## PSYC401A/501A: Principles of Psychophysiology

Spring, 2016, Mondays, 2:00-4:45 p.m. Room 341 Education

> Course Resources Online: jallen.faculty.arizona.edu

Follow link to Courses

# Administrivia

- ➢Drops and Adds
- ➢Overview of Syllabus
- ≻Class Format

## Substantive Topics

- General Definition and Interpretive Issues
- Review of studies that highlight the utility of a psychophysiological approach

#### General Issues

➤ Definition

➤ Scope

- ≻ Problems of inference
- ▶ Problems and Prospects for the field



Psychophysiology

## Definition



Darrow (1964) Presidential Address:

the science which concerns physiological activities which underlie or relate to psychic events

> Ax (1964) Opening Editorial, Psychophysiology

Psychophysiology is a research area which extends observation of behavior to those covert proceedings of the organism relevant to a psychic state or process under investigation and which can be measured with minimal disturbance to the natural functions involved. Modern psychophysiology is a response to the challenge inherent in the full realization of the complex nature of the human organism.

Psychophysiology provides a method for bringing both physiological and psychological aspects of behavior into a single field of discourse by which truly organismic constructs may be created.

## Definition

Stern (1964), also in the 1<sup>st</sup> issue of Psychophy I would like to offer as a working suggestion that which the dependent variable is a physiological the independent variable a "behavioral" one sh considered psychophysiological research

July, 1964 TOWARD A DEFINITION OF PSYCHOPHYSIOLOGY

TABLE 1						
	Independent variable	Dependent variable				
Physiological	Brain lesion					
psychology	Brain stimulation	Performance				
	Drug administration	Conditioning				
	Diet manipulation	Food selection				
	Auditory stimulation	Habituation of orienting re- sponse				
	Vigilance experiment	EEG evoked response				
Psychophysiol-	Sleep deprivation	Background EEG				
ogy	Psychologic or psychiatric state (fear, anxiety, depression, etc.)	Conditionability of physiologi- cal system				
	Dreaming	Physiological correlates				



Yet he concludes... "I wish our editor the best of luck in defining the scope of articles acceptable for our journal."

#### Definition



www.youtube.com/watch?v=w06zvM2x lw

#### Definition

- ➤ Cacioppo Tassinary & Berntson (2007):
  - > the scientific study of social, psychological, and behavioral phenomena as related to and revealed through physiological principles and events in functional organisms
- > Allen (2016, this very moment):
  - The use of a particular set of physiologically-based dependent or independent variables to gain insights into psychological questions; when done well, psychophysiological methods
    - > provide an independent method (to behavior and self report) > provide information that is not accessible through other
    - psychological methods link behavior and experience to underlying systems, by using paradigms with solid theoretical foundations
- > Distinguished from: Physiological psychology, Behavioral Neuroscience

#### Scope

- "Classic Measures"
- Skin Conductance (level and response) Cardiac measures (heart rate, variability, contractility, both SNS and PNS measures, BP, plethysmography)
   Oculomotor and pupilometric measures
- Electromyographic activity
- Respiration
- Gastrointestinal activity
- Penile and vaginal plethysmography ۶
- Prenite and vaginal preury angle and preury and preur

- Event-related frequency changes

- "Newer Measures" Hormonal and Endocrinological measures
- > Immune function
- > Functional neuroimaging
- > PET > fMRI
- > Optical Imaging
   > MEG
- Manipulations
- Classical Biofeedback ۶
- ⊳ Rapid Transcranial Magnetic Stimulation Transcranial Direct Current Stimulation
- >
- Transcranial Ultrasound

# Thematic x Systemic Psychophysiology



# Problems of Inference: Correlate Vs Substrate

► Is observed physiological activity a substrate of observed behavior? BEWARE

#### ≻Helpful Criteria

- > Is  $\Phi$  necessary for behavior?
- > If  $\Phi$  removed, would behavior be altered?

