strongly predicted level of consciousness at discharge (β=-.94, p<.00001)
- ➤ Also predicted functional outcome two years later



# Another approach

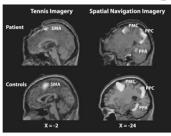


Fig. 1. We observed supplementary motor area GSMA activity during tensis imagery in the patient and a group of 12 healthy volunteers (controls). We detected parahippocampal gyrus (PPA), posterior parietal-lobe (PPC), and lateral premotor cortex (PPMC) activity while the patient and the same group of volunteers imagined moving around a house. All results are the cholded at P < 0.05 corrected for multiple comparisons. X values refer to distance in mm from the midline in stereotaxic space GSM0 text).

"These results confirm that, despite fulfilling the clinical criteria for a diagnosis of vegetative state, this patient retained the ability to understand spoken commands and to respond to them through her brain activity, rather than through speech or movement."

"... suggests a method by which some noncommunicative patients, including those diagnosed as vegetative, minimally conscious, or locked in, may be able to use their residual cognitive capabilities to communicate their thoughts to those around them by modulating their own neural activity."

Owen, A.M., Coleman, M.R., Boly, M., Davis, M.H., Laureys, S., & Pickard, J.D. (2006). Science

# A few selected studies to highlight the utility of a psychophysiological approach

- ➤ Bauer (1984): Prosopagnosia
- ≻Öhman & Soares (1993): Phobias
- ➤ Speigel (1985): Hypnosis
- ➤ Deception Detection studies
- ➤ Investigation of Persistent Vegetative State
- ➤ Brain-Computer Interfaces for assisted communication

# Syndromes where interaction with environment difficult or impossible

- ➤ Amyotrophic lateral sclerosis (ALS)
- ➤ Vegetative States

# Farwell & Donchin (1988) Electroencephalography and clinical Neurophysiology

- Attempted to develop an applied ERP system for communication without motor system involvement
- > For "locked in" patients

CRT Dis	play (	Jsed i	n the I	Mentai	Prosthesis	
MES	SSAGE					
	BR	AIN				
Cho	ose o	ne lett	er or	commar	nd	
A	G	М	s	Y	*	
В	Н	N	т	z	*	
С	ı	0	U	*	TALK	
O	J	Р	V	FLN	SPAC	
E	К	Q	W	*	BKSP	
F	L	R	х	SPL	QUIT	
and columns	of the r he subje	natrix w ct (*B-R-	ere flash -A-I-N')	ed alterna	hesis. The rows tely. The letters layed at the top	

# P300 -Brain-Computer-Interface (BCI) P300 Amplitude

P300-BCI. Rows and columns of letter strings are lighted in rapid succession. Whenever the desired letter (P) is among the lighted string, a P300 appears in the EEG (after Sellers & Donchin 2006; Piccione et al.2006).

Figure from Birbaumer, 2006

# Can't we speed things up?



http://www.youtube.com/watch?v=2KtMCX7FfZ0

# Operant methods (Birbaumer et al.)

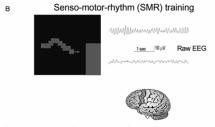
### Brain-Computer Communication and Slow Cortical Potentials

Thilo Hinterberger\*, Stefan Schmidt, Nicola Neumann, Jürgen Mellinger, Benjamin Blankertz, Gabriel Curio, and Niels Birbaumer



From Ku"bler& Neumann (2005), Progress in Brain Research, 150, 513-525

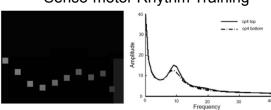
# Senso-motor Rhythm Training



Top right: Senso-motor-rhythm (SMR) oscillations fromsensorimotor cortex during inhibition of movement and imagery or execution of movement (EEGtrace below). On the left part of the picture is the feedback display with the target goal on the right side of the screen indicating the required SMR increase (target at bottom) or SMR decrease (target at top). The curser reflecting the actual SMR is depicted in red moving from the right side of the screen toward the target goal.

Birbaumer, 2006

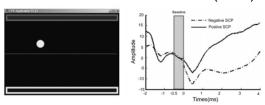
# Senso-motor Rhythm Training



- Patients' task is to move the cursor into the target.
- Cursor movement is indicated by the squares (only one square is visible). The cursor moves steadily from left to right, vertical deflections correspond to the SMR amplitude.
- EEG frequency power:
   Bold line: frequency power spectrum when the cursor had to be moved toward. the top target
  - > Dashed line: cursor had to be moved toward the bottom target.

Kübler & Birbaumer, 2008, Clinical Neurophysiology 119, 2658–2666

# Slow Cortical Potentials (SCP)



- > Targets are presented at the top or bottom of the screen.
- Patients' task is to move the cursor (yellow dot) toward the target
   Cursor moves steadily from left to right and its vertical deflection corresponds to the SCP amplitude.
- A negative SCP amplitude (dashed line) moves the cursor toward the top, positive SCP amplitude (bold line) toward the bottom target.
   Before each trial, a baseline is recorded indicated by the green bar.
- At time point -2 s the task is presented, at -500 ms the baseline is recorded and at zero cursor movement starts.

Kübler & Birbaumer, 2008, Clinical Neurophysiology 119, 2658–2666



BCI using slow cortical potentials (SCP depicted at the top). Patient selects one letter from the letter string on screen (right below) with positive SCPs, the spelled letters appear on top of the screen

Hinterberger .. Birbaumer, 2004, IEEE Transactions of Biomed Engr, 51

# Coming Up:

- ➤ Next class a week from Monday...Reviews of:
  - ➤ Basic Electricity
  - ➤ Basic Neurophysiology and Neuroanatomy
- ➤ Don't forget to turn in your 3x5 cards
  - ►Name
  - ≻Email
  - ➤ Section (401 or 501)
  - ➤ Questions/Comments