#### ▶ But ultimately, not easily resolved

A scientific theory is a description of causal interrelations. Psychophysiological correlations are not causal. Thus in scientific theories, psychophysiological correlations are monstrosities. This does not mean that such correlations have no part in science. They are the instruments by which the psychologist may test his theories. (Gardiner, Metcalf, & Beebe-Center, 1937, p. 385) or her



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# **Problems of Inference**

From Cacioppo, Tassinary, & Berntson, 2000, 2007

Only these types of relationships would allow a formal specification that psychological elements are a function of specific physiological elements

## Reducing the Complexity



panel: Links

From Cacioppo, Tassinary, & Berntson, 2000

# **Typical Scenarios**

- Typical structure/assumption of psychophysiological or Þ imaging study:  $P(\Phi | \Psi) > 0$ ۶
- Typical structure/assumption of biofeedback study: ۶ ⊳  $P(\Psi \mid \Phi) > 0$
- Typical hunt for "markers" or biological substrate
  - Study begins  $P(\Phi | \Psi) > 0$ Desirable (but often invalid) inference
  - P(Ψ(Φ) > 0
     Only valid given 1:1 relationship of Ψ and Φ
     Use complementary approaches; e.g.,
  - - 7  $fMRI = P(\Phi|\Psi)$
    - Lesion =  $P(\Psi|\Phi)$

>





The Inference Problem Illustrated

tes of religious experience. European Journal of Neuroscience, 13, 1649-1652.



Azari et al. (2001). Neura

onto catorinea. Friendson (see and right view of the brain. S contially normalized onto the PET



Ten Years Later, and ... Kanai et al. (2011). Political orientations are correlated with Brain Structure. Current Biology, 21, 677-680.



в



## Yet Another Example!



experience in the neural network of superior and inferior parietal lobule, left occipital cortex, precuneus, and frontal brain areas including BA 6 and BA 10.3 page 2124



e right tharacter t) memory seri 1gh E. (B, C, D, E) Cor e most significant activation = 47), D1 (left BA 7/40; x ) and BA19; x = -44, y = -: 1. (I and II) Parts I (sagitta

Problems and Prospects for Psychophysiology

#### Problems/Challenges

- Interpretive ambiguity ≻
- Time resolution and time courses of various  $\geq$ systems/measures differ substantially
- $\geq$ Spatial resolution
- What is the functional significance of the observed  $\triangleright$ physiological measure?

#### Problems and Prospects for Psychophysiology

#### Prospects

- Non-invasive Measures of real-time information
- ۶
- May be sensitive to things that we ourselves cannot be Ideally suited for populations that have limited verbal/cognitive capacity
- May tap function at roughly the proper level of the nervous system to be useful to psychological investigators ≻
- Psychophysiology is now more integrated into psychology as a whole -- you will see it in "nonspecialty" journals
- More and more "canned" packages make it accessible to the novice, but novices need advice and consultation! Even though there will always be newer technologies (e.g., PET, SPECT, MEG/SQUID, MRI, Functional MRI, etc.), traditional psychophysiology
- Has generally excellent real-time resolution Is flexible

  - Is cost-effective Can be integrated with many of the newer technologies
  - Principles generalize across many measures
- Finitcpice generatize across many measures
   Newer technologies nonetheless based on fundamental principles of psychophysiology, and are in fact, psychophysiological measures
   When you tell folks at a party that you are a psychophysiologist rather than a psychologist, you are spared hearing the history of peoples' family pathology

- A few selected studies to highlight the utility of a psychophysiological approach
  - ▶ Bauer (1984): Prosopagnosia
  - ≻Öhman & Soares (1993): Phobias
  - ≻ Speigel (1985): Hypnosis
  - Deception Detection studies
  - ► Investigation of Persistent Vegetative State
  - ≻Brain-Computer Interfaces for assisted communication

## Bauer (1984): Neuropsychologia

#### ➢ Prosopagnosia

- Administered a version of the Guilty Knowledge Test (GKT)
  - >As administered to the prosopagnosic patient
    - Set A consisted of 10 photographs of very famous folks; Set B consisted of 8 family members

    - During the display, five choices for the correct name were presented auditorially



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# Bauer (1984): Neuropsychologia

- Results
  - > Patient naming: 0/10 famous faces, 0/8 family members
  - Controls naming = 9/10 famous, 0/8 of patient's family members
  - Electrodermally, patient produced largest SCR to correct alternative
  - for 60% of famous faces (controls 80%, *ns* difference),
     for 62.5% of family members (controls 37.5%)
- Conclusions
  - Dissociation between psychophysiological and behavioral measures psychophysiology told us something that the patient could not
  - Patient can, at an autonomic level, properly identify faces
  - viz. that "prosopagnosia involves a functional defect not at the perceptual level itself, but at a stage of processing where adequate perceptual information is utilized in complex decisions about the stimulus identity" (p.463)

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#### Öhman & Soares (1993) Journal of Abnormal Psychology

- Hypothesize that information processing of the phobic stimulus is rooted in archaic information processing mechanisms outside of the control of conscious intentions
- Use a CS+/CS- paradigm for fear-relevant and fear-irrelevant stimuli
   Fear relevant is snake/spider; irrelevant is a flower or mushroom
- During acquisition trials, CS+ is shocked, CS- is not
   This leads to larger SCR to CS+ than CS-, and when stimuli are presented above threshold (with awareness), no difference between fear-relevant and

preserved for fear-relevant stimuli

- above threshold (with awareness), no difference between fear-relevant and fear-irrelevant
   After acquisition, masked presentations (30 msec, followed by 100 msec
- mask)
   Electrodermally, masking effectively eliminates the difference between CS+ and CS- for fear-irrelevant stimuli, but the difference between CS+/cS- is

## Öhman & Soares (1993) Journal of Abnormal Psychology



Figure 1. Mean skin conductance responses (SCRs) (square-root transformed) to fear-relevant (snakes, spiders, and rats) or fear-irrelevant (flowers and mushrooms) stimuli previously followed (CS+) or not followed (CS-) by an electric shock unconditioned stimulus among the fearful and nonfearful groups of subjects during extinction.

# Öhman & Soares' Conclusions

- Fear conditioning to nonprepared stimuli may involve conscious mechanisms
- Fear conditioning to prepared stimuli may be possible through mechanisms outside of conscious/controlled information processing
- Latter system may be fast and sensitive to danger cues
- May also explain why exposure therapy is critical to decrease the autonomic responses

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#### Speigel, Cutcomb, Ren, & Pribram. (1985) Journal of Abnormal Psychology

- ➤ Hypnosis
  - ➢ individual difference variable,
  - assessed via responsiveness to suggestions
  - Two issues recurrently arise in hypnosis:
- $\succ$  (1) Do the effects have veracity?
  - $\succ$  (2) If so, how are they accomplished?
- ▶ ERPs 101: Signal averaging



Speigel, Cutcomb, Ren, & Pribram. (1985) Journal of Abnormal Psychology

- $\succ$  The study design
  - Very high or very low hypnotizable subjects selected
  - ➢ Given three suggestions:
    - Hypnotic enhancement
    - Hypnotic diminution
    - Hypnotic obstruction
  - An additional button-pressing control group



- Subsequent study using somatosensory ERPs found that suggestion to block mildly painful stimulus reduce P1 and P3 amplitudes in high- but not lowhypnotizable subjects.
- Also found that suggestions to increase intensity resulted in increase in P1 amplitude, but again, only in the high hypnotizable subjects
- Collectively these studies may suggest alterations at the level of signal detection, not simply interpretation of the signal



HYPNOTIC HALLUCINATION ALTERS EVOKED POTENTIALS

B. LOW HYPNOTIZABLES

OTIZABLES

Figure J. Elect of hymotic edutrucive hulticination on visual evokel potentials. (Visual evokel potentials (Visual evokel potentials)) (VID) (Visual evokel potentials)) (Visual evokel poten

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Farwell & Donchin (1991) Psychophysiology

- Conventional Polygraphy unacceptably inaccurate
- Rather than rely on autonomic arousal, could rely on a cognitive response of recognition





Figure 2. The distribution of the bootstrap statistic for all 40 tests conducted in Experiment 1. Dark bars indicate the number of subjects who were "guilty" and were assigned a given bootstrap value. Light bars show the same data for the "innocent" subjects.

Bootstrap Index

## Allen, Iacono, & Danielson (1992) Psychophysiology



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# Persistent Vegetative State

- >PVS patients typically are not non-responsive
  - ≻But responses to varied stimuli lack:
    - > voluntary components
    - ➤ cognitive aspects
    - ➢ evidence of awareness of self
    - ➤ evidence of awareness of surroundings.
  - ≻No meaningful communication

➤ MCS (Minimally Conscious State) by contrast:

Minimal, if even highly inconsistent, signs of conscious behavior can be observed

# Persistent Vegetative State

- Diagnostic errors in PVS up to 40% (Andrews et al., 1996)
- ➤ Might psychophysiological assessment help?
  - How best to validate such new measures against some gold standard when diagnostic errors are so common?
  - Create continuous measure and link to physiology (Wijnen, van Boxtel, Eilander, & de Gelder (2007) Clinical Neurophysiology)
  - Range from complete non-response to normal consciousness

Global level	Score Description of the levels				
Coma	Eyes are cloud all the time. You skep-wake cycles present. All major body functions such as branking, temperature control, or blood pressure can be disturbed. GeneraBy, no reactions are noticed after stimulation. Sometimes reflexes (stretching or flexing) can be observed as a reaction when strong pain stimuli have been applied. No other reactions present.				
Vegetative State (VS)	titen has now sloep-sude cycles, but no proper day-night ny thm. Most of the body functions are normal. No furthe minitum is required for responses. You futthe response (hyporesponsive) Generality to response the transitionics. Sometimes delayed presentation of reflexes is observed. Reflexive state Often stimula result in massive stretching or startle reactions, without proper habituation. Sometimes these reactions evolutate into massive facting response. Koving cymorements can be seen, without tracking Sometimes and the state of the state High active lander reactions in solutioned body parts Generality spontaneous sudirected movements. Retracting a limb following stimulation. Orienting towards a stimulus, whote fixating relations frames of the state fixed movements. Retracting a limb of tho whote fixating.				
Minimally Conscious State (MCS)	Patient remains avoide most of the day:				
Consciousness	8 Patient is alert and reacts to his/her environment spontaneously. Functional understandable mutual				

# Mismatch Negativity



- Discovered by Näätänen, Gaillard, & Mäntysalo, 1978
- Rare deviant ("Afwigkend geluid") elicits sustained negative voltage at scalp, maximal at fronto-central sites
  - > Regardless of whether the stimuli are attended
  - > Can vary in pitch, loudness, duration



# Longitudinal Study

- Create continuous measure and link to physiology (Wijnen, van Boxtel, Eilander, & de Gelder (2007) Clinical Neurophysiology)
- Ten severely brain-injured patients (age 8-25)
- Longitudinal assessment starting 9 days after admission (and then every 2 weeks)





Fig. 2. Grand averages of MMN (Fz-linked Mastoids, 0.15-30 Hz, 48 dB/octave) for each Level of Consciousness according to the levels in Table 2 versu the norm group. Potentials related to the standard stimuli, potentials related to the deviant stimuli, and the MMN (difference between the deviant and



# Longitudinal Study

≻Predictive value?

- MMN during first assessment strongly predicted level of consciousness at discharge (β=-.94, p<.00001)</p>
- >Also predicted functional outcome two years later



## Another approach



Fig. 1. We observed supplementary motor area (SMA) activity during tennis imagery in the patient and a group of 12 healthy volunteers (controls). We detected parahipocomala grurs: (PPA), posterior parietal-lobe (PPC), and lateral premotor cortex (PMC) activity while the patient and the same group of volunteers imagined moving around house. All results are thesholded at P < 0.05 corrected for multiple comparisons. X values refer to distance in mm from the midline in stereotaxis, space (SOM text).

"These results confirm that, despite fulfilling the clinical criteria for a diagnosis of vegetative state, this patient retained the ability to understand spoken commands and to respond to them through her brain activity, rather than through speech or movement."

"... suggests a method by which some noncommunicative patients, including those diagnosed as vegetative, minimally conscious, or locked in, may be able to use their residual cognitive capabilities to communicate their thoughts to those around them by modulating their own neural activity."

Owen,A.M., Coleman, M.R., Boly, M., Davis,M.H., Laureys, S., & Pickard, J.D. (2006). Science

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# Syndromes where interaction with environment difficult or impossible

- Amyotrophic lateral sclerosis (ALS)
- Vegetative States

Farwell & Donchin (1988) Electroencephalography and clinical Neurophysiology

- Attempted to develop an applied ERP system for communication without motor system involvement
- For "locked in" patients

CF	T Dis	play (	Jsed in	n the I	Mental	Prosthesis		
MESSAGE								
		BR	AIN					
Choose one letter or command								
	A	G	м	s	Y	*		
	В	н	Ν	т	Z	*	4	
	С	I	0	U	*	TALK		
	D	J	٩	v	FLN	SPAC	4	
	E	к	Q	w	*	BKSP		
	F	Ł	R	х	SPL	QUIT		
Fig. 1. CRT display used in the mental prosthesis. The rows and columns of the matrix were flashed alternately. The letters selected by the subject ('B-R-A-I-N') were displayed at the top of the screen in the pilot study.							,	

# Can't we speed things up?



P300-BCI. Rows and columns of letter strings are lighted in rapid succession. Whenever the desired letter (P) is among the lighted string, a P300 appears in the EEG (after Sellers & Donchin 2006; Piccione et al.2006).

Figure from Birbaumer, 2006



http://www.youtube.com/watch?v=2KtMCX7FfZ0

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# Operant methods (Birbaumer et al.)





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From Ku"bler& Neumann (2005), Progress in Brain Research, 150, 513-525

#### Senso-motor Rhythm Training



1 sec 10 µV Raw EEG



Top right: Senso-motor-rhythm (SMR) oscillations fromsensorimotor cortex during inhibition of movement and imagery or execution of movement (EEGtrace below). On the left part of the picture is the feedback display with the target goal on the right side of the screen indicating the required SMR increase (target at bottom) or SMR decrease (target at top). The curser reflecting the actual SMR is depicted in red moving from the right side of the screen toward the target goal.

Birbaumer, 2006

## Senso-motor Rhythm Training



> Patients' task is to move the cursor into the target.

- Cursor movement is indicated by the squares (only one square is visible).
- The cursor moves steadily from left to right, vertical deflections correspond to the SMR amplitude. ≻
- > EEG frequency power:
  - > Bold line: frequency power spectrum when the cursor had to be moved toward the top target
  - > Dashed line: cursor had to be moved toward the bottom target.

Kübler & Birbaumer, 2008, Clinical Neurophysiology 119, 2658-2666

## Slow Cortical Potentials (SCP)



- Targets are presented at the top or bottom of the screen.
   Patients' task is to move the cursor (yellow dot) toward the target
   Cursor moves steadily from left to right and its vertical deflection corresponds to the SCP amplitude.
- A negative SCP amplitude (dashed line) moves the cursor toward the top, positive SCP amplitude (bold line) toward the bottom target.
   Before each trial, a baseline is recorded indicated by the green bar.
- At time point -2 s the task is presented, at -500 ms the baseline is recorded and at zero cursor movement starts.

Kübler & Birbaumer, 2008, Clinical Neurophysiology 119, 2658–2666



BCI using slow cortical potentials (SCP depicted at the top). Patient selects one letter from the letter string on screen (right below) with positive SCPs, the spelled letters appear on top of the screen

Hinterberger .. Birbaumer, 2004, IEEE Transactions of Biomed Engr, 51

# Coming Up:

- ≻ Next week ... Reviews of:
  - ➢Basic Electricity
  - ➢Basic Neurophysiology and Neuroanatomy
- $\triangleright$  Don't forget to turn in your 3x5 cards
  - ≻Name
  - ≻Email
  - ≻Section (401 or 501)
  - ▶Questions/Comments